

REPUBLIC
OF
SOUTH AFRICA



REPUBLIEK
VAN
SUID-AFRIKA

Government Gazette Staatskoerant

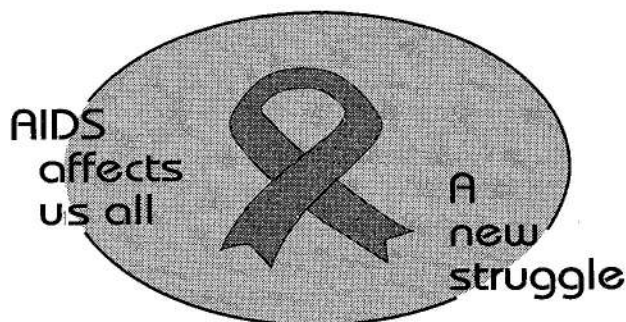
Vol. 411

PRETORIA, 23 SEPTEMBER 1999

No. 20476

We all have the power to prevent AIDS

AIDS
affects
us all



A
new
struggle

Prevention is the cure

**AIDS
HELPLINE**

0800 012 322

DEPARTMENT OF HEALTH

GOVERNMENT NOTICES GOEWERMENSKENNISGEWINGS

DEPARTMENT OF ARTS, CULTURE, SCIENCE AND TECHNOLOGY DEPARTEMENT VAN KUNS, KULTUUR, WETENSKAP EN TEGNOLOGIE

No. 1125

23 September 1999

BUREAU OF HERALDRY

REGISTRATION OF HERALDIC REPRESENTATIONS

The Bureau of Heraldry hereby gives notice in terms of section 10 of the Heraldry Act, 1962 (Act No. 18 of 1962), that the following have been registered:

H4/3/1/684: The arms of the **Mafikeng High School**, as published under Government Notice No. 886 of 23 July 1999.

H4/3/1/3848: The arms of **Laerskool Rietfontein-Noord**, as published under Government Notice No. 1446 of 7 November 1997.

H4/3/1/3864: The badge of **Mondoro Safaris**, as published under Government Notice No. 1095 of 4 September 1998.

H4/3/1/3882: The arms and special name of the **Wing Riders of South Africa**, as published under Government Notice No. 421 of 9 April 1999.

H43/1/3884: The arms of the **Réhobot Bybel-Instituut—Lewende Waters Bediening Voor die Wederkoms**, as published under Government Notice No. 591 of 7 May 1999.

H4/3/1/3886: The badge, name and special names of the **Young Stars Rugby Football Club**, as published under Government Notice No. 421 of 9 April 1999.

H4/3/1/3889: The arms of **Hoffman House**, as published under Government Notice No. 591 of 7 May 1999.

H4/3/1/3898: The name of the **Benoni Educational College**, as published under Government Notice No. 886 of 23 July 1999.

H4/3/1/3899: The name of the **Zamukhanyo Primary School**, as published under Government Notice No. 886 of 23 July 1999.

H4/3/1/3902: The name of the **Single Wheelers Outdoor Club**, as published under Government Notice No. 886 of 23 July 1999.

H4/3/2/254: The flag of the **Franschhoek Municipality**, as published under Government Notice No. 591 of 7 May 1999.

H4/3/2/661: The arms of the **Transitional Local Council of Amsterdam/Kwathandeka**, as published under Government Notice No. 421 of 9 April 1999.

H4/3/2/671: The arms of the **Helderberg Municipality**, as published under Government Notice No. 591 of 7 May 1999.

H4/3/2/672: The arms of the **Wynland Transitional Representative Council**, as published under Government Notice No. 886 of 23 July 1999.

H4/3/4/170: The arms of **Frederick George Brownell**, as registered under Government Notice No. 1297 of 20 June 1980, have been matriculated, suitably differenced, for his elder daughter Sheila Ann Leah Brownell, Mrs Elgie, to wit:

Arms: Ermine, on a chevron Azure cottised Gules a triquetra Or; a chief gably of three, Azure.

H4/3/4/606: The arms of **Hendrik van de Vyver Nolte**, as published under Government Notice No. 886 of 23 July 1999.

No. 1125

23 September 1999

BURO VIR HERALDIEK

REGISTRASIE VAN HERALDIESE VOORSTELLINGS

Die Buro vir Heraldiek gee hierby ingevolge artikel 10 van die Heraldiekwet, 1962 (Wet No. 18 van 1962), kennis dat die volgende geregistreer is:

H4/3/1/684: Die wapen van die **Hoërskool Mafikeng**, soos by Goewermenskennisgewing No. 886 van 23 Julie 1999.

H4/3/1/3848: Die wapen van die **Laerskool Rietfontein-Noord**, soos by Goewermenskennisgewing No. 1446 van 7 November 1997 gepubliseer.

- H4/3/1/3864: Die kenteken van **Mondoro Safaris**, soos by Goewermentskennisgewing No. 1095 van 4 September 1998 gepubliseer.
- H4/3/1/3882: Die wapen en spesiale naam van die **Wing Riders van Suid-Afrika**, soos by Goewermentskennisgewing No. 421 van 9 April 1999 gepubliseer.
- H4/3/1/3884: Die wapen van die **Réhobot Bybel-Instituut—Lewende Waters Bediening Voor die Wederkoms**, soos by Goewermentskennisgewing No. 591 van 7 Mei 1999 gepubliseer.
- H4/3/1/3886: Die kenteken, naam en spesiale name van die **Young Stars Rugby Football Club**, soos by Goewermentskennisgewing No. 421 van 9 April 1999 gepubliseer.
- H4/3/1/3889: Die wapen van **Hoffman House**, soos by Goewermentskennisgewing No. 591 van 7 Mei 1999 gepubliseer.
- H4/3/1/3898: Die naam van die **Benoni Educational College**, soos by Goewermentskennisgewing No. 886 van 23 Julie 1999 gepubliseer.
- H4/3/1/3899: Die naam van die **Zamukhanyo Primary School**, soos by Goewermentskennisgewing No. 886 van 23 Julie 1999 gepubliseer.
- H4/3/1/3902: Die naam van die **Single Wheelers Buitelewe-klub**, soos by Goewermentskennisgewing No. 886 van 23 Julie 1999 gepubliseer.
- H4/3/2/254: Die vlag van die **Munisipaliteit van Franschhoek**, soos by Goewermentskennisgewing No. 591 van 7 Mei 1999 gepubliseer.
- H4/3/2/661: Die wapen van die **Plaaslike Oorgangsraad van Amsterdam/Kwathandeka**, soos by Goewermentskennisgewing No. 421 van 9 April 1999 gepubliseer.
- H4/3/2/671: Die wapen van die **Helderberg Munisipaliteit**, soos by Goewermentskennisgewing No. 591 van 7 Mei 1999 gepubliseer.
- H4/3/2/672: Die wapen van die **Wynland Verteenwoordigende Oorgangsraad**, soos by Goewermentskennisgewing No. 886 van 23 Julie 1999 gepubliseer.
- H4/3/4/170: Die wapen van **Frederick George Brownell**, soos by Goewermentskennisgewing No. 1297 van 20 Junie 1980 geregistreer, is met 'n toepaslike differensiering vir sy ouer dogter Sheila Ann Leah Brownell, mev. Elgie, geherregistreer, te wete:
Wapen: In hermelyn, 'n blou keper rooi gerib, belaaï met 'n goue triquetra; 'n blou skildhoof gegewel van drie.
- H4/3/4/606: Die wapen van **Hendrik van de Vyver Nolte**, soos by Goewermentskennisgewing No. 886 van 23 Julie 1999 gepubliseer.

**DEPARTMENT OF ENVIRONMENTAL AFFAIRS AND TOURISM
 DEPARTEMENT VAN OMGEWINGSAKE EN TOERISME**

No. 1135

23 September 1999

**DECLARATION OF LAND IN TERMS OF THE NATIONAL PARKS ACT, 1976 (ACT No. 57 OF 1976),
 TO BE PART OF AGULHAS NATIONAL PARK**

I, Mohammed Valli Moosa, Minister of Environmental Affairs and Tourism, hereby declare by virtue of the powers vested in me by section 2B (1) (b) of the National Parks Act, 1976 (Act No. 57 of 1976), after consultation with the Minister of Minerals and Energy and subject to the agreement between the SA National Parks and the owner of the land defined in the Schedule, the mentioned land to be part of Agulhas National Park.

M. V. MOOSA**Minister of Environmental Affairs and Tourism****SCHEDULE**

1. The remaining extent of Portion 3 of the farm Paapekuil Fontein 281, Registration District of Bredasdorp, in extent 42,7573 ha (four two comma seven five seven three hectare).
2. Portion 4 of the farm Paapekuil Fontein 281, Registration District of Bredasdorp, in extent 14,2067 ha (one four comma two zero six seven hectare) excluding the Cape Agulhas Lighthouse and associated buildings.
3. The remaining extent of Portion 8 of the farm Paapekuil Fontein 281, Registration District of Bredasdorp, in extent 38,2464 ha (three eight comma two four six four hectares).
4. Portion 17 of the farm Paapekuil Fontein 281, Registration District of Bredasdorp, in extent 0,9935 ha (zero comma nine nine three five hectares).

No. 1135**23 September 1999****VERKLARING VAN GROND KRAGTENS DIE WET OP NASIONALE PARKE, 1976 (WET No. 57 VAN 1976),
TOT DEEL VAN AGULHAS NASIONALE PARK**

Ek, Mohammed Valli Moosa, Minister van Omgewingsake en Toerisme verklaar hierby kragtens die bevoegdheid aan my verleen by artikel 2B (1) (b) van die Wet op Nasionale Parke, 1976 (Wet No. 57 van 1976), na oorlegpleging met die Minister van Minerale en Energie en onderhewig aan die ooreenkoms tussen die SA Nasionale Parke en die eienaar van die grond in die Bylae omskryf, die gemelde grond tot deel van Agulhas Nasionale Park.

M. V. MOOSA**Minister van Omgewingsake en Toerisme****BYLAE**

1. Die restant van Gedeelte 3 van die plaas Paapekuil Fontein 281, Registrasie Distrik van Bredasdorp, groot 42,7573 ha (vier twee komma sewe vyf sewe drie hektaar).
2. Gedeelte 4 van die plaas Paapekuil Fontein 281, Registrasie Distrik van Bredasdorp, groot 14,2067 ha (een vier komma twee nul ses sewe hektaar), uitgesluit die Kaap Agulhas Ligting en gepaardgaande geboue.
3. Die restant van Gedeelte 8 van die plaas Paapekuil Fontein 281, Registrasie Distrik van Bredasdorp, groot 38,2464 ha (drie agt komma twee vier ses vier hektaar).
4. Gedeelte 17 van die plaas Paapekuil Fontein 281, Registrasie Distrik van Bredasdorp, groot 0,9935 ha (nul komma nege nege drie vyf hektaar).

**DEPARTMENT OF HEALTH
DEPARTEMENT VAN GESONDHEID****No. 1136****23 September 1999****EXCLUSION OF CERTAIN MEDICINES FROM THE OPERATION OF CERTAIN PROVISIONS OF THE MEDICINES AND
RELATED SUBSTANCES CONTROL ACT, 1965 (ACT No. 101 OF 1965)**

I, Malebona Precious Matsoso, Registrar of Medicines, acting by virtue of a delegation in terms of section 34A of the Medicines and Related Substances Control Act, 1965 (Act No. 101 of 1965), hereby exclude in terms of section 36 of Act No. 101 of 1965, on the unanimous recommendation of the Medicines Control Council, the medicines held listed in the Schedule hereto from the operation of the therein listed provisions of the regulations promulgated by Government Notice No. R. 352 of 21 February 1975, as amended, subject to the conditions likewise listed in the Schedule.

M. P. MATSOSO**Registrar of Medicines****No. 1136****23 September 1999****UITSLUITING VAN SEKERE MEDISYNE VAN DIE TOEPASSING VAN SEKERE BEPALINGS VAN DIE WET OP DIE
BEHEER VAN MEDISYNE EN VERWANTE STOWWE, 1965 (WET No. 101 VAN 1965)**

Ek, Malebona Precious Matsoso, Registrateur van Medisyne, handelende kragtens 'n delegasie ingevolge artikel 34A van die Wet op die Beheer van Medisyne en Verwante Stowwe, 1965 (Wet No. 101 van 1965), sluit hierby, kragtens artikel 36 van Wet No. 101 van 1965, op eenparige aanbeveling van die Medisynebeheerraad, die medisyne in die Bylae hiervan vermeld, uit van die toepassing van die daarin vermelde bepalings van die regulasies afgekondig by Goewermentskennisgewing No. R. 352 van 21 Februarie 1975, soos gewysig, onderworpe aan die voorwaardes insgelyks in die Bylae vermeld.

M. P. MATSOSO**Registrateur van Medisyne**

SCHEDULE / BYLAE

REGISTRATION NO. / REGISTRASIE NO.	NAME OF MEDICINE / NAAM VAN MEDISYNE	FORM OF PREPARATION / BEREIDINGSVORM	PROVISIONS FROM WHICH EXCLUDED / BEPALINGS WAARVAN UITGESLUIT	CONDITIONS OF EXCLUSION / VOORWAARDES VIR UITSLUITING	APPLICANT / APPLIKANT
330270	Sabax Ipratropium Br 0,25 mg/2 ml	Solution / Oplossing	<p>1. Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweekaligheid van die onmiddellike houer-etiket.</p> <p>2. Regulation 9(1)(o): Abbreviation of the Applicant's name on the plastic ampoule. / Regulasie 9(1)(o): Afkorting van die Applikant naam op die plastiek ampou.</p>	<p>1. The outer container must comply with all the requirements of Regulation 9. / Die buite houer moet aan al die vereistes van Regulasie 9 voldoen.</p> <p>2. The package insert must comply with all the requirements of Regulation 10. / Die voubiljet moet aan al die vereistes van Regulasie 10 voldoen.</p> <p>3. The abbreviation of the Applicant's name be indicated on the outer container. / Die afkorting van die Applikant naam moet op die buite houer aangedui word.</p>	Adcock Ingram Ltd

REGISTRATION NO. / REGISTRASIE NO.	NAME OF MEDICINE / NAAM VAN MEDISYNE	FORM OF PREPARATION / BEREIDINGSVORM	PROVISIONS FROM WHICH EXCLUDED / BEPALINGS WAARVAN UITGESLUIT	CONDITIONS OF EXCLUSION / VOORWAARDES VIR UITSLUITING	APPLICANT / APPLIKANT
330271	Sabax Ipratropium Br 0,5 mg/2 ml	Solution / Oplossing	<p>1. Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etiket.</p> <p>2. Regulation 9(1)(o): Abbreviation of the Applicant's name on the plastic ampoule. / Regulasie 9(1)(o): Afkorting van die Applikant naam op die plastiek ampul.</p>	<p>1. The outer container must comply with all the requirements of Regulation 9. / Die buite houer moet aan al die vereistes van Regulasie 9 voldoen.</p> <p>2. The package insert must comply with all the requirements of Regulation 10. / Die voubiljet moet aan al die vereistes van Regulasie 10 voldoen.</p> <p>3. The abbreviation of the Applicant's name be indicated on the outer container. / Die afkorting van die Applikant naam moet op die buite houer aangedui word.</p>	Adcock Ingram Ltd

REGISTRATION NO. / REGISTRASIE NO.	NAME OF MEDICINE / NAAM VAN MEDISYNE	FORM OF PREPARATION / BEREIDINGSVORM	PROVISIONS FROM WHICH EXCLUDED / BEPALINGS WAARVAN UITGESLUIT	CONDITIONS OF EXCLUSION / VOORWAARDES VIR UITSLUITING	APPLICANT / APPLIKANT
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330194	Sabax Fenoterol HBr 0,5 mg/2 ml	Solution / Oplossing	<p>1. Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etiket.</p> <p>2. Regulation 9(1)(o): Abbreviation of the Applicant's name on the plastic ampoule. / Regulasie 9(1)(o): Afkorting van die Applikant naam op die plastiek ampouul.</p>	<p>1. The outer container must comply with all the requirements of Regulation 9. / Die buite houer moet aan al die vereistes van Regulasie 9 voldoen.</p> <p>2. The package insert must comply with all the requirements of Regulation 10. / Die voubiljet moet aan al die vereistes van Regulasie 10 voldoen.</p> <p>3. The abbreviation of the Applicant's name be indicated on the outer container. / Die afkorting van die Applikant naam moet op die buite houer aangedui word.</p>	Adcock Ingram Ltd
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REGISTRATION NO. / REGISTRASIE NO.	NAME OF MEDICINE / NAAM VAN MEDISYNE	FORM OF PREPARATION / BEREIDINGSVORM	PROVISIONS FROM WHICH EXCLUDED / BEPALINGS WAARVAN UITGESLUIT	CONDITIONS OF EXCLUSION / VOORWAARDES VIR UITSLUITING	APPLICANT / APPLIKANT
330195	Sabax Fenoterol HBr 1,25 mg/2 ml	Solution / Oplossing	<p>1. Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etiket.</p> <p>2. Regulation 9(1)(o): Abbreviation of the Applicant's name on the plastic ampoule. / Regulasie 9(1)(o): Afkorting van die Applikant naam op die plastiek ampou.</p>	<p>1. The outer container must comply with all the requirements of Regulation 9. / Die buite houer moet aan al die vereistes van Regulasie 9 voldoen.</p> <p>2. The package insert must comply with all the requirements of Regulation 10. / Die voubiljet moet aan al die vereistes van Regulasie 10 voldoen.</p> <p>3. The abbreviation of the Applicant's name be indicated on the outer container. / Die afkorting van die Applikant naam moet op die buite houer aangedui word.</p>	Adcock Ingram Ltd
D/24/109	Sabax Glucose 5% 50 ml Viaflex Bag 100 ml Viaflex Bag 50 ml Mini-bag 100 ml Mini-bag	Infusion / Infusie	Regulation 9(1): Bilingualism of the immediate container labels. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etikette.	None. / Geen.	Adcock Ingram Ltd

REGISTRATION NO. / REGISTRASIE NO.	NAME OF MEDICINE / NAAM VAN MEDISYNE	FORM OF PREPARATION / BEREIDINGSVORM	PROVISIONS FROM WHICH EXCLUDED / BEPALINGS WAARVAN UITGESLUIT	CONDITIONS OF EXCLUSION / VOORWAARDES VIR UITSLUITING	APPLICANT / APPLIKANT
330360	Proleukin	Injection / Inspuiting	Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etiket.	1. The outer container label must comply with the requirements of Regulation 9. / Die buite houer-etiket moet aan die vereistes van Regulasie 9 voldoen. 2. The package insert must comply with the requirements of Regulation 10. / Die voubiljet moet aan die vereistes van Regulasie 10 voldoen.	Key Oncologics (Pty) Ltd
31/5.7.2/0082	Kytril 1 mg	Injection / Inspuiting	1. Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etiket. 2. Regulation 9(1)(d): Exemption from writing the complete wording for the composition on the immediate container label. / Regulasie 9(1)(d): Vrystelling van die skrywe van die volledige bewoording vir die samestelling op die onmiddellike houer-etiket.	1. The outer container label must comply with all the requirements of Regulation 9. / Die buite houer-etiket moet aan al die vereistes van Regulasie 9 voldoen. 2. The package insert must comply with all the requirements of Regulation 10. / Die voubiljet moet aan al die vereistes van Regulasie 10 voldoen.	SmithKline Beecham Pharmaceuticals (Pty) Ltd
330504	Viramune 50 mg/5 ml Oral Suspension	Suspension / Suspensie	Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etiket.	None. / Geen.	Ingelheim Pharmaceuticals (Pty) Ltd

REGISTRASIE NO. / NO. OF REGISTRATION	NAAM VAN MEDISYNE / PREPARATION / BEREIDINGSVORM	FORM OF PREPARATION / BEREIDINGSVORM	PROVISIES FROM WHICH EXCLUDED / BEPALINGS WAARVAN UITGESLUIT	CONDITIONS OF EXCLUSION / VOORWAARDES VIR UITSLUITING	APPLICANT / APPLIKANT
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V143	Prepulsid Tablets 5 mg	Tablet	Regulation 10: Bilingualism of the package insert. / Regulasie 10: Tweetaligheid van die voubiljet.	A statement must be included on the package insert that an Afrikaans version is available if required. / 'n Stelling moet op die voubiljet aangebring word dat 'n Afrikaanse weergawe wanneer verlang beskikbaar is.	Janssen Pharmaceutica (Pty) Ltd
V144	Prepulsid Tablets 10 mg	Tablet	Regulation 10: Bilingualism of the package insert. / Regulasie 10: Tweetaligheid van die voubiljet.	A statement must be included on the package insert that an Afrikaans version is available if required. / 'n Stelling moet op die voubiljet aangebring word dat 'n Afrikaanse weergawe wanneer verlang beskikbaar is.	Janssen Pharmaceutica (Pty) Ltd
270306	Prepulsid Tablets 20 mg	Tablet	Regulation 10: Bilingualism of the package insert. / Regulasie 10: Tweetaligheid van die voubiljet.	A statement must be included on the package insert that an Afrikaans version is available if required. / 'n Stelling moet op die voubiljet aangebring word dat 'n Afrikaanse weergawe wanneer verlang beskikbaar is.	Janssen Pharmaceutica (Pty) Ltd

REGISTRATION NO. / REGISTRASIE NO.	NAME OF MEDICINE / NAAM VAN MEDISYNE	FORM OF PREPARATION / BEREIDINGSVORM	PROVISIONS FROM WHICH EXCLUDED / BEPALINGS WAARVAN UITGESLUIT	CONDITIONS OF EXCLUSION / VOORWAARDES VIR UITSLUITING	APPLICANT / APPLIKANT
320676	Flixotide Nebules 0.5 mg/2 ml	Suspension / Suspensie	<p>1. Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etiket.</p> <p>2. Regulation 9(2)(iv): Inclusion of the name of the Applicant on the immediate container label. / Regulasie 9(2)(iv): Insluiting van die naam van die Applikant op die onmiddellike houer-etiket.</p>	<p>1. The outer carton must comply with all the requirements of Regulation 9. / Die buite karton moet aan al die vereistes van Regulasie 9 voldoen.</p> <p>2. The package insert must comply with all the requirements of Regulation 10. / Die voubiljet moet aan al die vereistes van Regulasie 10 voldoen.</p>	Glaxo Wellcome SA (Pty) Ltd
320677	Flixotide Nebules 2 mg/2 ml	Suspension / Suspensie	<p>1. Regulation 9(1): Bilingualism of the immediate container label. / Regulasie 9(1): Tweetaligheid van die onmiddellike houer-etiket.</p> <p>2. Regulation 9(2)(iv): Inclusion of the name of the Applicant on the immediate container label. / Regulasie 9(2)(iv): Insluiting van die naam van die Applikant op die onmiddellike houer-etiket.</p>	<p>1. The outer carton must comply with all the requirements of Regulation 9. / Die buite karton moet aan al die vereistes van Regulasie 9 voldoen.</p> <p>2. The package insert must comply with all the requirements of Regulation 10. / Die voubiljet moet aan al die vereistes van Regulasie 10 voldoen.</p>	Glaxo Wellcome SA (Pty) Ltd

**DEPARTMENT OF HOME AFFAIRS
DEPARTEMENT VAN BINNELANDSE SAKE****No. 1137****23 September 1999****ALTERATION OF FORENAMES IN TERMS OF SECTION 24 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has in respect of the following persons approved the alteration of their forenames printed in italic:

1. Jean-François Joubert (691204 5184 08 2) 54 Plettenberg Street, Welgemoed, Bellville – *Francois Jean*
2. Marie Magdalena Allen (650425 0151 08 6) PO Box 770, Dundee – *Marie*
3. Rani Magan (540309 0048 08 4) 1 Princess Street, Mayfair, Johannesburg – *Rashida*
4. Estiley Mark Roberts (650917 5211 08 9) 25 Naartjie Court, Westridge, Mitchells Plain – *Ashley Mark*
5. Johanna Sophia Coenrad (160207 0054 08 7) 41 Cypress Way, Westridge, Mitchells Plain – *Jameela*
6. Hugh Duncan Kinloch (410315 5010 08 3) 7 Millard Road, Bedfordview – *Euan Duncan*
7. Asa Taliep (700912 0220 08 6) 97 Hugo Street, Goodwood – *Ayesha*
8. Jacomina Gertruida Elizabeth Viljoen (551017 0142 08 0) PO Box 146605, Brackengardens, Alberton – *Jacqui*
9. Elizabetha Carolina Van Niekerk (570114 0086 08 1) PO Box 14557, Zuurfontein – *Elizabeth Carolina*
10. Johan Warneck (570506 5190 08 3) PO Box 1089, Nederburg, Paarl – *Jamiel*
11. Emily Avaral Isaacs (720513 0112 08 7) 90A Disa Street, Klein Nederburg, Paarl – *Laeeka*
12. Marlize Jansen De Beer (730414 0054 08 0) PO Box 55740, Wierdapark – *Marlize*
13. Llewelen Anthony Carelse (740722 5293 08 3) Drakenstein Prison, Private Bag X6005, Suider-Paarl – *Shiraz Faiz*
14. Wilhelmina Johanna Coetzer (741216 0059 08 5) 48 Langrand Road, Sonlandpark, Vereeniging – *Miechelle*
15. Martha Damons (640805 0237 08 9) 20 De Villiers Street, Robertson – *Amanda Martha*
16. Tasnima Noch (700129 0223 08 1) 6 Abrahams Avenue, Strand – *Theresa*
17. Verity Eva Tosca Solomons (640615 0222 08 4) 11 Lakpypie Close, Roosendal, Delft – *Ferial*
18. Michelle Adams (650923 0221 08 1) 30 Washington Way, Portlands, Mitchells Plain – *Mishka*
19. Domingos Branquinho (470716 5066 08 1) PO Box 90, Table View – *Dominic Domingos*
20. Eileen Sarah Magdalena Abdurahman (341003 0071 08 6) 55 Welkom Street, Portlands, Mitchells Plain – *Farieda*
21. Emmanuel Rimmon Fourie (610331 5183 08 3) 21 James Taylor Crescent, Saxilby, East London – *Raymond Emmanuel*

22. Lena Jaars (630617 0155 08 4) University of Stellenbosch, Tiene Louw Hostel, De Waal Street, Stellenbosch – *Molly*
23. Cecilia Stephens (650506 0199 08 2) 18 – 14th Street, Bishop Lavis, Lavistown – *Shakeena*
24. Maria Benjamin (560102 0100 08 7) 67 Constantia Street, Helderberg Park, Strand – *Marilyn Maria*
25. Brigitte Pearl Dreyer (621005 0211 08 4) C7 Elfin Court, Meersig Way 23, Elfindale, Heathfield – *Bridgette Pearl*
26. Edmond Cupido (650503 5183 08 8) 8 Fernande Street, Saxonsea, Atlantis – *Edmund*
27. Cornelia Magrietha Wooldridge (641204 0125 08 1) PO Box 5262, Weltevreden Park – *Lita*
28. Jacqueline Jessica Sauls (741102 0134 08 8) 14 Summerfield Close, Westridge, Mitchells Plain – *Zahrah*
29. Mary Ruiters (680121 0163 08 0) 39 Rivers Way, Bishop Lavis, Lavis Town – *Verona Mary*
30. Devaraj Reddy (670130 5090 08 3) Flat 6, 89 De Boulevard Street, Silverton – *Richard*
31. Auriol Less (660605 0103 08 2) 63 Durandt Road, Silvertown, Athlone – *Ferial*
32. Upasana Bhogal (730911 0215 08 2) 179 Umhlagane Road, Avoca – *Upasana Harriram*
33. Saldrin Shaun Cupido (731023 5072 08 5) 63 Ionian Street, Rocklands, Mitchells Plain – *Aldrin Shane*
34. Lucy Kamaar (480418 0124 08 4) 13 Vygie Street, Extension 2, Ennerdale – *Loetfeya*

No. 1138

23 September 1999

**ALTERATION OF FORENAMES IN TERMS OF SECTION 24 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has in respect of the following persons approved the alteration of their forenames printed in italic:

1. Joanne Olga Ysidora Timothy-Winderlich (751114 0071 08 4) South African Embassy Ankara, Filistin Caddesi No 27, Gaziosmanpasa, PO Box 30, Kucuresat, Ankara 06700 – *Jo-Anne*
2. Catherine Mary Arnoldus (230901 0163 08 0) 16 Bluegum Close, Extension 32, Bethelsdorp, Port Elizabeth – *Katie*
3. Mark Daniels (670117 5713 08 7) 32 Opelskop Street, Eatsridge, Mitchells Plain – *Muéin*
4. Magrieta Frantz (470805 0627 08 6) 3 Cedarberg Street, Greenfields, Blackheath – *Margaret*
5. Dageng Alfred Nokane (610828 5740 08 5) PO Box 1089, Houton – *Alfred Carter Oageng*
6. Mamabele Magdelina Mokgotho (741130 0385 08 7) PO Box 5047, Podiphathswa – *Magdeline*
7. Richard Nhlapo (590810 5191 08 3) 23626 Extension 4, Mamelodi East, Pretoria – *Richard Vusi*
8. Cornelius Shadrack Mogale (661012 5885 08 7) PO Box 479, Bapong – *Cornelius Thabo Shadrack*
9. Maindia Magret Khuma (751114 0320 08 5) PO Box 382, Schweizer Reneke – *Nomaindia Magret*
10. Neermaladevi Reddy (771015 0103 08 0) PO Box 575, Mount Edgecombe – *Neermala Charmaine*
11. Jacoline Gcinekile Zulu (600513 0729 08 3) PO Box 73, Nkandla – *Jacqueline Gcinekile*
12. Phoolwathie Singh (770222 0176 08 9) 12610 Malvern, 18 Jura Street, Malvern, Queensburgh – *Kubashnie*
13. Nomonde Matshele Qholosha (640106 0944 08 3) D108 Kwa Mashu Township, Kwa Mashu – *Nomonde Francina*
14. Azwinndini Nyawaisedza Ramudzuli (491228 0483 08 4) 1 San Martinho Flats, Bedford Gardens, Bedfordview – *Azwinndini Silvia*
15. Ashlin Singh (760112 5131 08 4) 107 Cardham Drive, Brookdale, Phoenix – *Ashayveeshek Ashlin*
16. Leonora Mlotywa (680304 0771 08 2) PO Box 331, Khayelitsha – *Nontsikelelo*
17. Melanie Malek (751130 0152 08 8) 3 Springbok Downs, 208 Sparks Road, Overport, Durban – *Munira*
18. Muziwendoda Xulu (470810 5176 08 9) PO Box 112609, Kwazimele – *Muziwendoda Enoch*
19. Mkapeni Vuqiswa Njokweni (651216 0632 08 7) 48 B Street, Grahamstown – *Regina Vuyiswa*
20. Maboko Andreck Matlala (681226 5386 08 8) 1144 Block A, Mabopane – *Molapo Andrew*
21. Mokgadi Lisbeth Motshwane (750127 0394 08 3) Plot 33, Olivenhoutbosch, Halfway House – *Mokgadi Witness*
22. Thoko Margaret Msiza (650125 0290 08 8) 1580 Vlakfontein, Roodepoort – *Ngwananyana Betty*
23. Makolwane Sebei (480414 0662 08 2) PO Box 301, Chuenespoort – *Rekgethilde Makoloane*

24. Israel Ganesh (751015 5110 08 4) 123 Natraj Centre, Merebank, Durban – *Daniel*
25. Nelisa Gxaba (730606 7226 08 8) 69 Luyolo Street, Khayamandi, Stellenbosch – *Nelisa Comfort*
26. Nomzingisi Zoya (721003 1095 08 4) Ncedisizwe Store, PO Box 43, Ncora – *Nombeko*
27. Klaas Macdull Shimane Tshabile (770111 5800 08 4) 2120 Ntuane Street, Tlhabane – *Mokaedi Klaas Macdull*
28. Thikolelwi Makhado (750607 6217 08 1) PO Box 196, Khakhu, Venda – *Thikolelwi Eric*
29. Nomnikelo Popoyi (730806 0791 08 4) PO Box 136, Franklin – *Nomnikelo Beatrice*
30. Shirley Mabindisa (780530 0308 08 2) Private Bag X74, Bellville – *Bukiwe Shirley*
31. Ntombekhoyo Tshali (700413 0815 08 2) 2124 Mayibuye, Midrand – *Nokuphumla*
32. Masanyana Ambross Foloti (741105 5498 08 5) 1724 Ngwato Street, Kagiso 2, Kagiso – *Masa Ambrose*
33. Matoli Mofana Mofana (520823 5547 08 4) B960A Site C, Khayelitsha – *Matoli Elvis*
34. Jangilanga Stanford Mathe (571005 5732 08 4) 62 Strydpoort Street, Albertsdal – *Stanford Jongilanga*
35. Theni Mtshili (570612 5772 08 4) 10 Chris Hani Street, Kalkfontein, Kuils River – *Theni Alfred*
36. Thozama Mvuyo (680412 1046 08 9) 3605 NU 12, Mdantsane – *Thozama Vivian*
37. Ngqasmeza Jikazana (610203 5568 08 6) 3496 NU 8, Mdantsane, East London – *Mzimkhulu Eric*
38. Thokozile Nkentshane (561212 1154 08 9) PO Box 1459, Kabokweni – *Thokozile Joyce*
39. Phetro Elberton Maphanga (630301 5327 08 5) PO Box 307, Hazyview – *Phetro Alba*
40. Ndishavhelafhi Nelufule (740804 6204 08 5) PO Box 146, Fhatuwani – *Ndishavhelafhi Edward*
41. Mafariseni Riseni Mulaudzi (681028 1062 08 9) PO Box 192, Thohoyandou – *Mpfariseni Cisca*
42. Livhuwan Netshifhefhe (660325 0941 08 0) PO Box 2708, Thohoyandou – *Livhuwani*
43. Monyela Simon Masete (730801 5454 08 5) PO Box 7756, Namakgale – *Simon Letolwane*
44. Julia Ramutlwa (640208 0924 08 9) PO Box 177, Ga Rankuwa – *Julia Vuiswa*
45. Geophrey Shingwenyana (760715 5769 08 1) PO Box 139, Shilubane – *Jeffrey*
46. Eunice Lazar Mhlongo (710805 5460 08 7) PO Box 33, Kanyamazane – *Winis Lazarus*
47. Letta Dibuseng Maleka (720103 0346 08 1) 1449 Extension 1, Othandweni Section, Tokoza – *Busisiwe Mercy*
48. Philisiwe Busisiwe Olgah Mashego (730610 0358 08 8) 1004 Naledi Street, Mabuya Park, Vosloorus – *Philisiwe Busisiwe Olgar*
49. Nonzame Sweetness Moyeni (591010 1326 08 5) 1643 Zone 7, Zwelitsha – *Sweetness Nonzame*
50. Mziwoxolo Aaron Mpendu (630618 5941 08 0) 109 Dimbaza, Kwa-Dimbaza – *Zamuxolo Aaron*

51. Kusakusa Zulu (611125 5626 08 6) LA 890, Kwa Mashu – *Kusakusa Johannes*
52. Kheisana Zitha (570816 5486 08 8) PO Box 658, Giyani – *Kheisana Jimmy*
53. Nomvula Margaret Ndila (581012 0827 08 1) 33563 Dumba Street, Harare, Khayelitsha – *Nokhanyo Margaret*
54. Mosenicie Mokotedi (341020 5218 08 2) PO Box 169, Baavianskrans, Bothaville – *Jonas Kodisang*
55. Mpho Mmatshoko Modise (660803 0774 08 1) 450 Rametsi Street, Tlhabane – *Yvonne Mpho*
56. Syeki Mbizo (450812 5424 08 3) 8405 Unit F, Thokoza, Alberton – *Tyeki*
57. Mnledisi Mtyenene (530101 5881 08 6) 306 Twala Section, Katlehong – *Mncedisi*
58. Pumelele Dlova (551018 5457 08 5) 409 Extension 2, Tokoza – *Pumelele Hamford*
59. Heneioe Selina Vinger (440918 0150 08 7) 3848 Modisenyane Street, Rocklands Township, Bloemfontein – *Keneioe Selina*
60. Dikeledi Josphine Seleke (590823 0668 08 8) House X116, Jouberton – *Dikeledi Josephine*
61. Thathabakhe Mbambo (400403 5379 08 8) PO Box 13581, Kwandengezi – *Norman Thathabakhe*
62. Titus Hlehlelezi Ximba (640710 5248 08 3) PO Box 1806, Durban – *Titus Hlehlelezi Zwelihle*
63. Joel Mosima (500226 5259 08 6) PO Box 504, Ellisras – *Joel Rashato*
64. Ruth Mgoboza (740910 0989 08 2) PO Box 270, Matatiele – *Ruth Nobahle*
65. Thembinkosi Zebon Zuma (640505 6163 08 7) PO Box 48977, Kranskop – *Thembinkosi Innocent*
66. Azwindini Ernest Mphara (640202 6639 08 0) PO Box 3203, Louis Trichardt – *Azwindini Cain Ernest*
67. Mamohuli Anastasia Mthenbu (370313 0269 08 3) 2459 Jama Street, Vosloorus – *Mamohuli Elizabeth*
68. Lele Stanley Mpya (490202 6011 08 3) PO Box 137, Mahwelereng – *Stanley Lele*
69. Mazizi Sidukwana (651027 5739 08 6) 7132 Malie Street, Orlando West – *Shepherd Ronnie*
70. Sibusiso Abednego Mahlangu (651002 5396 08 8) 1079 Jabulani Township, Kwa Xuma – *Sibusiso*
71. Ntombifuthi Madikane (750225 0906 08 6) 2740 Khutsong Township, Carletonville – *Nombifuthi Nobuntu*
72. Anna Jacoba Jackson (770831 0162 08 7) PO Box 16325, Nelspruit – *Samantha*
73. Jinet Mokgokeng Mphahlele (690515 0580 08 6) PO Box 1150, Lebowakgomo – *Jeanett Mogokeng*
74. Sifiso Sakhile Zuma (750906 5312 08 7) PO Box 21980, Kwa Thema, Springs – *Sifiso Sakhele Sydney*
75. Tshidi Rebecca Khaole (730801 0905 08 1) 453-40 West Road, Small Farm, Mafatsana, Evaton – *Matshidiso Monica*
76. Letitia Sharon Davids (720121 0427 08 1) 2 Camelot Close, George – *Aquilah*

-
77. Jonas Ledwaba (570426 5205 08 0) 214 Dr Z Nogantshi Crescent, Spruitview, Katlehong –
Makhulu Jonas
 78. Moelase Lazarus Mahlangu (560810 5282 08 6) 1922 Hinterland Avenue, Mamelodi Gardens,
Pretoria – *Lazarus Mboshwa*
 79. Eric Nene (440901 5213 08 4) PO Box 269, Mahlabathini – *Bhekuphiwa Eric*
 80. Martin Ephraim Lesego Phetoe (580924 5250 08 8) 431 Zone 1, Itsoseng – *Ephraim Martin
Lesego*

No. 1139

23 September 1999

**ALTERATION OF FORENAMES IN TERMS OF SECTION 24 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has in respect of the following persons approved the alteration of their forenames printed in *italic*:

1. Jan Kgotlo (750505 5959 08 5) Eersterus Village, Stinkwater – *Martin Jan*
2. Phepheng Kathy Mokhoali (270912 0118 08 2) PO Box 54, Hoopstad – *Phepheng Kotie*
3. Makhunga Stanford Ndongeni (560407 5578 08 2) 110 Ascot Road, Judith's Paarl – *Shaheed*
4. Maria Mokgobo Phadi (651013 0705 08 2) PO Box 123, Mabopane – *Mokgobo Mary*
5. Epmaro Fletcher Lowole (700906 6121 18 1) St Stithians College, Private Bag 2, Randburg – *Rully Mark*
6. Carmen Adele Williams (771124 0016 08 4) 2 Windsor Park Avenue, Diepriver – *Ilhaam*
7. Ashayveeshek Ashlin Singh (760112 5131 08 4) 107 Cardham Drive, Brookdale – *Aushay*
8. Nosisi Jekwa (460131 0260 08 9) 1860 NU 46, Mdantsane – *Nosisi Nonini*
9. Malebake Job Malaka (610610 5585 08 6) PO Box 550, Ekangala – *Malebake Bob*
10. Zwakuphi Sithole (731008 5825 08 7) Private Bag X2018, Oberholzer – *Zwakuphi Alpheus*
11. Rheema Thajmahomed (750616 0204 08 6) 1 Asteroid Avenue, Woodhurst, Chatsworth – *Raheema Bibi*
12. Phumulani Michael Ximba (780328 6027 08 1) PO Box 608, Umzinto – *Michael Phumulani*
13. Levan Ramlall (750520 5159 08 1) 8 Nerium Road, Lotus Park, Isipingo – *Prajesh*
14. Ebrahamia Kariem (410514 5095 08 2) 3A Jonkershoek Road, Heideveld, Athlone – *Ebrahim*
15. Matlangeni Amos Skosana (420427 5100 08 3) PO Box 216, Radium – *Bahlangene Amos*
16. Nomatshayina Nteyi (420501 0097 08 8) Private Bag 534, Bizana – *Nomatshayina Atrina*
17. Radiseko Solomon Makhudu (560520 5841 08 4) 70 Houghton Drive, Houghton, Johannesburg – *Madumetso Mokgokgoloshi Jimmy*
18. Keageletswe Lekhobe (750703 0516 08 9) PO Box 3118, Sesheng – *Keageletswe Veronica*
19. Mandlenkosi Abraham Nkosi (640901 5622 08 4) Private Bag X003, Mtubatuba – *Mandla Mabomu*
20. Elizabeth Ntome Radali (720903 0382 08 9) 3 Brand Street, Bellville South – *Elizabeth Ntome Pinky*
21. Maria Mpanza (691027 0538 08 9) 544 Dube Village, Soweto – *Maria Busisiwe*
22. Nooientjie Bosman (750605 0217 08 1) 582 Skotland Street, Bella Vista, Ceres – *Nancy*
23. Albertinah Lindiwe Saxon (760624 0305 08 3) 64 Rouxhansen Street, Geluksdal – *Albertinah Lindy*

24. Felicity Anastasia Butler (770725 0065 08 6) 9 Kerrem Street, Beacon Valley, Mitchells Plain – *Firdouz*
25. Vikhongelo Jennifer Nkosana (731006 0356 08 2) PO Box 12486, Leraatsfontein – *Xikhongelo Jennifer*
26. Mhlangifika Dlamini (740916 6257 08 5) 360 Dube Street, Wattville – *Mhlangifika Eric*
27. Ntombifikile Vezi (610105 0457 08 4) PO Box 2070, Pomeroy – *Ntombikayise Virginia*
28. Ann Theresa Van Der Merwe (640924 0962 08 1) 24 Francis Street, Woodstock – *Insaaf*
29. Mampho Janet Sibanda (661001 0398 08 9) 9533 Pimville, Zone 6, Bester – *Mampho Jeanette Tiny*
30. Samuel Philip Slabb (1938.07.18) 47 Oboe Street, Steenberg – *Allie*
31. Elizabeth Iris Thagane (1977.01.17) 5540 Makwa Street, Phahameng, Bloemfontein – *Elizabeth Dimakatso*
32. Nowizana Mqhumza (620208 1012 08 6) PO Box 163, Idutywa – *Nowizana Nosize*
33. Lorette May Petersen (750609 0932 08 7) 7 Vygie Crescent, Roosendal, Delft – *Lamees*
34. Kenneth Thabo Mongologa (760905 5521 08 3) 17 Poku Flats, 491 Walker Street, Sunnyside – *Thabo*
35. Mhlawumbe Frank Kubheka (650710 6022 08 8) PO Box 1945, Pietermaritzburg – *Frank*
36. Mathabo Anastasia Lefalatsa (660809 0422 08 4) Private Bag X2018, Oberholzer – *Maliako Anastatia*
37. Margaret Kubheka (411225 0209 08 6) 1107 Bishopsgate, 508 Smith Street, Durban – *Margaret Ziphi*
38. Peter Ranwedzi (550609 5693 08 2) PO Box 17641, Pretoria – *Alfheli Peter*
39. Oupa Luka Mabizela (550625 5720 08 9) 2808 Wesselton, Ermelo – *Oupa Luke*
40. Fugafuga Phillip Nhlathi (530812 5849 08 5) PO Box 245, Badplaas – *Faniso Phillip*
41. Jennifer Sybil Mtshali (520302 0738 08 9) 9 North Road, Cowies Hill – *Jennifer Sybil Khanyisile*
42. Maeya Selydia Seilane (780115 0401 08 5) PO Box 283, Hartbeesfontein – *Maeya Lydia*
43. Priscilla Mdluli (551027 0781 08 4) PO Box 2355, Esikhaweni – *Priscilla Nonhlanhla*
44. Bunntsanie Richard Joyful Ngubane (630729 5490 08 3) PO Box 1447, Greytown – *Dumisani Richard Joyful*
45. Marmta Ramesh Amaidas Abrahams (760825 0126 08 6) 141 Burwood Road, Rondebosch, Landsdown – *Mishkah*
46. Tiny Mogano (710108 0099 08 7) PO Box 5371, Pietersburg North – *Janet Elsie*
47. Lebogang Kwena Letsebe (770221 5430 08 7) 107 Maseko Street, Saulsville – *Lebogang Canon Kwena*

48. Mqgibelo Zini (750915 5910 08 9) 588 Moroe Street, Khuma Township, Stilfontein – *Mqgibelo Simon*
49. Mkhombiseni Shezi (700724 5353 08 9) Q4/157 Umlazi Township, Umlazi – *Mkhombiseni Cedrick*
50. Saulus Paulus Jacobs (750914 5156 08 2) 15 Faucale Street, Extension 23, Belhar – *Steven*
51. Alan Holtzman (780118 5151 08 5) 124 Orpheus Crescent, Woodlands, Mitchells Plain – *Aneeb*
52. Magavali Govender (770304 0049 08 4) 39 Hyde Park Circle, Snastr Park, Phoenix – *Julie*
53. Naneki Majoko (730411 0601 08 4) PO Box 1473, Elim Hospital – *Naniekie Yusiwana*
54. Chellis Ndala Nkadimeng (740813 5367 08 2) PO Box 197, Siyabuswa – *Charles Ntala*
55. Lindiwe Florence Langa (670505 0441 08 6) PO Box 1272, Kanyamazane – *Majawonke Fortune*
56. Tembelani Melapi (611212 5759 08 1) 891 Dikole Section, Katlehong – *Tembelani Kaya*
57. Ntsakazana Mantoza (360406 5402 08 9) PO Box 183, Umtata – *Ntsakazana Section*
58. Salminah Mashikwane Sebola (591023 0473 08 9) PO Box 2576, Warmbad – *Mashikwane Salminah*
59. Yvonne Ntombenhle Mbonani (611201 0446 08 3) 116 Tshongweni Section, Katlehong – *Yvonne Ntombenhle Vanessa*
60. Winston Wayne Van Rooyen (751028 5145 08 3) 39 Ottello Crescent, Eastridge, Mitchells Plain – *Waseem*
61. Yoliswa Clara Sigxashe (570820 0873 08 4) PO Box 160, Newlands, Pretoria – *Nonzwakazi Yoliswa Clara*
62. Nokufaneleka Cynthia Songcata (470605 0613 08 0) NY 64 No 133, Guguletu – *Nokufaneleka Cynthia Nolungile*
63. Collette Noordien (770424 0163 08 9) 35 Magaliesberg, Tafelsig, Mitchells Plain – *Fadwa*
64. Nkosinathi Siphumelele Zungu (760120 1010 08 7) Private Bag X20, Ulundi – *Octavia Lindiwe*
65. Mohlopi Charge Tjabadi (651007 5513 08 7) PO Box 64, Glen Cowie – *Tjabadi Charge*
66. Menelisi Wiseman Gcelu (611114 5811 08 8) Department of Justice, Private Bag X9065, East London – *Menelisi Wiseman Menele*
67. Lintletse Berhadette Lydia Bucwa (370701 0357 08 2) 1 Mgwangqa Street, NU 6 Motherwell, Swartkops – *Lintletse Bernadette Lydia*
68. Moganat Sidick Masoet (440325 5456 08 1) 35 Gloriana Crescent, Eastridge, Mitchells Plain – *Moegamat Sedick*
69. Thoko Khelina Mkhonza (521223 0322 08 9) PO Box 421, Fernie Trust – *Thoko Celina*
70. Thabo Sofen Tsotetsi (711022 5503 08 5) 249 Extension 2, Thokoza – *Thabo*
71. Nonceda Patricia Nondabula (561216 1066 08 6) PO Box 1453, Matatiele – *Patricia Noncedo*

72. Zinzile Gladwell Plaat (600616 6042 08 6) 209 Skhosana Section, Katlehong – *Zuzile Gladwell*
73. Fundakwezakhe Ndwandwe (640429 5335 08 5) 118 Sweetkhoza Street, Tokoza – *Fundakwezakhe Robert*
74. Brendan Geraldo Issel (770820 5061 08 9) 24 Queen Street, Worcester – *Bashier*
75. Jeanette Maneo Ketela (590217 0856 08 4) 9934 Phelindaba, Bloemfontein – *Maneo Jeanette*
76. Xolile Mzizi (660506 5970 08 9) PO Box 9344, Promosa, Potchefstroom – *Xolile Patrick*
77. Ramaisela Cathrine Phahlane (630720 0380 08 0) 341 Moloto, Kwa Mhlanga – *Ramaisela Joyce*
78. Gregory Van Tura (780303 5095 08 2) 1 Riversdale Way, Portlands, Mitchells Plain – *Ebrahim*
79. David Lekgolo Rakobo (730312 5564 08 9) PO Box 2518, Segepe – *Lekgolo*
80. Fezeka Patricia Gqizana (691204 0734 08 9) 24 Mdaka Street, Duncan Village, East London – *Nosive Patricia*
81. Sellwane Emily Koaho (761119 0327 08 7) Stand 6645, Extension 12, Jouberton – *Sellwane Emily Cassandra*
82. Victoria Nomthandazo Ngcobo (760725 0767 08 9) 365 – 6th Street, Clermont – *Zanele Promise*
83. Wonder Tshetlha Moholo (770503 5753 08 3) Bokfontein Farms, Brits – *Samuel Wonder*
84. Bungiwe Cacambile (660606 0589 08 0) 11868, Extension 11, Lanana – *Bungiwe Eunice*
85. Thayimani James Kubheka (550624 5248 08 4) D1216 Umlazi Township, Umlazi – *Thuba Wolfson*
86. Fumanekile Ngada (720613 6089 08 9) 5 Mandela Park, Zone 5, Katlehong – *Fumanekile Samson*
87. John Fred Francis (340328 5063 08 0) 8C Abdullah Moosa Road, Parkwood Estate, Southfield – *Mogamat Noor*
88. Tholamile Augustine Titi (410223 5437 08 4) 26 Majola Street, Kwanobuhle – *Thozamile Augustine*
89. Isaac Ivan Heuvel (400630 5114 08 7) 46B Athon Walk, Hanover Park, Athlone – *Irfaan*
90. Mmapitsi Maphutha (600312 0887 08 6) PO Box 116, Masemola – *Mapitsi Melrien*
91. Sehlare Alpheus Kgoale (601126 5455 08 0) PO Box 1532, Chuenespoort – *Sehlare Lipson*
92. Rudzani Nefuri (770922 0540 08 1) PO Box 495, Tshakhuma, Venda – *Rudzani Claire*
93. Mgolombane Lusiba (591004 5532 08 7) Ndindwa Administrative Area, Middledrift – *Mgolombane Patrick*
94. Novuyisa Gloria Mtlomelo (590918 0792 08 4) 1370 Thembelihle Street, Siluma View, Katlehong – *Novuyisa Zoliswa Gloria*
95. Thandekile Mkhize (680506 0581 08 5) PO Box 54865, Estcourt – *Thandekile Mavis*
96. Benuindo De Jesus Yabala (780328 5268 08 2) PO Box 388, Pomfret – *Benvindo De Jesus*

97. Henry Thokozani Khumalo (750125 5608 08 5) PO Box 62355, Estcourt – *Talent Khonolakhe*
98. Ngwako Donald Mokati (710601 5832 08 0) PO Box 273, Halfway House – *Ngoako Donald*
99. Dainah Zodwa Ndlovu (570912 0245 08 0) 12 Wilford Road, Germiston - *Dainah*

No. 1140

23 September 1999

**ASSUMPTION OF FORENAMES IN TERMS OF SECTION 24 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has in respect of the following persons approved the alteration of their forenames to the forenames printed in *italic*:

1. Alan Michael Shalev - 1952.07.03 - Moshav Talmei Josef, P N Hawegev - *Ilan*
2. Juta Mchunu - 600912 5817 085 - 25 Taling Road, Sunward Park, Boksburg - *Musawenkosi*
3. Vusuthuli Duma - 460318 5506 083 - 856A Gama Street, Zone 5, Meadowlands - *Vusuthuli Mzichithwaxo*
5. Lisiwe Sweetness Soyamba - P O Box 2138, King Williams Town - *Lisiwe Sweetness Rayisa*
6. Nicole Nokuthula Mpfu - 751226 0786 089 - P O Box 61466, Marshalltown - *Nicole Sitshengisiwe*
7. Ignatius Moeketsi - 760527 5212 081 - 828 Phase 3, Diepkloof Extension, P O Khotso - *Ignatius Cassius Moeketsi*
8. David Boy-Boy Jada - 621104 5963 086 - 74 Mlejaneni Section, Tembisa - *Mthandene Ben*
9. Denvor Morice - 591130 5114 087 - 25 Lansor Road, Hanover Park - *Anthony Joel Nicholas*
10. Zakhele Ngcobo - 740818 5019 088 - Maqokomela LP School, Ndwedwe - *Zakhele Patrick*
11. Mlamuli Goodman Makhanya - 751012 5358 086 - 3209 Makhutha Township, Amanzimtoti - *Sandile Mlamuli*
12. Hendrik Petrus Reed - 780622 5190 084 - 9 Nederburg Paardekraal Drive, Monument, Krugersdorp - *Sam Tristan*
13. Victor Manuel Loureiro Roza Roza De Oliveira - 460713 5103 180 - 9 Wilson Street, Pietermaritzburg - *Victor Manuel Loureiro*
14. Steven Themba Simelane - 630606 5386 083 - 192 Tlamatlama Extension Tembisa - *Pilson Mphiliseni*
15. Sydney Zwane - 1977.02.05 - 5 Kekana Street, Wattville, Benoni - *Bhekumuzi Sydney*
16. Undali Abel Ngwenyama - 751030 5568 082 - 92 Hlubi Street, Ermelo - *Dumisani Abel*
17. Khemraj Ali - 660415 6039 086 - P O Box 1255, Crown Mines, Johannesburg - *Ashraf*

No. 1141

23 September 1999

**ASSUMPTION OF ANOTHER SURNAME IN TERMS OF SECTION 26 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has authorised the following persons to assume the surname printed in italic:

1. Alan Witz - 1952.07.03 - Moshav Talmei Josef, P N Hawegev - *Shalev*
2. Siphso Michael Makubo - 621201 6075 082 - 1376 Mokoena Street, Orlando East, Gauteng - *Nonjakazi*
3. Vusuthuli Ngcobo - 460318 5506 083 - 856A Gama Street, Zone 6, Meadowlands - *Duma*
4. Gondephi Jeanetta Msibi - 520213 0275 081 - P O Box 17070, Witbank - *Mkhatshwa*
5. Juta Mapisa - 600915 5817 085 - 25 Taling Road, Sunward Park, Boksburg - *Mchunu*
6. Solomon Solly Mahlangu - 490202 5737 084 - P O Box 4450, Empumalanga - *Skhosana*
7. Ngcashane John Shabane - 440305 5200 085 - Entabeni School, Margate - *Nzimakwe*
8. Reggie Halausani Mbatha - 640427 5768 081 - P O Box 116634, Wasbank - *Mahlambi*
9. Gezani Maurice Mabasa - 670413 5643 089 - P O Box 577, Malamulele - *Maswanganyi*
10. Vusi July Mngomezulu - 570628 5449 085 - 7027 Ivory Park, Midrand - *Lukhele*
11. Ntshavheni Edward Netshifhefhe - 490218 5662 086 - P O Box 586, Mukula - *Netshaulu*
12. Lesetja Piet Madimetja - 341221 5140 082 - P O Box 197, Monyaneng - *Monyamane*
13. Gideon Andreas Mkhabela - 630317 5750 084 - 20 Mac Donald, Umbilo, Durban - *Chauke*
14. David Thomas Nkosi - 590616 5326 086 - Mganduzweni Trust, Whiteriver - *Chiloane*
15. Lekgolwa Johannes Lekgampunyane - 330204 5117 089 - P O Box 82610, Mamelodi East - *Mofokeng*
16. Sonwabo Abishian Dlamini - 340102 5445 088 - P O Box 2331, Kabokweni - *Alexander*
17. Nkeba Marcus Tibi - 570905 5747 084 - P O Box 750, Brits - *Moeng*
18. Lettia Lina Makhupyi - 520501 0224 087 - P O Box 142, Seleka - *Molepolle*
19. Grace Magayine Ratselane - 620102 1359 086 - P O Box 807, Driekop - *Tselane*
20. Mzoko Adam Mosia - 600801 5762 088 - P O Box 234, Harrismith - *Gumbi*
21. Walter Wolfkop - 230401 5161 080 - 999 Dimbaza, Dimbaza - *Cola*

22. Sibongile Millicent Vilakazi - 760401 0321 083 - 214 Garland Street, Wesselton, Ermelo - *Sibeko*
23. Levy Bheki Nkambule - 610603 5700 086 - P O Box 5329, Emalahleni - *Nkambule-Maphosa*
24. Fonkie Samuel Mvula - 580504 5810 089 - P O Box 1730, Hammanskraal - *Mthimunye*
25. Yandisa Edward Kota - 571020 5736 084 - 1802 Vilakazi Street, Tokoza - *Bixa*
26. Mandlenkosi Abednego Shabangu - 590808 5793 080 - P O Box 51218, Osizweni - *Dlamini*
27. Asekia Jerry Mogamane - 320111 5156 086 - 1602 Molifi Street, Dobsonville - *Mohamme*
28. Ramathabathe Christina Tele - 350417 0181 083 - 1 Beech Street, Extension 3, Brayanstons - *Mathete*
29. Ntombifikile Jane Hlongwane - 570911 0713 089 - V980 Umlazi, P O Umlazi - *Nxumalo*
30. Dolly Eugenia Mahlulo - 730427 0293 086 - P O Box 1666, King Williams Town - *Vena*
31. Fundile Sikoliwe - 370101 6412 082 - Corrocrit B, P O Box 1517, Durban - *Mkahlela*
32. Zwelitsha Bible - 501103 5728 080 - Sakhele Store, P O Box 145, Ngqeleni - *Gxaba*
33. Mmule Annah Motlana - 520602 0960 082 - Majaneng, Moretele - *Masinga*
34. Goolam George Awetta - 620101 8807 089 - 406 Selgro Centre, Pietermaritzburg - *Ishmael*
35. Mandlenkosi Erickson Mabaso - 661115 5407 081 - P O Box 3407, Mandini - *Mchunu*
36. Cikizwa Angelinah Fihla - 660101 0819 083 - 1231 Extension 4, Khutsong Township, Carletonville - *Mabeleza*
37. Matsakarane Jonas Masilela - 610310 5410 084 - P O Box 4110, Mpudulle - *Tjiana*
38. Mtshingeni Vincent Nxumalo - 631209 5784 081 - P O Box 1141, Eshowe - *Ndwandwe*
39. James Ngobeni - 610729 5744 087 - 2400 Mponeng Street, Silumaview, Katlehong - *Moholane*
40. Gilbert Daniel Sampson - 460314 5207 087 - 99 Kilimanjare Street, Tafelsig, Mitchells Plain - *Sampson*
41. Boy George Nkosi - 600415 5824 085 - 2564 Block GG, Soshanguve, - *Vilakazi*
42. Bhutman Thomas Matlala - 660516 5325 085 - and his wife - Sibongile Dorah Matlala - 711225 0856 081 - 515 Ramokonopi West, Katlehong - *Ngubeni*

43. Tshadiso Kenneth Mabaso - 700722 5490 083 - his wife - Nomqibelo Maria Mabaso - 721007 0389 083 - and two minor children - Martha Lerato Thokoane - 880910 0300 082 - Teboho Romeo Mabaso - 960804 5157 089 - 5138 Zone 12, Sebokeng - *Ketsise*
44. Israel Busani Dube - 611201 5480 087 - P O Box 8545, Esikhawini - *Mthethwa*
45. Sibusiso Themba Khoza - 610531 5582 081 - his wife - Sibongile Millicent Khoza - 671024 0333 086 - and one minor child - Siyanda Welcom Khoza - 930429 5536 087 - P O Box 672, Mtubatuba - *Magwaza*
46. Thomas Njobeni Ngomane - 610113 5619 088 - and two minor children - Nomcebo Innocentia Ngomane - 891126 0586 086 - Nomkhosi Ngomane - 860804 0580 088 - *Singwane*
47. Redphas Mbongeni Mpanza - 581204 5854 083 - P O Box 1017, Mtubatuba - *Mhlaba*
48. Maswabi Peter Makapan - 581014 5849 086 - P O Box 645, Mbibane - *Petje*
49. Esther Temki Masombuga - 630626 0745 083 - and two minor children - Violet Buyi Masombuka - 810207 0258 088 - Nhlanhla Wendy Masombuka - 931008 0427 085 - 20278 Buffer Zone, Mamelodi East, Pretoria - *Sibiya*
50. Leihlo Stephen Nkwele - 480303 5772 089 - P O Box 446, Masemola - *Nkogatse*
51. Moses Ndlela - 740119 5610 087 - P O Box 87, Welobie - *Moyane*
52. Sylvia Khunou - 750328 0463 080 - 1582 Maluleka Stand, Winterveld - *Mabena*
53. Sibongile Mandzikane Mahlobo - 750605 0577 088 - P O Box 2118, Shongwe Mission - *Sandleni*
54. Mbuyiseli Wellington Maya - 751030 5337 082 - 43 Radast Street, Zwide, Port Elizabeth - *Lumkwana*
55. Sikhumbuzo Aaron Mafate - 740824 5540 081 - P O Box 1913, Emondlo - *Mhlongo*
56. Mlungisi Lucius Tyinoyi - 671229 5607 083 - 43 New Location, Hoffmeyer - *Tyindyi*
57. Emmanuel Nkosi - 740910 5707 083 - P O Box 413, Magaliesburg - *Zulu*
58. Tafayile Petrus Dlamlenze - 680923 5828 082 - 2270 New Location, Ermelo - *Zulu*
59. Petros Siphon Mambane - 650610 5702 088 - P O Box 223, Mpuluzi - *Lushaba*
60. Victor Manuel Lovreiro Roza De Oliveira - 9 Wilson Street, Pietermaritzburg - *Roza De Oliveira*
61. Mjita Simon Vilakazi - 561105 5328 081 - and his wife - Thokozile Grace Nkosi - 610529 0252 080 - and three minor children - Vusumuzi Hezekiel Vilakazi - 910227 5354 087 - Nelisiwe Prisca Vilakazi - 931201 0271 088 - Sanele Vilakazi - 960630 5374 089 - P O Box 448, Ermelo - *Simelane*
62. Keke Mlenze - 370510 5069 083 - and his wife - Ivy Nombeko Mlenze - 450710 0106 087 - 1122 Nu 6, Mdantsane - *Makasi*
63. Steven Themba Zungu - 630606 5386 083 - and his wife - Lurama Euginia Zungu - 590202 0741 080 - and one minor child - Sibusiso Zungu - 900218 5252 084 - 192 Tlama-Tlama Extension, Tembisa - *Simelane*

64. Parmananthan Narainsamy - 700127 5260 082 - his wife - Christine Narainsamy - 720324 0261 084 - and two minor children - Craig Narainsamy - 921027 5089 080 - Nicole Nariansamy - 941213 0218 082 - P O Box 864, Nagina - Govender
65. Lisiwe Sweetness Rayisa - 570624 0920 089 - P O Box 2138, King Williams Town - Soyamba
66. Nicole Nokuthula Dube - 751226 0786 089 - P O Box 61466, Marshalltown - Mpofu
67. Morné Pieter Kruger - 710923 5249 085 - and his wife - Ilse Antoinette Kruger - D40 Stenbras Street, Roenvallei, Bellville - Krüger
68. Mandla John Danca - 520715 5721 083 - P O Box 41480, Port Shepstone - Shozi
69. Mandla Caiphas Dhlamini - 390320 5367 081 - 807 Section 1, Madadeni - Sithebe
70. Elizabeth Sibongile Pomo - 610524 0294 083 - Stand 3458, Extension 2, Empalenhle - Dhlamini
71. Mzwandile Wiseman Mbhele - 670105 5742 081 - P O Box 243, Ixopo - Magubane
72. Mandlakayise Meshack Dindi - 701121 5584 087 - P O Box 175, Hammadale - Mthembu
73. Mbadala Michael Mloja - 501214 5538 088 - and his wife - Feiki Rantele Vilakazi - 580526 0622 086 - and one minor child, Innocent Thulani Mloja - 931228 5161 089 - House 17461, Zone 14, Sebokeng - Mlotshwa
74. Ignitius Drachman - 760527 5212 081 - 828 Phase 3, Diepkloof Extension, P O Khotso - Moeketsi
75. Mohale Edmon Ramokota - 630211 5595 088 - and his three minor child - Thabang Ramokgotha - 910422 5186 080 - Stella Philly Ramokota - 1984.06.17 - Khomotso Edgar - 1986.09.24 - 7964 Zone 1, Pimville - Magoro
76. Elias Mkhomazi - 580208 5414 088 - P O Box 518, Msogwaba - Mashego
77. Thembinkosi Nathaniel Mphambane - 521109 5796 080 - and his wife - Nomatempile Mphambane - 501105 0149 089 - P O Box 170, Tsolo - Mzamane
78. Ramana Pydiah - 440303 5116 088 - and his two minor children - Nugendhren Pydiah - 791218 0153 081 - Morgan Pydiah - 811024 5078 083 - P O Box 126, Dalton - Naidoo
79. Rolf Michael Sasowski - 390722 5079 188 - P O Box 53, Camps Bay - Sasowski Von Rüdiger
80. Suleiman Reddy - 710726 5134 086 - and his wife - Zunera Reddy - 760404 0082 085 - P O Box 18858, Actonville - Reddy Omar
81. Baldwin Roland Jacobus - 680423 5083 085 - P O Box 42005, Bethelsdorp - Noah
82. David Boy-Boy Radebe - 621104 5963 086 - 74 Mlejaneni Section, Tembisa - Jada
83. Raymond Stamper - 640523 5500 084 - and his wife - Dorothy Williams - 640516 0131 087 - NY 145 No. 5, Guguletu - Mawisa

84. Sydwell Sindisiwe Madangatya - 530605 5194 084 - and his wife - Nokuzola Elizabeth Madangatya - 610527 0148 084 - Fameni Store, P O Elliotdale - *Sonwabo*
85. Oupa Sebalo Andries Pali - 631209 5666 080 - and his two minor children - Tshediso Pali - 900408 5184 086 - Malefu Migie Pali - 920530 0175 086 - 3844 Zone 12, Sebokeng - *Sebalo*
86. Elizabeth Zanela Hlongwane - 660806 0560 087 - and her three minor children - Thobile Innocensia Hlongwane - 850412 0728 087 - Portia Phindile Hlongwane - 880624 0700 080 - Nqobile Snowie Hlongwane - 950102 0210 082 - V980 Umlazi, P O Umlazi - *Nxumalo*
87. Lindiwe Elizabeth Nkosi - 750408 0380 086 - 1886 Pakar Street, Midrand - *Maabane*
88. Arthur Joseph Willemse - 600516 5193 080 - his wife - Anna Willemse - 590510 0146 088 - and one minor child - Mcarthur Weston Willemse - 931103 5233 081 - Woonstel Q-3, Rhodewal, Worcester - *Williams*
89. Denvor Geduld - 591130 5114 087 - 25 Lansor Road, Hanover Park - *Morice*
90. Tladi Stephen Leboru - 320321 5220 085 - 731 Central Western Jabavu P O, Kwa-Xuma - *Makhene*
91. Mziselwa Makuselwe - 500101 7411 086 - Mvoti Area, Mapumulo - *Cabe*
92. Zamilé James Boshwana - 450201 5214 084 - 529 Africa Road, Zweletemba - *Bushwana*
93. Mcikideni Qeda - 470504 5627 080 - P O Box 55, Stanger - *Bholitye*
94. Rebecca Thoko Mthalane - 490618 0655 080 - Outside Office, Private Bag X9078, Pietermaritzburg - *Chonco*
95. Zakhele Dube - 740818 5019 088 - Maqokomela LP School, Ndwedwe - *Ngcobo*
96. Mlamuli Goodman Mthombeni - 751012 5358 086 - 3209 Kwa Makhutha Township, Amanzimtoti - *Makhanya*
97. Nkosikhona Jerome Ngobese - 1976.10.07 - B949 Umbando Road, P O Kwamashu - *Ndunakazi*
98. Hendrik Petrus Venter - 780622 5190 084 - 9 Nederburg Paardekraal Drive, Monument, Krugersdorp - *Reed*
99. Herman Sambo - 1978.05.31 - 565 Kanana Location, Kanana - *Sehume*
100. Risemati Jameson Mkhaisi - 470110 5245 087 - Private Bag X1203, Malamulele - *Mabasa*
101. Mahulani Petrus Malaza - 371116 5243 089 - and his wife - Enica Sdudla Sikhosana - 451022 0236 081 - 780 Maluka Street, Lynnville, Emalahleni - *Maseko*
102. Sandile Collin Shezi - 670116 5355 089 - P O Box 19, Paddock - *Kapokwe*

**DEPARTMENT OF LABOUR
DEPARTEMENT VAN ARBEID****No. 1134****23 September 1999**

BASIC CONDITIONS OF EMPLOYMENT ACT, No. 75 OF 1997

**INVESTIGATION INTO THE PROGRESSIVE REDUCTION OF WORKING HOURS TO A LEVEL OF
40 HOURS PER WEEK**

It is hereby announced for general information that the investigation, in terms of paragraph 4 (2) of Schedule One of the Basic Conditions of Employment Act, No. 75 of 1997, on the progressive reduction of working hours to a level of 40 hours a week has commenced.

The terms of reference for the investigation are:

"To investigate ways in which the reduction of weekly working hours to a level of 40 hours per week may be achieved having due regard to the impact of a reduction of working hours on existing employment and opportunities for employment creation, economic efficiency and the health, safety and welfare of employees."

SOUTH AFRICAN QUALIFICATIONS AUTHORITY (SAQA)**No. 1142****23 September 1999**

In order to proceed efficiently with the registration of standards and qualifications while Standards Generating Bodies (SGBs) are in the process of being registered, the following unit standards are published by SAQA for public comment.

These unit standards have been developed by the Interim ABET Advisory Body (IAAB) in collaboration with key stakeholders.

This notice contains the titles and specific outcomes of the unit standards. The full unit standards can be accessed via the SAQA website: <http://www.saqa.org.za> Copies may also be obtained from the Directorate: Framework Implementation.

All public comment on the unit standards and qualifications should be sent to

Mr. Joe Samuels, Director: Framework Implementation

prior to 22 October 1999 at

Postnet Suite 238
Private Bag x 06
Waterkloof
0145

Tel: (012) 346 9134
Fax: (012) 346-5812

**BELOW FOLLOW ADULT BASIC EDUCATION AND
TRAINING UNIT STANDARDS FOR:**

- Communication and Language: NQF LEVEL 1

**UNIT STANDARDS FOR COMMUNICATION AND LANGUAGE: NQF LEVEL 1
UNIT TITLES**

1. Title: Show critical awareness of language usage
2. Title: Engage with aesthetic, affective, cultural and social values in texts
3. Title: Identify, access, analyse, use and present information
4. Title: Use appropriate communication skills, conventions, and structures for specific purposes and situations
5. Title: Explore and use a variety of strategies to learn
6. Title: Identify and analyse grammatical features and structure of texts

**COMMUNICATION AND LANGUAGE UNIT STANDARDS: NQF LEVEL 1
UNIT TITLES AND SPECIFIC OUTCOMES**

- 1. Title: Show critical awareness of language usage**

Specific Outcome

1. Identify and analyse the likely purpose, audience and source of texts
2. Explain ways in which language is used to transmit and shape socio-cultural ideas and values
3. Identify, analyse and respond effectively to the manipulative, ideologically-driven and biased uses of language and text
4. Make inferences from texts
5. Reflect critically on a writer's / speaker's point of view
6. Explain, challenge and respond to attitudes towards languages and language varieties

- 2. Title: Engage with aesthetic, affective, cultural and social values in texts**

Specific Outcome

1. Identify, analyse, evaluate and use literary and stylistic devices
2. Give and justify opinions on texts
3. Review opinions in relation to the opinions of others
4. Relate texts to own personal lives and lives of others
5. Identify ways in which context affects meaning and understanding

3. Title: Identify, access, analyse, use and present information**Specific Outcome**

1. Identify the need for and aim of information
2. Locate and select information
3. Evaluate the accuracy, reliability and relevance of the information
4. Categorise, classify, select and analyse information appropriately
5. Develop reasoned arguments in the course of applying information
6. Present the results of the information search and processing in an appropriate format
7. Apply newly-acquired knowledge to life situations

4. Title: Use appropriate communication skills, conventions and structures for specific purposes and situations**Specific Outcome**

1. Identify the purposes of the interaction and choose an appropriate medium of communication
2. Use format and conventions appropriate to the task
3. Select and present content appropriate to the task
4. Apply knowledge of language conventions to produce a text
5. Use register and tone appropriate to the written task
6. Plan, draft, edit and check a text
7. Use register, tone and body language appropriate to the oral task

5. Title: Explore and use a variety of strategies to learn**Specific Outcome**

1. Use language for learning
2. Take responsibility for her/his own learning and make choices about learning
3. Identify different kinds of learning strategies and use what is appropriate to the task
4. Use resources effectively to supplement learning
5. Reflect on and evaluate learning strategies

6. Title: Identify and analyse grammatical features and structure of texts**Specific Outcome**

1. Identify and interpret format, layout and typographical features of texts
2. Know and apply conventions of different genres
3. Identify and interpret the literal and inferred meaning of the text
4. Identify and use internal devices for cohesion and coherence in a text
5. Identify and analyse visual features of texts

SOUTH AFRICAN QUALIFICATIONS AUTHORITY (SAQA)**No. 1143****23 September 1999**

In order to proceed with the establishment of Standards Generating Bodies in terms of Government Regulations 19(1)(c) and 22(2) of 28 March 1998, National Standards Body 03 (Business, Commerce and Management Studies) invites public comment with respect to *the acceptability of the nominees and the representativeness of the key education and training stakeholder interest groups* listed in the SGB notice below.

In addition, the NSB invites submissions from interested parties wishing to serve on such an SGB. Interested parties should take note of the section on SGB Information below.

All nominations/ applications should be accompanied by curricula vitae.

More information regarding this application may be obtained on the SAQA website or from the SAQA offices.

Comment should reach the NSB at the address below **by not later than Monday 25 October 1999**. All correspondence should be marked **SGB Formation - NSB 03** and be addressed to:

<p>The Director: Framework Implementation Attention: Mr M.C. Cosser SAQA Postnet Suite 248 Private Bag X06 Waterkloof 0145 or faxed to 012 – 346 5812</p>
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SGB INFORMATION

As a necessary step in the development and implementation of the National Qualifications Framework, The National Standards Bodies are briefed [regulation 19(1)(c) of 28 March 1998] to recognise or establish Standards Generating Bodies (SGBs).

SGBs shall:

- a. generate standards and qualifications in accordance with the Authority requirements in identified sub-fields and levels;
- b. update and review standards;
- c. recommend standards and qualifications to National Standards Bodies;
- d. recommend criteria for the registration of assessors and moderators or moderating bodies; and
- e. perform such other functions as may from time-to-time be delegated by its National Standards Body.

Any bodies wishing to nominate representatives, make application to serve on, or make any other submission with regard to the above SGB should note the following information.

SGBs should be composed of organisations, which shall be key education and training stakeholder interest groups and experts in the sub-field. The NSB, when making its final decisions will have due regard for, among other things, *the need for*

representativeness and equity, redress and relevant expertise in terms of the work of the SGBs.'

Organisations proposing to nominate persons to SGBs should be sensitive to the need for **equity** and **redress**, and shall nominate persons who-

- (a) will be able to consider issues of productivity, fairness, public interest and international comparability as related to education and training in the sub-field;
 - (b) enjoy credibility in the sub-field in question, who enjoy respect; have the necessary expertise and experience in the sub-field and have the support or backing of the nominating body;
 - (c) are able to advocate and mediate the needs and interests of all levels within the sub-field covered by the Standards Generating Body;
 - (d) are able to exercise critical judgement at a high level; and
 - (e) are committed to a communication process between the Standards Generating Body, the National Standards Body and the Constituency.
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PUBLIC NOTICE BY NSB 03, BUSINESS, COMMERCE AND MANAGEMENT STUDIES, OF AN APPLICATION TO REGISTER AN SGB FOR PROJECT MANAGEMENT

NSB 03 has received an application to recognise and register an SGB for Project Management.

PROPOSED BRIEF OF THE SGB

1. Research and identify the South African requirements regarding Project Management standards and qualifications [Regulation 24(1)(e)].
2. Research and identify current Project Management standards and applicable qualifications (national and international) and determine the applicability thereof to the South African requirements [Regulation 24(1)(e)].
3. Determine those South African needs that are not addressed by current Project Management standards [Regulation 24(1)(e)].
4. Generate unit standards in the areas of Project Management Frameworks, Project Integration Management, Project Scope Management, Project Time Management, Project Financial Management, Project Risk Management, Project Communication Management, Project Human Resources Management, Project Procurement Management, and Project Quality Management [Regulation 24(1)(a)] and combine them into the following qualifications in accordance with the Authority requirements:
 - Certificate in Project Management (NQF Level 4)
 - Certificate and Diploma in Project Management (NQF Level 5)
 - Higher Diploma and Bachelors degree in Project Management (NQF Level 6)
 - Masters degree in Project Management (NQF Level 7)
 - Doctoral degree in Project Management (NQF Level 8)
5. Recommend the standards and qualifications generated under 4, above, to the NSB [Regulation 24(1)(c)].
6. Recommend criteria for the registration of assessors and moderators or moderating bodies [Regulation 24(1)(d)].
7. Update and review standards [Regulation 24(1)(b)].

PROPOSED COMPOSITION OF THE SGB

ANDERSON, Dave	Virtual Systems Technology
COMNINOS, Dennis	X-Pert Academy
DEACON, Terry	ProjectPro
KOWO, Alfred	Telkom
KRUGER, JC	Bateman Minerals & Industrial
MEYER, Archie	Desto
MYBURGH, Jacques	Transnet (Datavia)
NAIDOO, Neil	X-Pert Project & Programme Management
NEL, Anton	ABSA
NEL, Zenta	ABSA
NUNES, Victor	Integration and Software Services
PELSER, Gert	University of South Africa
RIDER, Lesley	Project Management Institute South Africa
RODRIGUES, Bruce	Project Management Institute (SA Chapter)
SMITH, Jurie	Price Waterhouse Coopers
STEENEKAMP, Jan	Technikon SA
STEYN, Herman	University of Pretoria
STEYN, Pieter	College of Project Management
VAN DER MERWE, André	Association for Project Management SA Branch
VAN RENSBURG, Henk	Association for Project Management SA Branch
VISSER, Patrys	Eskom

GENERAL NOTICES ALGEMENE KENNISGEWINGS

NOTICE 2111 OF 1999

DEPARTMENT OF LAND AFFAIRS

APPLICATION IN TERMS OF THE LAND REFORM (LABOUR TENANTS) ACT, 1996

It is hereby given for general information that in terms of section 17 (1) of the Land Reform (Labour Tenants) Act, 1996 (Act No. 3 of 1996), the application for the acquisition of land mentioned in the Schedule has been lodged with the Director-General:

SCHEDULE

Applicant:

No.	Name	ID Number	Age
1.	Mubi Jeremiah Makhanye.....	2005135125083	79 years.

Property description of the affected land: Brakfontein 529 IS.

Servitude:

District: Amersfoort.

Province: Mpumalanga.

(23 September 1999)

NOTICE 2112 OF 1999

DURBAN METROPOLITAN COUNCIL

ADMINISTRATIVE ENTITY OF THE CITY OF DURBAN

NOTICE OF EXPROPRIATION

Issued by the Durban Metropolitan Council ("the Council") in terms of the Expropriation Act (Act No. 63 of 1975), as amended ("the Act")

To: The Owner(s) (within the meaning of that term is defined in section 1 of the Act) of the property described in the schedule hereto;

And to: All other persons claiming any right to or interest in the property described in the schedule hereto, whether by virtue of registration or otherwise, and particularly any lessee, buyer or builder contemplated in terms of section 9 (1) (d) of the Act.

EXPROPRIATION OF LAND AND WATER PIPELINE SERVITUDES: NTUZUMA NR4N RESERVOIR AND ASSOCIATED PIPELINES

- Notice is given in terms of section 7 of the Act that the Council hereby expropriates in terms of section 190 of Ordinance No. 25 of 1974 and section 5 of the Act, for public purposes, the land and/or right(s) described in the following schedule and, where applicable in terms of the Act, depicted in the stated plan(s), provided that any mineral rights in respect of the said land are excluded in terms of this notice.
- The date of expropriation shall be 23 September 1999 with effect from which date, where applicable, ownership of the said land will pass to the Council and/or the said rights will vest in the Council.
- The date upon which the Council will take possession of the said property shall be 22 October 1999, or such other date as may be agreed upon between the Owner(s) and the Council in terms of section 8 (3) of the Act, or a date determined in terms of the provisions of section 8 (5) of the Act, as the case may be.
- Where land is expropriated, with effect from the date of possession of the land by the Council, but not before such date, the Owner(s) will be relieved of the obligation to take care of and maintain the land and to pay taxes and other charges thereon, and will no longer be entitled to the use of and any income from the land.
- Where a property has been partially expropriated, at the request of the Owner(s) this notice shall be deemed to include the remainder of the property or part thereof in terms of section 2 of the Act, provided that the Council is satisfied that such remainder has been rendered useless by the expropriation.

6. Your attention is directed to the provisions of sections 9 (1), 12 (3) (a) (ii) and 12 (4) of the Act which read as follows:

"9. *Duties of owner of property expropriated or which is to be used by (the Council)*

- (1) An owner whose property has been expropriated in terms of (the) Act, shall, within sixty days from the date of (this) notice....., deliver or cause to be delivered to the (Council) a written statement indicating—
- (a)
 - (b)the amount claimed by him as compensation and how much of that amount represents each of the respective amounts contemplated in section 12 (1) (a) (i) and (ii) or (b) and full particulars as to how such amounts are made up;
 - (c) if the property expropriated is land and any amount is claimed in terms of paragraph.....(b), full particulars of all improvements thereon which, in the opinion of the owner, affect the value of such land;
 - (d) if the property being expropriated is land—
 - (i) which prior to the date of notice was leased as a whole or in part by unregistered lease, the name and address of the lessee, and accompanied by the lease or a certified copy thereof, if it is in writing, or full particulars of the lease, if it is not in writing;
 - (ii) which, prior to the date of notice, was sold by the owner, the name and address of the buyer, and accompanied by the contract of purchase and sale or a certified copy thereof;
 - (iii) on which a building has been erected which is subject to a builder's lien by virtue of a written building contract, the name and address of the builder, and accompanied by the building contract or a certified copy thereof.
 - (e) the address to or at which the owner desires that further documents in connection with the expropriation may be posted or delivered or tendered.

Provided that the (Council) may at (its) discretion extend the said period of sixty days, and that, if the owner requests the (Council) in writing within thirty days as from the date of notice to extend the said period of sixty days, the (Council) shall extend such period by a further sixty days."

"12. *Basis on which compensation is to be determined*

- (1)
 - (2)
 - (3) (a) Interest at the standard interest rate determined in terms of section 26 (1) of the Exchequer and Audit Act, 1975 (Act No. 66 of 1975), shall, subject to the provisions of subsection (4), be payable from the date on which the Council takes possession of the property in question in terms of section 8 (3) or (5) on any outstanding portion of the amount of compensation payable in accordance with subsection (1): Provided that—
 - (i)
 - (ii) If the owner fails to comply with the provisions of section 9 (1) within the appropriate period referred to in the said section, the amount so payable shall during the period of such failure and for the purpose of the payment of interest be deemed not to be an outstanding amount.
 - (4) If the owner of property which has been expropriated occupies or utilises that property or any portion thereof, no interest shall, in respect of the period during which he so occupies or utilises it, be paid in terms of subsection (3) on so much of the outstanding amount as, in the opinion of the (Council), relates to the property so occupied or utilised."
7. If the title deed to the land is not in the possession or under the control of the Owner(s), you are further requested in terms of section 9 (3) (a) of the Act to provide within sixty days of the date of this notice written particulars of the name and address of the person in whose possession or under whose control it is.

SCHEDULE**TO NOTICE OF EXPROPRIATION IN TERMS OF THE EXPROPRIATION ACT, No. 63 OF 1975, AS AMENDED**

Description	Approx. area/width	Plan Number	Reference
Water pipeline servitude			
Proposed 9 m water pipeline servitude over Erf 818, Inanda A	9 m	SJ 4187/7	26/98/1
Proposed 9 m water pipeline servitude over Erf 2719, Inanda A	9 m	SJ 4187/7	26/98/1
Proposed 9 m water pipeline servitude over Erf 2725, Inanda A	9 m	SJ 4187/7	26/98/1
Proposed 9 m water pipeline servitude over Portion 183 (of 28) of Piezang Revier 805	9 m	SJ 4187/5	26/98/3
Proposed 9 m water pipeline servitude over Portion 241 (of 28) of Piezang Revier 805	9 m	SJ 4187/2	26/98/6
Proposed 9 m water pipeline servitude over Portion 167 (of 76) of Piezang Revier 805	9 m	SJ 4187/1	26/98/7
Proposed 9 m water pipeline servitude over Portion 198 (of 76) of Piezang Revier 805	9 m	SJ 4186/10	26/98/8
Proposed 9 m water pipeline servitude over Portion 169 (of 76) of Piezang Revier 805	9 m	SJ 4186/8	26/98/10
Proposed 9 m water pipeline servitude over Portion 168 (of 76) of Piezang Revier 805	9 m	SJ 4186/9	26/98/11
Proposed 9 m water pipeline servitude over Portion 156 (of 76) of Piezang Revier 805	9 m	SJ 4186/7	26/98/11
Proposed 9 m water pipeline servitude over Portion 203 (of 76) of Piezang Revier 805	9 m	SJ 4186/7	26/98/11
Proposed 9 m water pipeline servitude over Portion 204 (of 76) of Piezang Revier 805	9 m	SJ 4186/7	26/98/11
Proposed 9 m water pipeline servitude over Portion 154 (of 76) of Piezang Revier 805	9 m	SJ 4186/6	26/98/12
Proposed 9 m water pipeline servitude over Portion 155 (of 76) of Piezang Revier 805	9 m	SJ 4186/6	26/98/12
Proposed 9 m water pipeline servitude over Portion 196 (of 76) of Piezang Revier 805	9 m	SJ 4186/5	26/98/13
Proposed 9 m water pipeline servitude over Portion 186 (of 76) of Piezang Revier 805	9 m	SJ 4186/4	26/98/14
Land			
Portion 24 (of 16) of Piezang Revier No. 805	1,0117 ha	SJ 4187/4	26/98/4
Portion 25 (of 16) of Piezang Revier No. 805	1,0117 ha	SJ 4187/3	26/98/5

Plans may be inspected during weekday business hours at the offices of the Director: Real Estate, 15th Floor, 75 Winder Street, Durban.

Enquiries can be directed to the Property Acquisition Team on (031) 362-4111.

Written statements in terms of section 9 of the Act, detailing claims for compensation, should be sent within 60 days of the date of this notice to the Director: Real Estate, P.O. Box 272, Durban, 4000.

E. W. H. MORTON

Chief Executive Officer

City Hall

West Street Entrance

Durban.

KENNISGEWING 2112 VAN 1999**DURBAN METROPOLITAANSE RAAD****ADMINISTRATIEWE ENTITEIT VAN DIE STAD DURBAN****KENNISGEWING VAN ONTEIENING**

Gegee deur die Durban Metropolitaanse Raad ("die Raad") ingevolge die Onteieningswet (Wet No. 63 van 1975), soos gewysig ("die Wet").

Aan: Die Eienaar(s) (binne die betekenis van sodanige term soos in artikel 1 van die Wet omskryf) van die eiendom soos in die bylae hiervan beskryf;

En aan: Alle ander persone wat aanspraak maak op enige regte op of belange in die eiendom soos in die bylae hiervan beskryf, hetsy uit hoofde van registrasie of andersins en in die besonder ingevolge artikel 9 (1) (d) van die Wet bedoelde huurder, koper of bouer.

ONTEIENING VAN GROND EN WATERPYPLYNSERWITUTE: NTUZUMA NR4N-RESERVOIR EN BYBEHORENDE PYPPLYNE

1. Kennis geskied hiermee ingevolge artikel 7 van die Wet dat die Raad hiermee die grond en/of regte soos in die aangehegte bylae beskryf en, waar dit ingevolge die Wet van toepassing is, op die plan(ne) aangetoon, ingevolge artikel 190 van Ordonnansie No. 25 van 1974 en artikel 5 van die Wet, vir openbare doeleindes onteien, met dien verstande dat enige minerale regte ten opsigte van genoemde grond ingevolge hierdie kennisgewing uitgesluit word.
2. Die onteieningsdatum is 23 September 1999, vanaf welke datum eienaarskap van genoemde grond op die Raad oorgaan en/of genoemde regte by die Raad berus, waar dit van toepassing is.
3. Die datum waarop die Raad van genoemde eiendom besit neem is 22 Oktober 1999 of sodanige ander datum as waaroor die eienaar/s en die Raad ingevolge artikel 8 (3) van die Wet ooreenkom of 'n datum wat ingevolge die bepalings van artikel 8 (5) van die Wet bepaal word, na gelang van die geval.
4. Indien die grond onteien word, sal die eienaar/s met ingang van die datum waarop die Raad van die grond besit neem, maar nie voor sodanige datum nie, onthef word van sy/hul verpligting om na die grond om te sien en dit instand te hou en om belastinge en ander vorderings daarop te betaal, en sal hy/hulle nie meer op die gebruik van die grond en op enige inkomste daaruit verkry, geregtig wees nie.
5. Indien 'n eiendom gedeeltelik onteien is, word hierdie kennisgewing op versoek van die eienaar/s ingevolge artikel 2 van die Wet geag sodanige restant of deel daarvan in te sluit, mits die Raad tevrede is dat sodanige restant as gevolg van die onteiening daarvan nutteloos geword het.
6. U aandag word gevestig op die bepalings van artikels 9 (1), 12 (3) (a) (ii) en 12 (4) van die Wet wat soos volg lees:

"9. Pliete van eienaar van goed wat onteien is of wat deur die (Raad) gebruik gaan word:

 - (1) 'n Eienaar wie se eiendom ingevolge (die) Wet onteien is, moet binne sestig dae vanaf die datum van (hierdie) kennisgewing..... aan die (Raad) 'n skriftelike verklaring lewer of laat lewer waarin aangedui word—
 - (a)
 - (b)wat die bedrag is wat hy as vergoeding eis en hoeveel van daardie bedrag elk van die onderskeie bedrae beoog in artikel 12 (1) (a) (i) en (ii) of (b) verteenwoordig, asook volledige besonderhede betreffende die samestelling van daardie bedrae;
 - (c) indien die goed wat onteien word, grond is, en 'n bedrag ingevolge paragraaf(b) geëis word, volledige besonderhede van alle verbeterings daarop wat, na die oordeel van die eienaar, die waarde van die grond raak;
 - (d) indien die goed wat onteien word, grond is—
 - (i) wat voor die kennisgewingsdatum in sy geheel of vir 'n deel verhuur is by wyse van 'n ongeregistreerde huurkontrak, die naam en adres van die huurder, vergesel van die huurkontrak of 'n gewaarmerkte afskrif daarvan, indien die kontrak op skrif is, of volledige besonderhede van die kontrak, indien dit nie op skrif is nie;
 - (ii) wat voor die kennisgewingsdatum deur die eienaar verkoop is, die naam en adres van die koper, tesame met die koopkontrak of 'n gewaarmerkte afskrif daarvan;
 - (iii) waarop 'n gebou opgerig is wat onderworpe is aan 'n retensiereg ten gunste van 'n bouer uit hoofde van 'n skriftelike boukontrak, die naam en adres van die bouer, tesame met die boukontrak of 'n gewaarmerkte afskrif daarvan;

- (e) die adres waarheen of waar, na die eienaar verlang, verdere stukke in verband met die onteiening gepos of oorhandig of aangebied kan word:

Met dien verstande dat die (Raad) na (sy) goeddunke genoemde tydperk van sestig dae kan verleng en dat, indien die eienaar die (Raad) binne dertig dae vanaf die kennisgewingsdatum skriftelik versoek om genoemde tydperk van sestig dae te verleng, die (Raad) daardie tydperk met 'n verdere sestig dae moet verleng."

"12. Grondslag waarop vergoeding bereken moet word

- (1)
- (2)
- (3) (a) Rente teen die standaardrentekoers ingevolge artikel 26 (1) van die Skatkiswet, 1975 (Wet No. 66 van 1975), bepaal, moet, behoudens die bepalings van subartikel (4), betaal word op enige uitstaande gedeelte van die bedrag van die vergoeding wat ooreenkomstig subartikel (1) betaalbaar is en wel met ingang van die datum waarop die Raad ingevolge artikel 8 (3) of (5) besit neem van die betrokke goed: Met dien verstande dat—
- (i)
- (ii) indien die eienaar versuim om binne die toepaslike tydperk bedoel in artikel 9 (1) aan die bepalings van genoemde artikel te voldoen, die bedrag wat aldus betaalbaar is, gedurende die tydperk van sodanige versuim vir die doeleindes van die betaling van rente geag word nie 'n uitstaande bedrag te wees nie.
- (4) Indien die eienaar van eiendom wat onteien is, daardie goed of 'n gedeelte daarvan okkupeer of benut, word ten opsigte an die tydperk waarop hy dit aldus okkupeer of benut, geen rente ingevolge subartikel (3) betaal nie op soveel van die uitstaande bedrag as wat, volgens die oordeel van die (Raad), betrekking het op die goed wat aldus geokkupeer of benut word."
7. Indien die titelbewys nie in besit of onder beheer van die eienaar/s is nie, word u voorts ingevolge artikel 9 (3) (a) van die Wet versoek om binne sestig dae vanaf die datum van hierdie kennisgewing skriftelike besonderhede betreffende die naam en adres van die persoon in wie se besit of onder wie se beheer dit is, te verstrek.

BYLAE

BY ONTEIENINGSKENNISGEWING INGEVOLGE DIE ONTEIENINGSWET No. 63 VAN 1975, SOOS GEWYSIG

Beskrywing	Benaderde oppervlakte/breedte	Plannommer	Verwysing
Waterpyplynserwitute			
Voorgestelde 9 m-waterpyplynserwituut oor Erf 818, Inanda A.....	9 m	SJ 4187/7	26/98/1
Voorgestelde 9 m-waterpyplynserwituut oor Erf 2719, Inanda A.....	9 m	SJ 4187/7	26/98/1
Voorgestelde 9 m-waterpyplynserwituut oor Erf 2725, Inanda A.....	9 m	SJ 4187/7	26/98/1
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 183 (van 28) van Piezang Revier 805	9 m	SJ 4187/5	26/98/3
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 241 (van 28) van Piezang Revier 805	9 m	SJ 4187/2	26/98/6
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 167 (van 76) van Piezang Revier 805	9 m	SJ 4187/1	26/98/7
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 198 (van 76) van Piezang Revier 805	9 m	SJ 4186/10	26/98/8
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 169 (van 76) van Piezang Revier 805	9 m	SJ 4186/8	26/98/10
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 168 (van 76) van Piezang Revier 805	9 m	SJ 4186/9	26/98/11
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 156 (van 76) van Piezang Revier 805	9 m	SJ 4186/7	26/98/11

Beskrywing	Benaderde oppervlakte/breedte	Plannommer	Verwysing
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 203 (van 76) van Piezang Revier 805	9 m	SJ 4186/7	26/98/11
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 204 (van 76) van Piezang Revier 805	9 m	SJ 4186/7	26/98/11
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 154 (van 76) van Piezang Revier 805	9 m	SJ 4186/6	26/98/12
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 155 (van 76) van Piezang Revier 805	9 m	SJ 4186/6	26/98/12
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 196 (van 76) van Piezang Revier 805	9 m	SJ 4186/5	26/98/13
Voorgestelde 9 m-waterpyplynserwituut oor Gedeelte 186 (van 76) van Piezang Revier 805	9 m	SJ 4186/4	26/98/14
Land			
Gedeelte 24 (van 16) van Piezang Revier No. 805.....	1,0117 ha	SJ 4187/4	26/98/4
Gedeelte 25 (van 16) van Piezang Revier No. 805.....	1,0117 ha	SJ 4187/3	26/98/5

Planne kan gedurende kantoorure besigtig word by die kantoor van die Direkteur: Eiendomme, 15de Verdieping, Winderstraat 75, Durban.

Navrae kan gerig word aan die Eiendomsverkrygingsafdeling by (031) 362-4111.

Skriftelike verklarings ingevolge artikel 9 van die Wet, met besonderhede betreffende eise om vergoeding, moet binne 60 dae vanaf die datum van hierdie kennisgewing gestuur word aan die Direkteur: Eiendomme, Posbus 272, Durban, 4000.

E. W. H. MORTON

Hoof Uitvoerende Beampte

Stadhuis

Weststraat-ingang

Durban.

NOTICE 2113 OF 1999

SOUTH AFRICAN RESERVE BANK

Statement of assets and liabilities as at 31 August 1999

	1999-08-31	1999-07-31	Change
Liabilities	R	R	R
Share capital.....	2 000 000	2 000 000	—
Reserve fund.....	268 207 922	268 207 922	—
Notes and coin in circulation.....	25 979 282 969	25 314 521 623	664 761 346
Deposits:			
Government.....	596 324 596	414 865 620	181 458 976
Banks.....	19 885 443 815	21 024 342 407	(1 138 898 592)
Other.....	347 152 721	359 410 106	(12 257 385)
Other liabilities.....	27 122 600 840	25 634 846 674	1 487 754 166
	74 201 012 863	73 018 194 352	1 812 818 511

	1999-08-31	1999-07-31	Change
	R	R	R
Assets			
Gold	5 584 767 905	5 711 065 878	(126 297 973)
Physical	5 167 983 905	5 288 080 878	(120 096 973)
Gold held on deposit	416 784 000	422 985 000	(6 201 000)
Foreign assets	31 756 516 584	29 939 713 515	1 816 803 069
Total gold and foreign assets	37 341 284 489	35 650 779 393	1 690 505 096
Domestic assets:			
Loans and advances:			
Government	590 887 392	590 887 392	—
Other	108 105 695	117 639 237	(9 533 542)
Accommodation to banks:			
Repurchase agreements	12 600 000 000	12 300 000 000	300 000 000
Marginal lending facility	15 940	—	15 940
Utilisation of cash reserves	525 044 636	750 387 173	(225 342 537)
Securities:			
Government	6 202 840 006	6 214 712 930	(11 872 924)
Other	328 851 605	327 785 531	1 066 074
Other assets	16 503 983 100	17 066 002 696	(562 019 596)
	74 201 012 863	73 018 194 352	1 182 818 511
Rand per fine ounce	R1 389,28	R1 409,95	(R20,67)
Gold holdings in fine ounces	4 019 901	4 050 545	(30 644)

Pretoria, 8 September 1999.

G. J. TERBLANCHE,
Financial Manager.**KENNISGEWING 2113 VAN 1999****SUID-AFRIKAANSE RESERWEBANK**

Staat van bates en laste soos op 31 Augustus 1999

	1999-08-31	1999-07-31	Verandering
	R	R	R
Laste			
Aandelekapitaal	2 000 000	2 000 000	—
Reserwefonds	268 207 922	268 207 922	—
Note en munt in omloop	25 979 282 969	25 314 521 623	664 761 346
Deposito's:			
Regering	596 324 596	414 865 620	181 458 976
Banke	19 885 443 815	21 024 342 407	(1 138 898 592)
Ander	347 152 721	359 410 106	(12 257 385)
Ander laste	27 122 600 840	25 634 846 674	1 487 754 166
	74 201 012 863	73 018 194 352	1 182 818 511
Bates			
Goud	5 584 767 905	5 711 065 878	(126 297 973)
Fisiese	5 167 983 905	5 288 080 878	(120 096 973)
Goud gehou op deposito	416 784 000	422 985 000	(6 201 000)
Buitelandse bates	31 756 516 584	29 939 713 515	1 816 803 069
Totaal aan goud en buitelandse bates	37 341 284 489	35 650 779 393	1 690 505 096

Laste	1999-08-31	1999-07-31	Verandering
	R	R	R
Binnelandse bates:			
Lenings en voorskotte:			
Regering.....	590 887 392	590 887 392	—
Ander.....	108 105 695	117 639 237	(9 533 542)
Akkommodasie aan banke:			
Terugverkoopoooreenkomste.....	12 600 000 000	12 300 000 000	300 000 000
Marginale leningsfasiliteit.....	15 940	—	15 940
Aanwending van kontantreserwes.....	525 044 636	750 387 173	(225 342 537)
Sekuriteite:			
Regering.....	6 202 840 006	6 214 712 930	(11 872 924)
Ander.....	328 851 605	327 785 531	1 066 074
Ander bates.....	16 503 983 100	17 066 002 696	(562 019 596)
	74 201 012 863	73 018 194 352	1 182 818 511
Rand per fyn ons.....	R1 389,28	R1 409,95	(R20,67)
Goudbesit in fyn onse.....	4 019 901	4 050 545	(30 644)

Pretoria, 8 September 1999.

G. J. TERBLANCHE,
Finansiële Bestuurder.**NOTICE 2114 OF 1999****DEPARTMENT OF LABOUR****LABOUR RELATIONS ACT, 1995****REGISTRATION OF A TRADE UNION**

I, Johannes Theodorus Crouse, Deputy Registrar of Labour Relations, hereby notify, in terms of section 109 (2) of the Labour Relations Act, 1995, that the **Social, Security and Commercial Workers Union (SOSCWU)** has been registered as a trade union with effect from 10 September 1999.

J. T. CROUSE

Deputy Registrar of Labour Relations

KENNISGEWING 2114 VAN 1999**DEPARTEMENT VAN ARBEID****WET OP ARBEIDSVERHOUDINGE, 1995****REGISTRASIE VAN 'N VAKBOND**

Ek, Johannes Theodorus Crouse, Adjunkregistrator van Arbeidsverhoudinge, maak hierby ingevolge artikel 109 (2) van die Wet op Arbeidsverhoudinge, 1995, bekend dat die **Social, Security and Commercial Workers Union (SOSCWU)** met ingang van 10 September 1999 as 'n vakbond geregistreer is.

J. T. CROUSE

Adjunkregistrator van Arbeidsverhoudinge

NOTICE 2122 OF 1999**DEPARTMENT OF LABOUR****LABOUR RELATIONS ACT, 1995****APPLICATION FOR REGISTRATION OF A BARGAINING COUNCIL**

I, Hendrik Christiaan Slabbert, Registrar of Labour Relations, hereby, in terms of section 29 (3) of the Labour Relations Act, 1995, give notice that an application for registration as a bargaining council has been received from the **Bargaining Council for the Wool and Mohair Industry**.

Particulars of the application are reflected in the subjoined table.

Any person may object to the application on any or all of the following grounds:

- (a) The applicant has not complied with the provisions of section 29 of the Act;

- (b) the sector and area in respect of which application is made is not appropriate; and
 (c) the applicant is not sufficiently representative in the sector and area in respect of which application is made.

Any person who objects must lodge his/her written objection with me, c/o the Department of Labour, Laboria House, 215 Schoeman Street, Pretoria (postal address: Private Bag X117, Pretoria, 0001) [Fax No. (012) 309-4156] within 30 days of the date of this notice. A copy of the objection must be served on the applicant within the said period and I must be satisfied that a copy of the objection has been served on the applicant.

The applicant may respond to the objection within 14 days of the expiry of the 30-day period mentioned above and must satisfy me that a copy of the response has been served on the person who objected within the 14-day period.

TABLE

Name of Bargaining Council: Bargaining Council for the Wool and Mohair Industry.

Date on which application was lodged: 8 February 1999.

Sector and area in respect of which application is made: Wool and Mohair Industry in the Republic of South Africa. For the purpose hereof, "Wool and Mohair Industry" means the sector in which employers and their employees are associated for the purpose of handling and/or receiving and/or grading and/or sorting and/or weighing and/or cataloguing and/or washing and/or carbonising and/or combing and/or bleaching and/or shrink proofing of wool, mohair and/or similar fibres, including any operations incidental thereto."

Names and addresses of the parties to the bargaining council:

(a) Employer parties:

South African Wool and Mohair Processors' Employers' Organisation (SAWAMPEO), P.O. Box 2221, North End, Port Elizabeth, 6056.

(b) Trade union parties:

(i) South African Clothing and Textile Workers' Union, P.O. Box 18359, Dalbridge, 4014.

(ii) Democratic Textile Workers' Union, P.O. Box 569, East London, 5200.

Representativeness of the Bargaining Council:

Total number of employees falling within the proposed scope of the Bargaining Council and who belong to the trade unions which are party to the Council: 466.

Total number of employers falling within the proposed scope of the Bargaining Council and who belong to the employers' organisations which are party to the Council: 4.

Total number of the employees employed within the proposed scope of the Bargaining Council by the employers that belong to the employers' organisations which are party to the Council: 518.

Total number of the employers within the proposed scope of the Council: 5.

Total number of the employees employed within the proposed scope of the council: 529.

H. C. SLABBERT

Registrar of Labour Relations

(23 September 1999)

NOTICE 2124 OF 1999

DEPARTMENT OF LAND AFFAIRS

APPLICATION IN TERMS OF THE LAND REFORM (LABOUR TENANTS) ACT, 1996

It is hereby given for general information that in terms of section 17 (1) of the Land Reform (Labour Tenants) Act, 1996 (Act No. 3 of 1996), the application for the acquisition of Land mentioned in the Schedule has been lodged with the Director-General.

SCHEDULE

Applicants:

Name	Identity Numbers	Age
1. Mbabane S. Methula.....	591012 5632 088	40 years
2. Nowa F. Methula.....	670411 5211 086	32 years
3. Fana Sunnyboy Ngcobo.....	781116 5536 080	21 years
4. Elijah L. Siluma.....	730818 5626 082	26 years

Name	Identity Numbers	Age
5. Jateta L. Maseko.....	760306 0956 087	24 years
6 Genesis P. Zwane	730818 5332 087	26 years
7. Michael N. Zwane	751020 5598 080	24 years
8. Nana Zwane.....	540405 0240 083	45 years
9. Thabisile E. Methula.....	730515 0776 082	26 years
10. Sdinane Boss Ngcobo.....	730714 5603 082	26 years
11. Siphon Ngcobo	670703 5603 082	32 years

Property description of the affected land: Holland 471 I.S.

Servitude:

District: Ermelo.

Province: Mpumalanga.

(23 September 1999)

NOTICE 2127 OF 1999

DEPARTMENT OF FINANCE

11,5% 1999/2000 INTERNAL REGISTERED BONDS (R147): CERTIFICATE No. 577 FOR R31 765, ISSUED IN FAVOUR OF MRS REBECCA COHEN

Application having been made to the Department of Finance for a duplicate of the above-mentioned certificate, the original having been lost or mislaid, notice is hereby given that unless the original certificate is produced at the Department of Finance, Private Bag X115, Pretoria, within four weeks from the date of publication of this notice, the duplicate as applied for, will be issued.

KENNISGEWING 2127 VAN 1999

DEPARTEMENT VAN FINANSIES

11,5% 1999/2000 BINNELANDSE GEREESTREERDE EFFEKTE (R147): SERTIFIKAAT No. 557 VIR R31 765, UITGEREIK TEN GUNSTE VAN MRS REBECCA COHEN

Aangesien daar by die Departement van Finansies aansoek gedoen is om 'n duplikaat van bovermelde sertifikaat wat verloor of verlê is, word hierby bekendgemaak dat tensy die oorspronklike sertifikaat binne vier weke na die datum van publikasie van hierdie kennisgewing by die Departement van Finansies, Privaatsak X115, Pretoria, ingelewer word, die verlangde duplikaat sertifikaat uitgereik sal word.

NOTICE 2128 OF 1999

DEPARTMENT OF FINANCE

12% 2004/05/06 INTERNAL REGISTERED BONDS (R150): CERTIFICATE No. 1671 FOR R12 420, ISSUED IN FAVOUR OF ELIZABETH VAN BLERK

Application having been made to the Department of Finance for a duplicate of the above-mentioned certificate, the original having been lost or mislaid, notice is hereby given that unless the original certificate is produced at the Department of Finance, Private Bag X115, Pretoria, within four weeks from the date of publication of this notice, the duplicate as applied for, will be issued.

KENNISGEWING 2128 VAN 1999

DEPARTEMENT VAN FINANSIES

12% 2004/05/06 BINNELANDSE GEREESTREERDE EFFEKTE (R150): SERTIFIKAAT No. 1671 VIR R12 420, UITGEREIK TEN GUNSTE VAN ELIZABETH VAN BLERK

Aangesien daar by die Departement van Finansies aansoek gedoen is om 'n duplikaat van bovermelde sertifikaat wat verloor of verlê is, word hierby bekendgemaak dat tensy die oorspronklike sertifikaat binne vier weke na die datum van publikasie van hierdie kennisgewing by die Departement van Finansies, Privaatsak X115, Pretoria, ingelewer word, die verlangde duplikaat sertifikaat uitgereik sal word.

NOTICE 2129 OF 1999**DEPARTMENT OF FINANCE****11,5% 1999/2000 INTERNAL REGISTERED BONDS (R147): CERTIFICATE No. 700 FOR R16 598,
ISSUED IN FAVOUR OF SARAH ELIZABETH VAN BLERK**

Application having been made to the Department of Finance for a duplicate of the above-mentioned certificate, the original having been lost or mislaid, notice is hereby given that unless the original certificate is produced at the Department of Finance, Private Bag X115, Pretoria, within four weeks from the date of publication of this notice, the duplicate as applied for, will be issued.

KENNISGEWING 2129 VAN 1999**DEPARTEMENT VAN FINANSIES****11,5% 1999/2000 BINNELANDSE GEREĞISTREERDE EFFEKTE (R147): SERTIFIKAAT No. 700 VIR R16 598,
UITGEREIK TEN GUNSTE VAN SARAH ELIZABETH VAN BLERK**

Aangesien daar by die Departement van Finansies aansoek gedoen is om 'n duplikaat van bovermelde sertifikaat wat verloor of verlê is, word hierby bekendgemaak dat tensy die oorspronklike sertifikaat binne vier weke na die datum van publikasie van hierdie kennisgewing by die Departement van Finansies, Privaatsak X115, Pretoria, ingelewer word, die verlangde duplikaat sertifikaat uitgereik sal word.

NOTICE 2130 OF 1999**DEPARTMENT OF FINANCE****12% 2004/05/06 INTERNAL REGISTERED BONDS (R150): CERTIFICATE No. 1672 FOR R66 239,
ISSUED IN FAVOUR OF JAN ALBERTUS VAN BLERK**

Application having been made to the Department of Finance for a duplicate of the above-mentioned certificate, the original having been lost or mislaid, notice is hereby given that unless the original certificate is produced at the Department of Finance, Private Bag X115, Pretoria, within four weeks from the date of publication of this notice, the duplicate as applied for, will be issued.

KENNISGEWING 2130 VAN 1999**DEPARTEMENT VAN FINANSIES****12% 2004/05/06 BINNELANDSE GEREĞISTREERDE EFFEKTE (R150): SERTIFIKAAT No. 1672 VIR R66 239,
UITGEREIK TEN GUNSTE VAN JAN ALBERTUS VAN BLERK**

Aangesien daar by die Departement van Finansies aansoek gedoen is om 'n duplikaat van bovermelde sertifikaat wat verloor of verlê is, word hierby bekendgemaak dat tensy die oorspronklike sertifikaat binne vier weke na die datum van publikasie van hierdie kennisgewing by die Departement van Finansies, Privaatsak X115, Pretoria, ingelewer word, die verlangde duplikaat sertifikaat uitgereik sal word.

NOTICE 2131 OF 1999**DEPARTMENT OF FINANCE****11,5% 1999/2000 INTERNAL REGISTERED BONDS (R147): CERTIFICATE No. 90132 FOR R4 745 AND
CERTIFICATE No. 90133 FOR R485, ISSUED IN FAVOUR OF JOHANNES HENOCH NEETHLING**

Application having been made to the Department of Finance for a duplicate of the above-mentioned certificate, the original having been lost or mislaid, notice is hereby given that unless the original certificate is produced at the Department of Finance, Private Bag X115, Pretoria, within four weeks from the date of publication of this notice, the duplicate as applied for, will be issued.

KENNISGEWING 2131 VAN 1999**DEPARTEMENT VAN FINANSIES****11,5% 1999/2000 BINNELANDSE GEREĞISTREERDE EFFEKTE (R147): SERTIFIKAAT No. 90132 VIR R4 745 EN
SERTIFIKAAT No. 90133 VIR R485, UITGEREIK TEN GUNSTE VAN JOHANNES HENOCH NEETHLING**

Aangesien daar by die Departement van Finansies aansoek gedoen is om 'n duplikaat van bovermelde sertifikaat wat verloor of verlê is, word hierby bekendgemaak dat tensy die oorspronklike sertifikaat binne vier weke na die datum van publikasie van hierdie kennisgewing by die Departement van Finansies, Privaatsak X115, Pretoria, ingelewer word, die verlangde duplikaat sertifikaat uitgereik sal word.

NOTICE 2133 OF 1999**DEPARTMENT OF LAND AFFAIRS****APPLICATION IN TERMS OF THE LAND REFORM (LABOUR TENANTS) ACT, 1996**

It is hereby given for general information that in terms of section 17 (1) of the Land Reform (Labour Tenants) Act, 1996 (Act No. 3 of 1996), the application for the acquisition of land mentioned in the Schedule has been lodged with the Director-General.

SCHEDULE**Applicants:**

Name	Identity Numbers	Age
1. Majogodo Isaac Nkambule.....	541117 5585 086	45 years
2. Gabisile Nurse Mazibuko	490714 4654 370	50 years
3. David Malaza	580609 5740 088	41 years
4. Mavukane Johanna Shongwe.....	521227 0378 082	47 years
5. Jomo Johan Maseko	750612 5493 089	24 years
6. Musesi Johanna Dube	561130 0257 085	43 years
7. Poppy Elsie Shongwe	630417 0326 086	36 years
8. William Richard Masina.....	770819 5342 085	22 years
9. Nonhlanhla Mavis Shongwe.....	820710 0593 089	17 years
10. Mandla Vicky Vilakazi.....	760918 5329 084	23 years
11. Rinah Ntombifuthi Nkambule.....	760318 0334 082	23 years
12. Simon Esau Nkambule.....	820304 5481 084	17 years
13. Mavis Dinile Nkambule.....	750124 0399 089	24 years
14. Busisiwe Zandile Nkambule.....	780508 0271 088	23 years
15. Simon Bhekizizwe Malaza	750612 5493 089	23 years
16. Busisiwe Vilakazi.....	721019 0391 084	27 years
17. Kgwale Jan Moremi.....	741007 5714 083	25 years

Property description of the affected land: Paardeplaats No. 12-IT.

Servitude:

District: Carolina.

Province: Mpumalanga.

(23 September 1999)

NOTICE 2135 OF 1999**BOARD ON TARIFFS AND TRADE****CUSTOMS AND EXCISE TARIFF APPLICATIONS: LIST 23/99**

The following applications concerning the Customs and Excise Tariff have been received by the Board on Tariffs and Trade. Any objections to or comments on these representations should be submitted to the Chairman, Board on Tariffs and Trade, Private Bag X753, Pretoria, 0001, within six weeks of the date of this notice. Attention is drawn to the fact that the rates of duty mentioned in the applications are those requested by the applicants and that the Board may, depending on its findings, recommend lower or higher rates of duty.

Withdrawal of rebate items

Application for the withdrawal of the following rebate items of Schedule No. 3 to the Customs and Excise Act, 1964:

Industry	Rebate Item	Tariff Heading	Rebate Code	Description	Extent of Rebate
Tubes and Pipes (including flexible tubing) of Base Metal	315.02	70.19	01.00	Glass-fibre fabric, for insulating steel pipes	Full duty
Wire Products	315.04	74.08	01.00	Copper wire, for the manufacture of woven wire materials	Full duty
Sheet Metal Products	315.07	73.23	03.00	Steel pressings, not enamelled, for the manufacture of steel baths	Full duty
Sheet Metal Products	315.07	73.24	01.00	Spouts and handles, of iron, steel, for the manufacture of kitchen and other household articles	Full duty
Sheet Metal Products	315.07	733.24	02.00	Stampings, pressings and made-up roughs, of kitchen and other household articles, of iron or steel, for the manufacture of electroplated ware	Full duty

[BTT Ref. T5/2/15/2/1 (990112,990113,990116), Enquiries: Mr D. Lombard, Tel: (012) 310-9771]

Applicant:

The Commissioner for Customs and Excise, Private Bag X47, Pretoria, 0001.

Withdrawal of rebate items:

Application for the withdrawal of the following rebate items of Schedule No. 3 to the Customs and Excise Act, 1964:

Rebate item	Industry	Description
311.03/54.03/01.00	Textile wadding	Yarn of man-made filaments (excluding yarn of polyamide or polyester fibres), for weaving fabrics (excluding those suitable for use as interlinings)
311.11/54.03/01.00	Narrow fabrics (woven, cut or braided) and woven labels	Yarn of man-made filaments (excluding yarn of nylon or other polyamides and yarn of polyesters), for the manufacture of narrow fabrics
311.13/54.08/01.00	Industrial textile articles and materials	Woven fabrics of man-made filament yarn including woven fabrics of monofil or strip of heading No. 54.04 or 54.05 of Schedule No. 1, for the manufacture of tubular or endless woven fabrics of a kind commonly used in machinery
311.33/54.07/01.00	Wadding and articles of wadding	Woven fabrics consisting of scrim polyamide monofilament yarns and an applique of man-made fibres, of a mass per m ² not exceeding 20 g, for the manufacture of sanitary pads
311.33/58.11/01.00	Wadding and articles of wadding	Woven fabrics consisting of scrim polyamide monofilament yarns and an applique of man-made fibres, of a mass per m ² not exceeding 20 g, for the manufacture of sanitary pads

[BTT Ref. T5/2/11/9/1 (990109-990111). Enquiries: Mr E. J. Geldenhuys, Tel. (012) 310-6973]

Applicants: SARS Private Bag X47, Pretoria, 0001.

LIST 22/99 WAS PUBLISHED UNDER GENERAL NOTICE 2105 OF 17 SEPTEMBER 1999.

NOTICE 2136 OF 1999**DEPARTMENT OF TRANSPORT**

AIR SERVICE LICENSING ACT, 1990 (ACT No. 115 OF 1990)

APPLICATION FOR THE GRANT OR AMENDMENT OF DOMESTIC AIR SERVICE LICENCE

Pursuant to the provisions of section 15 (1) (b) of Act No. 115 of 1990 and regulation 8 of the Domestic Air Services Regulations, 1991, it is hereby notified for general information that the application details of which appear in the Appendix, will be considered by the Air Service Licensing Council.

Representations in accordance with section 15 (3) of Act No. 115 of 1990 in support of, or in opposition to, an application, should reach the Air Service Licensing Council, Private Bag X193, Pretoria, 0001, within 21 days of the date of publication hereof.

SCHEDULE 2**APPLICATION FOR THE AMENDMENT OF LICENCE**

(A) Full name and trade name of applicant. (B) Full business or residential address of applicant. (C) The class and number of licence in respect of which the amendment is sought. (D) Type of air service and the amendment thereto is being applied for. (E) Category of aircraft and the amendment thereto which is being applied for.

(A) Rapid Air (Pty) Ltd. (B) Room 28, Man Building, Wonderboom Airport. (C) Class II, N204D. (D) Type N1 and N2. (E) Category A3 and H2. Adding Category A4.

(A) Barair (Pty) Ltd, Bairair. (B) Hangar 202, Lanseria Airport, 1748. (C) Class II, N212D. (D) Type N1. (E) Category A2. Adding Type N2 and Category A1, A3 and A4.

KENNISGEWING 2136 VAN 1999**DEPARTEMENT VAN VERVOER**

WET OP DIE LISENSIËRING VAN LUGDIENSTE, 1990 (WET No. 115 van 1990)

AANSOEK OM DIE TOESTAAN OF WYSIGING VAN BINNELANDSE LUGDIENSLIENSIES

Hierby word ingevolge die bepaling van artikel 15 (1) (b) van Wet No. 115 van 1990 en regulasie 8 van die Regulasies vir Binnelandse Lugdienste, 1991, vir algemene inligting bekendgemaak dat die Lugdienslisensiëringsraad die aansoek waarvan besonderhede in die Bylae verskyn, sal oorweeg.

Versteë ingevolge artikel 15 (3) van die Wet No. 115 van 1990 ter ondersteuning of bestryding van 'n aansoek moet die Lugdienslisensiëringsraad, Privaat Sak X193, Pretoria, 0001, binne 21 dae na die datum van publikasie hiervan bereik.

BYLAE 2**AANSOEK OM DIE WYSIGING VAN LISENSIE**

(A) Volle naam en handelsnaam van aansoeker. (B) Volle besigheids- of woonadres van aansoeker. (C) Klas en nommer van die lisensie ten opsigte waarvan 'n wysiging gevra word. (D) Tipe lugdiens en die wysiging daarvan waarom aansoek gedoen word. (E) Kategorie lugvaartuig en die wysiging daarvan waarom aansoek gedoen word.

(A) Rapid Air (Edms.) Bpk. (B) Room 28, Man Building, Wonderboom Lughawe. (C) Klas II, N204D. (D) Tipe N1 en N2. (E) Kategorie A3 en H2. Voeg by Kategorie A4.

(A) Barair (Edms.) Bpk. (B) Hanger 202, Lanseria Airport, 1748. (C) Klas II, N212D. (D) Tipe N1. (E) Kategorie A2. Voeg by tipe N2 en Kategorie A1, A3 en A4.

(23 September 1999)

NOTICE 2137 OF 1999**DEPARTMENT OF TRANSPORT**

INTERNATIONAL AIR SERVICES ACT, 1993 (ACT No. 60 OF 1993)

APPLICATIONS FOR THE GRANT/AMENDMENT OF INTERNATIONAL AIR SERVICE LICENCES

Pursuant to the provisions of section 16 (1) of Act No. 60 of 1993 and regulations 14 (1) and 14 (2) of the International Air Services Regulations, 1994, it is hereby notified for general information that the applications, details of which appear in the Schedules hereto will be considered by the International Air Services Council (Council).

Representations in accordance with section 16 (3) of Act No. 60 of 1993 and regulation 25 (1) of the International Air Services Regulations, 1994, against or in favour of an application, should reach the Chairman of the Council at Private Bag X193, Pretoria, 0001 within 28 days of the publication hereof. It must be stated whether the party or parties making such representation is/are prepared to be present or represented at the possible hearing of the application.

The Council will cause notice of the time, date and place of the proceedings to be given in writing to the applicant and all parties who have made representations as aforesaid and who desire to be present or represented at the hearing.

SCHEDULE 2

APPLICATIONS FOR THE AMENDMENT OF LICENCES

(A) Full name, surname and trade name of applicant. (B) Full business or residential address of applicant. (C) Class and number of licence in respect of which the amendment is being sought. (D) Type of international air service and amendment thereto for which application is being made. (E) Category or kind of aircraft and the amendment thereto for which application is being made. (F) Airport from and the airport to which flights are undertaken and the amendment thereto for which application is being made. (G) Area served and the amendment thereto for which application is being made. (H) Frequency of flights and the amendment thereto for which application is being made. (I) Condition and the amendment thereto for which application is being made.

(A) Nationwide Air Charter (Pty) Ltd; Nationwide Air. (B) P.O. Box 422, Lanseria, 1748. (C) Class II. (D) Type N1, N2, N3 and N4. (E) Category A1 and A3. (F) and (H) Add: In respect of Air Service Licence type N4 and Category A1 Aircraft: Kenya (Mombasa), Seychelles, Comores, Madagascar, Mauritius, Congo (Brazzaville and Point Noire) and Democratic Republic of Congo (Kinshasa and Lubumbushi).

(A) Barair (Pty) Ltd; Barair. (B) P.O. Box 193, Lanseria, 1748. (C) Class II. (D) Type N1 and N4. *add:* Type N2. (E) Category A2. *Add:* Category A1, A3 and A4 (F) and (H) *Add:* In respect of Air Service Licence Type N1 and N2: Worldwide, excluding the Republic of South Africa.

KENNISGEWING 2137 VAN 1999

DEPARTEMENT VAN VERVOER

WET OP INTERNASIONALE LUGDIENSTE, 1993 (WET No. 60 VAN 1993)

AANSOEKE OM DIE TOESTAAAN/WYSIGING VAN INTERNASIONALE LUGDIENSLISENSIES

Hierby word ingevolge die bepalings van artikel 16 (1) van Wet No. 60 van 1993 en regulasies 14 (1) en 14 (2) van die Regulasies Vir Internasionale Lugdiens, 1994, vir algemene inligting bekendgemaak dat die Raad op Internasionale Lugdiens (Raad) die aansoeke, waarvan die besonderhede in die Bylaes hieronder verskyn, sal oorweeg.

Vertoë ingevolge artikel 16 (3) van Wet No. 60 van 1993 en regulasie 25 (1) van die Regulasies Vir Internasionale Lugdiens, 1994, teen of ten gunste van 'n aansoek moet die Voorsitter van die Raad, Privaat Sak X193, Pretoria, 0001, binne 28 dae na die datum van publikasie hiervan bereik. Daarin moet gemeld word of die party of partye wat aldus verhoë rig bereid is om die moontlike verhoor van die aansoek by te woon of om verteenwoordig te word.

Die Raad sal reël dat kennis van die datum, tyd en plek van die verrigtinge skriftelik gegee word aan die aansoeker en al die partye wat aldus verhoë gerig het en wat verlang om aldus teenwoordig of verteenwoordig te wees.

BYLAE 2

AANSOEKE OM DIE WYSIGING VAN LISENSIES

(A) Volle naam, van en handelsnaam van aansoeker. (B) Volle besigheids- of woonadres van aansoeker. (C) Klas en nommer van die lisensie ten opsigte waarvan die wysiging gevra word. (D) Tipe internasionale lugdiens en die wysiging daarvan waarvoor aansoek gedoen word. (E) Kategorie of soort lugvaartuig en die wysiging daarvan waarom aansoek gedoen word. (F) Lughawe van waar en die lughawe waarheen vlugte onderneem word en die wysiging daarvan waarvoor aansoek gedoen word. (G) Gebied wat bedien word en die wysiging daarvan waarvoor aansoek gedoen word. (H) Gereeldheid van vlugte en die wysiging daarvan waarvoor aansoek gedoen word. (I) Voorwaarde en die wysiging daarvan waarvoor aansoek gedoen word.

(A) Nationwide Air Charter (Edms.) Bpk., Nationwide Air. (B) Posbus 422, Lanseria, 1748. (C) Klas II. (D) Tipe N1, N2, N3 en N4. (E) Kategorie A1 en A3. (F) en (H) Voeg by: Ten opsigte van Lisensietipe N4 en Kategorie A1 vlugtuig: Kenia (Mombasa), Seychelles, Comores, Madagaskar, Mauritius, Kongo (Brazzaville and Point Noire) en Demokratiese Republiek van Kongo (Kinshasa and Lubumbushi).

(A) Barair (Edms.) Bpk., Barair. (B) Posbus 193, Lanseria, 1748. (C) Klas II. (D) Tipe N1 en N4. Voeg by: Tipe N2. (E) Kategorie A2. Voeg by: Kategorie A1, A3 en A4 (F) en (H) Voeg by: Ten opsigte van Lisensietipe N1 en N2: Wêreldwyd, uitgesluit die Republiek van Suid Afrika.

NOTICE 2191 OF 1999**CONVERSION OF SOUTH AFRICAN SPECIAL RISKS INSURANCE ASSOCIATION**

I, Trevor Andrew Manuel, Minister of Finance, acting in terms of section 3 of the Conversion of Sasria Act, 1998 (Act No. 134 of 1998), hereby determine 27 September 1999 as the date on which the South African Special Risks Insurance Association, incorporated in terms of section 21 of the Companies Act, 1973 (Act No. 61 of 1973), as an association not for gain, is converted into a public company having a share capital, as described in section 19 of the Companies Act, 1973, under the name SASRIA Limited.

T. MANUEL

Minister of Finance

NOTICE 2121 OF 1999**GENERAL NOTICE IN TERMS OF THE RESTITUTION ON LAND RIGHTS ACT, 1994
(ACT NO. 22 OF 1994)**

Notice is hereby given in terms, of section 11(1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994) that the indicated claims have been lodged on the following property situated in New Pietersburg LS, Magisterial District Pietersburg, Northern Province.

PARTICULARS OF PROPERTY AT TIME OF DISPOSSESSION:

CLAIMANTS	DESCRIPTION OF PROPERTY AT TIME OF DISPOSSESSION	EXTENT	TITLE DEED AT TIME OF DISPOSSESSION	OWNER/S OF PROPERTY AT TIME OF DISPOSSESSION
Thema, S.M. ID No 2804115144084	R/E of Lot 11 R/E of Lot 56 R/E of PTN 1 of Lot 137 KRP 3408	0,9263 ha 0,2552 ha 0,1276 ha	T 12678/1975 T 2969/1975 T 4050/ 1973	Thema, S. M.
Sono, A.W. ID No 5312065693081	PTN 1 of Lot 30 (correction) KRP 3425	0,0638 ha	T 9969/1978	Sono, H
Phema, K.J. ID No 4801050541082	R/E of Lot 78 R/E of PTN 1 of Lot 89 (correction) PTN 2 of Lot 89 PTN 3 of Lot 206 KRP 3381	0,0638 ha 0,0638 ha 0,0638 ha 0,0545 ha	T 2541/1972 T 2541/1972 T 2541/1972 T 43476/1974	Phema, S.J.
Maruma, M.N. ID No 4805250570080	PTN 41 of Lot 87 PTN 42 of Lot 87 KRP3399	0,0545 ha 0,0545 ha	T 5212/1972 T 5212/1972	Phosoko, N.
Manaka, T.C. ID No 4802255367083 Manaka, M.M. ID No 5503120780083	0,25 Share in Lot 110 KRP 3478	0,2552 ha	T 28476/1978	Manaka, J.
Southon, L.L. ID No 5412310033018	0,25 Share in Lot 110 KRP 3589	0,2552 ha	T 6970/1980	Southon, M
Baloyi, R.J. ID No 2604255136084	PTN 2 of Lot 144 KRP 3599	0,0638 ha	T 23657/1975	Baloyi, J.
Mphalele, D.J. ID No 2809065123087 (correction)	PTN 2 of Lot 158 PTN 1 of Lot 230 Lot 297 KRP 3422	0,0638 ha 0,2552 ha 0,5103 ha	T 7708/1973 T 43188/1971 T 17230/1973	Mphalele, H.M.

CLAIMANTS	DESCRIPTION OF PROPERTY AT TIME OF DISPOSSESSION	EXTENT	TITLE DEED AT TIME OF DISPOSSESSION	OWNER/S OF PROPERTY AT TIME OF DISPOSSESSION
Bopape, D.M ID No 5512155749087	PTN 1 of Lot 159 (correction) KRP 3460	0,0638 ha	T 11472/1973	Modigoe, S
Phosa, J. (correction)	PTN 1 of Lot 167 KRP 3302	0,0545 ha	T 1123/1974	Phosa, F.M.
Poopedi, K.N. ID No 3403155314084	PTN 13 of Lot 187 PTN 14 of Lot 187 KRP 5195	0,0545 ha 0,0545 ha	T 9973/1973 T38618/1972	Pagopedi, S.
Tefo, M.S	0,5 Share in Lot 197 KRP 3473	0,2322 ha	T 1107/1975	Teffo, J.
Mokgaetsi, T. ID No 3207030191082	R/E of PTN 1 of Lot 272 KRP 3596	0,1276 ha	T 6342/1974	Estate of Late Philemon Eka and Spouse
Ledwaba, J.R. ID No 510519539908	PTN 1 of Lot 316 KRP 3355	0,1276 ha	T 22839/1973	Ledwaba, A
Madikoto, D.S. ID No 3107130048085	PTN 3 of Lot 322 KRP 3454	0,2552 ha	T 27147/1973	Raphael, D.
Segooa, M.O. ID No 3410065180083	R/E of Lot 332 PTN 3 of Lot 332 KRP 3372	0,2552 ha 0,2552 ha	T 21873/1974 T 25847/1975	Segooa, J.M.
Sehowa, H. ID No 1102015080081	PTN 2 of Lot 337 PTN 3 of Lot 337 PTN 5 of Lot 337 KRP 4958	0,0317 ha 0,0317 ha 0,0317 ha	T 9676/1974 T 9676/1974 T9319/1975	Sehowa, H.

- 3.1 Application and interpretation
- 3.2 Addition to, amendment of, or deletion from the rules
- 3.3 Suspension of a rule
- 3.4 Issue of directives
- 3.5 Notice of directives

SECTION 4 - CSD PARTICIPATION

- 4.1 Participation eligibility
- 4.2 Standard of integrity of CSD participants and persons who manage or control CSD participants
- 4.3 Application procedure
- 4.4 Interim Management
- 4.5 Fees and charges
- 4.6 Termination of the participation of a CSD participant
- 4.7 Termination of participation by a CSD participant
- 4.8 Effects of termination of participation
- 4.9 Notices
- 4.10 Right of appeal
- 4.11 Confidentiality

SECTION 5 - CONDUCT AND ETHICS

- 5.1 Conduct of the business of the CSD
- 5.2 Conduct of the CSD participant

SECTION 6 - ACCOUNTS

- 6.1 Deposit of uncertificated securities
- 6.2 Dematerialisation of securities
- 6.3 Classification of accounts
- 6.4 Operation of uncertificated securities accounts
- 6.5 Information in respect of accounts
- 6.6 Debit balances
- 6.7 Withdrawal
- 6.8 Settlement of transactions in uncertificated securities

SECTION 7 - OBLIGATIONS OF THE CSD AND CSD PARTICIPANTS

- 7.1 Reports and audits - CSD participant
- 7.2 Maintenance of information
- 7.3 Client mandate
- 7.4 The effect of depositing uncertificated securities in the CSD participant's nominee account
- 7.5 Internal control and risk management
- 7.6 Compliance officer
- 7.7 Security measures and controls
- 7.8 Limitation of liability, warranties and indemnities

SECTION 8 - RESOLUTION OF DISPUTES

- 8.1 Appointment of an investor panel
- 8.2 Reporting a dispute
- 8.3 Powers of the investor panel

SECTION 9 - DISCIPLINARY PROCEDURE

- 9.1 Surveillance and investigation by the controlling body
- 9.2 Use of information obtained by the controlling body
- 9.3 Improper conduct
- 9.4 Disciplinary procedures
- 9.5 Minor matters committee
- 9.6 Tribunals
- 9.7 Preferring charges to be heard by a Tribunal
- 9.8 Procedure and evidence
- 9.9 Penalties

1 INTERPRETATION AND DEFINITIONS**1.1 Interpretation****1.1.1 In the Rules –**

1.1.1.1 a reference to any gender includes all other genders;

1.1.1.2 the singular includes the plural and vice versa;

1.1.1.3 the heading and sub-heading of a rule shall not be taken into account in the interpretation of any of the rules;

1.1.1.4 the words and expressions used in the rules and directives shall, if not inconsistent with the subject or context, bear the same meaning as the same words and expressions used in the Act;

1.1.1.5 a reference to writing shall include any mode of representing or reproducing letters, figures or marks in a visible form, whether such mode be electronic or otherwise.

1.1.2 If there is any conflict in meaning between the English text and any other text of the rules, then to the extent of such conflict, the English text shall prevail.

1.2 Definitions

In these rules, unless the context otherwise requires or indicates –

'Act' means the Custody and Administration of Securities Act, 1992 (Act No. 85 of 1992), and includes Regulations issued thereunder;

'account' means either 'central securities account' or 'uncertificated securities account', depending on the context;

'applicant' means a person which applies for admission as a CSD participant in terms of rule 4;

'authenticated instruction' means any message received through the SAFIRES system;

'authorising instruction' means a separate written instruction given by a client to a CSD participant in respect of every entry to be effected by the CSD participant on behalf of the client in that client's uncertificated securities account and which may include an authenticated instruction;

'balance receipt' means an official receipt issued by an issuer in respect of securities represented in a share certificate lodged with the issuer which are in excess of the quantity of securities represented in the certified transfer deeds issued against such securities;

'business day' means a day on which the CSD does business as determined by the controlling body and set out in the directives;

'central securities account' means an account kept by the CSD for a CSD participant reflecting the number or nominal value of securities of each kind and all entries made in such account;

'Companies Act' means the Companies Act, 1973 (Act No.61 of 1973);

'CSD' means Share Transactions Totally Electronic Limited, Registration No 98 22242/06, registered as a central securities depository in terms of the Act;

'CSD participant' means a person accepted by the CSD as a CSD participant in terms of rule 4;

'client' means a person who deposits eligible securities in the CSD with a CSD participant;

'controlling body' means the board of directors of the CSD;

'dematerialisation' means the process of converting securities, which are defined in section 1 of the Stock Exchanges Control Act, into uncertificated securities;

'directive' means any directive issued under the rules by the controlling body to regulate the business of the CSD or the CSD participants, or any other related matter in so far as it relates to uncertificated securities;

'documents of title' means share certificates and balance receipts;

'eligible securities' means uncertificated securities which the controlling body permits, from time to time, to be held in a central securities account;

'entry' includes an electronic recording of any deposit, withdrawal, transfer, attachment, pledge, cession in securitatem debiti or other transaction in respect of securities;

'executive officer' means the Chief Executive Officer of the CSD;

'FSB Act' means the Financial Services Board Act, 1990 (Act No. 97 of 1990);

'GAAP' means Generally Accepted Accounting Practices;

'guaranteed transaction' means a trade in uncertificated securities which is concluded through the Johannesburg Equities Trading System and which is guaranteed in terms of the rules and directives of the Johannesburg Stock Exchange;

'interim rule' means an interim rule issued in terms of rule 3.3.2;

'issuer of uncertificated securities' means an entity which issues securities and whose securities are accepted for deposit by the CSD;

'off-market trade' means a trade in uncertificated securities which is not concluded through the Johannesburg Equities Trading System and which is reported by the seller and the purchaser of the uncertificated securities to the relevant CSD participant for settlement through the CSD;

'PASA' means the Payments Association of South Africa;

'records' means those records which relate to the balances and movement of securities in the conducting of the CSD participants' business in terms of the Act;

'Registrar' means the Executive Officer of the Financial Services Board referred to in section 1 of the FSB Act;

'SAAS' means South African Accounting Standards;

'SAFIRES' means Southern African Financial Instruments Real Time Electronic Settlement System, the clearing, settlement and depository system of the CSD;

'SAMOS' means the South African Multiple Option Settlement System;

'securities' means securities as defined in the Act;

'standing instruction' means a general instruction in writing given by a client to a CSD participant authorising the CSD participant to effect entries on behalf of the client in that client's uncertificated securities account and which may include an authenticated instruction;

'sub-register' means the sub-register as defined in the Companies Act;

'the rules' means the rules contained in this document;

'sponsor' means a person which complies with all the entry criteria set out in rule 4.1.3 which is acceptable to the controlling body and which provides sponsorship to a CSD participant;

'Stock Exchanges Control Act' means the Stock Exchanges Control Act, 1985 (Act No. 1 of 1985);

'uncertificated securities account' means an account kept by or on behalf of a CSD participant for a client reflecting the number or nominal value of uncertificated securities of each kind deposited and all entries made in respect of such securities relating to such client;

'uncertificated securities' means securities as defined in section 1 of the Stock Exchanges Control Act which are by virtue of section 91A of the Companies Act transferable without a written instrument and are not evidenced by a certificate.

2 POWERS

2.1 Powers of the CSD

The powers and authority of the CSD are as set out in the Memorandum and Articles of Association of the CSD.

2.2 Management and control

2.2.1 The management and control of the CSD shall be exercised by the controlling body which may, in addition to the powers and authorities expressly conferred upon it by the Act and these rules, exercise all such powers and do all such acts and things as may be exercised or done by the CSD.

2.2.2 The controlling body shall at all times act in a prudent and efficient manner with due regard to the public interest, the interest of clients, CSD participants and issuers of uncertificated securities.

2.3 Decisions of the controlling body

2.3.1 Decisions of the controlling body shall –

- 2.3.1.1 be published timeously in a notice to CSD participants by the controlling body; and
- 2.3.1.2 be binding on CSD participants from the date of receipt of the notice by the CSD participants until such time as the decision has been revoked or substituted.

2.4 Confidentiality

- 2.4.1 Any information relating to a CSD participant, a client, a central securities account or an uncertificated securities account obtained by the CSD, the controlling body, officer or any employee or agent of the CSD or controlling body or delegate of the CSD or controlling body in the course of its operations, including any information obtained pursuant to rule 9.1.2, shall be kept confidential by such person, unless –
 - 2.4.1.1 such person is required to disclose such information before any court or by any law; or
 - 2.4.1.2 the prior written consent to such disclosure has been obtained from the person concerned.
- 2.4.2 Any person who divulges or makes known any confidential information contrary to rule 2.4.1 may be disciplined by the controlling body in terms of rule 9. The controlling body shall advise the Registrar in the event that a person is disciplined in terms of this rule. Where the person is the CSD or the controlling body, the CSD or controlling body shall be disciplined by the Registrar.

3 RULES AND DIRECTIVES

3.1 Application and interpretation

- 3.1.1 The rules, the directives issued in terms of the rules and decisions of the controlling body shall be binding on the CSD, the CSD participants, any other person utilising the services of a CSD participant, and any person to whom the controlling body has delegated powers and employees of that person. Any past CSD participant shall, in respect of any act or omission which occurred at a time when it was still a CSD participant, continue to be bound.
- 3.1.2 The authority to enforce the rules and directives shall vest in the controlling body.
- 3.1.3 In the event of any conflict between the rules and the directives then, to the extent of such conflict, the rules shall prevail.

3.2 Addition to, amendment of, or deletion from the rules

- 3.2.1 The controlling body may, with the prior written approval of the Registrar, make, alter and rescind the rules to comply with the provisions of the Act and generally to govern, control, manage and regulate the affairs of the CSD and CSD participants. The controlling body shall publish in a notice to the CSD participants any new rule or amendment to the rules indicating when such new rule or amendment shall become effective and whether clients of the CSD participant are to be advised of the amendment.
- 3.2.2 Any CSD participant may, in writing, propose to the controlling body any amendment of the rules.
- 3.2.3 The controlling body shall publish in a notice to the CSD participants, its decision with regard to a proposal lodged in terms of rule 3.2.2 as soon as possible.
- 3.2.4 In the event that the controlling body has determined in terms of rule 3.2.1 that clients are to be advised of a new rule or amendment to a rule, notification thereof shall be sent at the same time as or prior to the next statement of uncertificated securities account which is sent by the CSD participant to the client.

3.2.5 Any contravention or non-compliance with an interim rule shall have the same legal effect as a contravention of or non-compliance with a rule.

3.3 Suspension of a rule

3.3.1 The controlling body may with the prior written approval of the Registrar suspend any of the rules for a period not exceeding 90 calendar days at a time after notice of the proposed suspension has been given to the CSD participants.

3.3.2 The controlling body may from time to time, with the prior written approval of the Registrar, issue interim rules which shall be binding on the CSD participants from a date as determined by the Registrar and continue to be binding until such time as the appropriate amendment can be made to the rules in terms of the Act.

3.4 Issue of directives

The controlling body may from time to time issue directives governing all aspects of the business of the CSD and of CSD participants insofar as the business relates to uncertificated securities.

3.5 Notice of directives

3.5.1 Directives issued by the controlling body in terms of rule 3.4 shall -

3.5.1.1 be published in a notice to the CSD participants by the controlling body; and

3.5.1.2 be binding from the date specified in the notice referred to in rule 3.5.1.1, until such time as the directive has lapsed or has been revoked or substituted or incorporated into the rules: Provided that the date specified in the notice shall not be a date earlier than the date that the notice is received by the CSD participant.

3.5.2 The non-receipt of a notice under this rule by a CSD participant shall not invalidate the directive with which the notice is concerned.

4 CSD PARTICIPATION

4.1 Participation eligibility

4.1.1 An applicant must be a person or category of persons which has been authorised by the Registrar to act as a depositary institution in terms of the Act.

4.1.2 An applicant may, subject to rule 4.1.3, be admitted as a CSD participant.

4.1.3 To be eligible to be admitted as or to remain a CSD participant, a person shall satisfy the controlling body that -

4.1.3.1 it is managed by persons and employs persons who comply with the standards of training and experience and other qualifications as prescribed by the controlling body in consultation with the Registrar;

4.1.3.2 it is of good repute, maintains standards of integrity and is managed and controlled by persons who are of good character and integrity;

4.1.3.3 it has the financial resources to meet its obligations as a CSD participant as set out in the directives;

- 4.1.3.4 it has adequate systems, procedures, personnel, facilities and technical capacity enabling it to fulfil its obligations and operational requirements in terms of the rules and directives promptly and accurately;
- 4.1.3.5 it shall pay all fees and levies as determined from time to time by the controlling body;
- 4.1.3.6 it has adequate securities lending and borrowing arrangements;
- 4.1.3.7 it is a participant in SAMOS and a member of PASA or has a sponsor which is a participant in SAMOS and a member of PASA;
- 4.1.3.8 it has adequate systems and procedures in place to protect the information, data, records and documents relating to uncertificated securities accounts and the affairs of clients against any unauthorised access, alteration, destruction or dissemination; and
- 4.1.3.9 it complies with any other reasonable criteria which the controlling body may from time to time specify.

4.1.4 Any applicant or CSD participant which ceases to satisfy any of the entry criteria set out in rule 4.1 shall immediately notify the controlling body in writing.

4.2 **Standard of Integrity of CSD participants and persons who manage or control CSD participants**

- 4.2.1 For the purposes of meeting the requirements of rule 4.1.3, a person may be disqualified if such person (in the Republic of South Africa or elsewhere) has been –
- 4.2.1.1 expelled from the CSD or any other central securities depository;
 - 4.2.1.2 declared a defaulter on any licensed exchange;
 - 4.2.1.3 convicted of an offence involving fraud or dishonesty;
 - 4.2.1.4 involved in any activities which may form the subject matter of a criminal investigation and/or prosecution in respect of an offence which involves fraud or dishonesty;
 - 4.2.1.5 declared insolvent and not rehabilitated, had its estate wound up or entered into an arrangement with its creditors;
 - 4.2.1.6 refused entry to or expelled from any profession or vocation;
 - 4.2.1.7 convicted of misconduct or malpractice;
 - 4.2.1.8 disqualified by a court from acting as a director of a company.

4.3 **Application procedure**

- 4.3.1 Application for CSD participation shall be made to the controlling body, on a form as prescribed from time to time by the controlling body.
- 4.3.2 An application for CSD participation shall be accompanied by the payment of the application fee as determined from time to time by the controlling body and set out in the directives.

- 4.3.3 The controlling body shall notify CSD participants of an application to become a CSD participant within 14 days of receipt of the application.
- 4.3.4 A CSD participant shall notify the controlling body of any objection it may have to the application and the reasons for such objection within seven business days of receipt of notification of the application. The controlling body shall then take the reasons into account when making its decision.
- 4.3.5 In addition to the information specifically required in terms of these rules, the controlling body may require from an applicant –
- 4.3.5.1 any further information that the controlling body deems to be appropriate, reasonable and relevant, and may institute any such investigation which it deems necessary, to verify information submitted by the applicant in support of an application; and
- 4.3.5.2 that the applicant or one or more representatives of the applicant, be interviewed by the controlling body, or any other person designated by the controlling body for that purpose.
- 4.3.6 The agreed or reasonable costs of verification of information referred to in rule 4.3.5.1 shall be for the account of the applicant.
- 4.3.7 Once the provisions of the Act and these rules have been complied with, the controlling body shall notify the applicant in writing of its decision to grant or refuse the application and, in the event that the application is granted, the date from which the CSD participant may commence operations. In the event that an application is refused, the applicant shall be provided with the reasons for the refusal. The controlling body shall notify all CSD participants of its decision.
- 4.3.8 Subject to the provisions of rule 4.10, the applicant whose application has been refused may only reapply after a period of six months from the date of refusal, unless the controlling body determines otherwise.
- 4.3.9 The controlling body shall keep a list of CSD participants which shall be published by the Registrar in accordance with the Act.
- 4.4 Interim Management**
- 4.4.1 In order to ensure that the business of the CSD is carried on with due regard to the public interest, the executive officer may from time to time appoint one or more Urgent Issues Committees. The executive officer or the acting executive officer and at least two other members of the controlling body who are not officers or employees of a CSD participant shall constitute an Urgent Issues Committee. For the purposes of rule 4.4 "business" shall mean the business of the CSD participant conducted in terms of the Act.
- 4.4.2 An Urgent Issues Committee shall consider whether a CSD participant is conducting its business in such a manner that there is imminent danger that such CSD participant may be unable to meet its commitments to clients, other CSD participants or the CSD or that it is conducting business in a manner which could be directly detrimental to the interests of the CSD or to the interests of other CSD participants and the public.
- 4.4.3 If an Urgent Issues Committee resolves by a two-thirds majority that there are reasonable grounds for believing that the CSD participant is conducting its business in such a manner that there is such imminent danger as is referred to in rule 4.4.2, the Urgent Issues Committee may call upon senior representatives of the CSD Participant to attend a meeting of the Urgent Issues Committee, which meeting may be called on a business day on not less than four hour's notice, to hear the concerns of the Urgent Issues Committee and to discuss how such concerns may be resolved. The Urgent Issues Committee shall, at the same time as the CSD participant is notified of a meeting called in terms of this rule, advise the Registrar of the meeting, and provide reasons for the convening of the meeting.
- 4.4.4 With the agreement of the CSD participant concerned or, should the outcome of such meeting fail to

satisfy the Urgent Issues Committee with regard to the above, the Urgent Issues Committee may by a two-thirds majority –

- 4.4.4.1 impose any reasonable restrictions on the business activities of such CSD participant, including the application of rule 4.4.5; and/or
- 4.4.4.2 give such CSD participant such instructions as it may deem necessary in the interests of the CSD participant's clients or other CSD participants or the CSD.
- 4.4.5 The Urgent Issues Committee shall during the period of compliance with any instruction in terms of rule 4.4.4, and with the concurrence of the Registrar, have the power to appoint a person registered with the Public Accountants' and Auditors' Board to manage the activities of the CSD participant, at the CSD participant's cost (the "interim manager"). Such CSD participant may further be prohibited from entering into CSD and CSD participant related contracts without the prior consent of the interim manager which consent may be given upon such terms and conditions as the Urgent Issues Committee shall determine.
- 4.4.6 Notice to the CSD participant concerned of such prohibition, restriction or instruction shall be accompanied by particulars of any alleged contraventions of the Act, rules and directives. Any requirements of the Urgent Issues Committee for the rectification of the alleged contraventions shall be stated so as to enable the CSD participant in question to apply to the Urgent Issues Committee for the removal of the order made in terms of rule 4.4.4 once the conditions stated in the order have been satisfied.
- 4.4.7 Any action taken by an Urgent Issues Committee in terms of this rule may continue until such time as that Committee is satisfied as to the financial position and business conduct of the CSD participant in question: Provided that such action shall be reviewed by the Urgent Issues Committee at least once every month and any order given above shall thereafter only continue to the extent that such continuation is resolved by a two-thirds majority of the Urgent Issues Committee. The Urgent Issues Committee shall notify the Registrar of the outcome of any review in terms of this rule.

4.5 Fees and charges

- 4.5.1 The controlling body may from time to time prescribe fees and charges to be paid by CSD participants and other persons.
- 4.5.2 The controlling body shall notify the CSD participants of the fees and charges to be paid within a reasonable time prior to their imposition.
- 4.5.3 Fees and charges shall be paid within fifteen business days after date of statement.
- 4.5.4 Should a CSD participant fail to make any payment within twenty business days of it becoming due and payable, the controlling body shall notify the CSD participant of the amount in arrears.
- 4.5.5 If the arrears are not paid by the CSD participant within seven business days of such notice, or any extended period granted by the controlling body, the controlling body may refuse to provide any further services to the CSD participant.
- 4.5.6 Interest shall be payable on outstanding fees and charges. The interest shall be calculated from due date to the date of payment at the rate which is the average of the prime lending rates offered by the CSD participants as at the date of statement.

4.6 Termination of the participation of a CSD participant

- 4.6.1 The controlling body may terminate the participation of a CSD participant in terms of the Act and under the following circumstances –
- 4.6.1.1 the CSD participant is liquidated or placed under judicial management, whether provisionally or finally;
- 4.6.1.2 the CSD participant fails to take steps within thirty calendar days of a material judgment having been granted against it to satisfy such judgment or to have it set aside. The controlling body may in its sole discretion elect not to enforce this rule 4.6.1.2;
- 4.6.1.3 the CSD participant is expelled from the CSD pursuant to rule 9;
- 4.6.1.4 the CSD participant no longer complies with the requirements of eligibility for CSD participation in terms of rule 4.1; and
- 4.6.1.5 as a result of the CSD participant having obtained admission to the CSD by furnishing the controlling body with information which is found to be untrue or misleading in any material respect.
- 4.6.2 A CSD participant, or its trustee, liquidator, administrator or other lawful agent as the case requires, shall immediately notify the executive officer and the Registrar in writing upon the happening of any of the events referred to in rule 4.6.1 and shall immediately upon the termination of the participation of the CSD participant ensure that all of its records are placed in the custody of the CSD or other person designated by the controlling body in accordance with section 12 (1C)(a) of the Act.
- 4.7 **Termination of participation by a CSD participant**
- 4.7.1 A CSD participant may terminate its participation by applying in writing to the controlling body and such termination shall be permitted when the CSD participant has, to the reasonable satisfaction of the CSD -
- 4.7.1.1 entered into satisfactory arrangements regarding outstanding obligations and potential liabilities and ensured that all outstanding transactions of that CSD participant that affect the accounts under the control of the CSD are completed and the CSD participant no longer has any outstanding obligations of any kind to its clients or the CSD; or
- 4.7.1.2 entered into alternate satisfactory arrangements in respect of the affected accounts.
- 4.7.2 Prior to the termination of the participation of a CSD participant in terms of this rule, the CSD participant shall ensure that all its records are placed in the custody of the CSD or other person designated by the controlling body in accordance with section 12(1C)(a) of the Act.
- 4.7.3 The controlling body shall notify other CSD participants, as well as the Registrar, of the intention of a CSD participant to terminate its participation within seven business days of having received notification to that effect from such CSD participant.
- 4.7.4 The notice of termination by a CSD participant may not be withdrawn by such CSD participant without the written consent of the controlling body.
- 4.7.5 The termination of the participation of the CSD participant shall become valid once the Registrar has, in terms of the Act, approved the deletion of the name of the relevant CSD participant from the list referred to in rule 4.3.9. The controlling body shall notify the other CSD participants accordingly and shall state whether the termination of the participation of the CSD participant was permitted in terms of rule 4.7.1.1 or rule 4.7.1.2.
- 4.8 **Effects of termination of participation**

- 4.8.1 Upon termination of CSD participation, the CSD participant shall remain liable for the fulfilment of, and compliance with all obligations, undertakings, warranties, indemnities and commitments of the CSD participant, the cause of which arose prior to the date of termination of CSD participation.
- 4.8.2 No CSD participant may cede, transfer or assign CSD participation, or any rights or obligations in respect thereof, without the prior written consent of the controlling body, and then only to a person who is a CSD participant, and who complies with the provisions of the rules in relation to CSD participation. In exercising its powers under this rule, the controlling body shall, so far as possible, take into account the wishes of the clients with holdings controlled by the CSD participant.
- 4.9 **Notices**
- 4.9.1 Every CSD participant shall notify the controlling body of a physical address, and a secured electronic delivery mechanism address at which that CSD participant shall accept the delivery of all notices issued by the CSD in terms of the rules.
- 4.9.2 The controlling body shall notify the CSD participants of a physical address, and a secured electronic delivery mechanism address at which the CSD shall accept the delivery of all notices from the CSD participants.
- 4.9.3 Any notice given by the CSD to a CSD participant or given by a CSD participant to the CSD in terms of the rules shall be in writing, and may be delivered by means of a secured electronic delivery mechanism or by hand or by registered post.
- 4.9.4 Any notice delivered by hand before 15:00 on a business day at the nominated physical address of the CSD and or CSD participant shall be deemed, until the contrary is proved, to have been received on the date of delivery.
- 4.9.5 Any notice transmitted by a secured electronic delivery mechanism before 15:00 on a business day, shall be deemed, until the contrary is proved, to have been received on the date of confirmation of the transmission.
- 4.9.6 Any notice delivered by registered post shall be deemed, until the contrary is proved, to have been received within seven business days after being dispatched.
- 4.9.7 The physical address as notified by the CSD and a CSD participant in terms of rule 4.9.1 shall be the address of the CSD and CSD participant for the service of legal process arising out of any dispute between the CSD and the CSD participant.
- 4.10 **Right of appeal**
- 4.10.1 If –
- 4.10.1.1 an application for admission as a CSD participant has been refused;
- 4.10.1.2 an application for termination of participation by a CSD participant has been refused;
- 4.10.1.3 the membership of a CSD participant has been terminated;
- 4.10.1.4 any penalty has been imposed on a CSD participant or any officer or employee of a CSD participant;

- 4.10.1.5 the controlling body has refused to admit or has suspended the admission of eligible securities into the CSD;
- 4.10.1.6 an interim manager has been appointed in terms of rule 4.4.5,
then the aggrieved party shall have a right of appeal in terms of rule 4.10.
- 4.10.2 Any person aggrieved by a decision as referred to in rule 4.10.1 may, within 15 business days of receipt of notification of the decision, which notification shall include the reasons for the decision, request an independent ruling in respect of the matter from the Registrar.
- 4.10.3 The Registrar shall deal with the request with due regard to –
- 4.10.3.1 the circumstances which were considered in making the decision referred to in rule 4.10.1;
- 4.10.3.2 the grounds for the request;
- 4.10.3.3 the documentary or oral evidence submitted or given by any person at the request or with the permission of the Registrar; and
- 4.10.3.4 any other information at the disposal of the Registrar.
- 4.10.4 The Registrar shall provide the aggrieved party and the controlling body with a decision in writing, which shall include the reasons for the decision, within 15 business days of receipt of the request.
- 4.10.5 Any person aggrieved by a decision of the Registrar in terms of rule 4.10.4, may appeal against the decision to the Board of Appeal established in terms of section 26 of the FSB Act.
- 4.10.6 The controlling body may, subject to any conditions which it may wish to impose, suspend its decision pending the outcome of the request to the Registrar and, if applicable, an appeal in terms of rule 4.10.5.
- 4.10.7 The decision of the Board of Appeal shall be binding upon the controlling body and all other interested parties, but shall not limit their right to have the decision of the controlling body reviewed in a court of law.
- 4.11 **Confidentiality**
- 4.11.1 Any information relating to the CSD, a client, an uncertificated securities account or a central securities account obtained by a CSD participant in the course of its operations shall be kept confidential by the CSD participant, unless –
- 4.11.1.1 the CSD participant is required to disclose such information before any court or by any law; or
- 4.11.1.2 it has obtained the prior written consent to such disclosure from the person concerned.
- 4.11.2 A CSD participant which divulges or makes known any confidential information in contravention of rule 4.11.1 shall be guilty of improper conduct and subject to disciplinary action.

5 CONDUCT AND ETHICS

5.1 Conduct of the business of the CSD

The business of the CSD shall be carried out with due regard to the public interest and the interests of owners, clients, CSD participants and issuers of uncertificated securities.

5.2 Conduct of the CSD participant

Every CSD participant shall, at least –

- 5.2.1 act with integrity, proper skill, care, diligence, and due regard to the public interest and the interests of clients, other CSD participants and the CSD;
- 5.2.2 exercise independent professional judgement;
- 5.2.3 adequately inform clients on matters relating to the CSD;
- 5.2.4 maintain knowledge of and comply with the Act, all applicable laws, the rules and directives, and regulations governing the activities of the CSD participant;
- 5.2.5 not participate or assist in any acts in violation of any applicable law, the rules or any regulations governing the activities of the CSD participant and report any suspicion of contraventions to the controlling body;
- 5.2.6 employ the resources and implement the procedures that are necessary for the proper performance of its activities;
- 5.2.7 enter into a written agreement with employees who are involved in the conducting of the business carried on by the CSD participant in terms of the Act in terms of which the employees undertake to comply with the provisions of the Act, the rules, the directives and to abide by this rule 5.2.

6 ACCOUNTS

6.1 Deposit of uncertificated securities

- 6.1.1 The CSD participant is not obliged to accept an application to open an uncertificated securities account or accept for dematerialisation any share certificates tendered by an uncertificated securities account holder.
- 6.1.2 A person who wishes to deposit eligible securities shall first open an uncertificated securities account with a CSD participant in accordance with the rules and directives.
- 6.1.3 Only eligible securities shall be deposited and held in the CSD in central securities accounts opened and maintained by the CSD participant.
- 6.1.4 The CSD participant shall ensure that all eligible securities deposited with it by a client are entered in an uncertificated securities account maintained by the CSD participant in terms of the rules and directives.

6.2 Dematerialisation of securities

- 6.2.1 Upon receipt of any documents of title from a client, the CSD participant shall forthwith issue the client with a receipt recording the name of the securities and the number of the securities so received.
- 6.2.2 A CSD participant shall check the document of title which it has in its possession and determine from the face of it whether the client is the registered owner of such securities. If the client is not on the face of the document of title the registered owner, the CSD participant may reject it.
- 6.2.3 The issuer or its agent shall, upon receipt of securities to be dematerialised and prior to the dematerialisation of the securities, examine the documents of title with due diligence to determine the validity and authenticity of it and shall take all reasonable steps to ensure that the documents of title reconcile with the issuer's records.

6.2.4 The dematerialisation of securities shall take place in the manner as prescribed from time to time by the controlling body.

6.3 **Classification of accounts**

6.3.1 **Central securities accounts**

6.3.1.1 Central securities accounts shall only be opened and maintained by the CSD for CSD participants.

6.3.1.2 A CSD participant shall open two or more central securities accounts with the CSD in the name of the CSD participant with each account reflecting -

6.3.1.2.1 the number or nominal value of uncertificated securities of each kind deposited with the CSD by that CSD participant for its own account and all entries made in such account; or

6.3.1.2.2 the number or nominal value of uncertificated securities deposited with the CSD by that CSD participant for the account of its clients and all entries made in such account.

6.3.2 **Uncertificated securities accounts**

6.3.2.1 Uncertificated securities accounts shall only be opened and maintained by a CSD participant.

6.3.2.2 A client may only operate its uncertificated securities account through the CSD participant with whom such account is opened and maintained.

6.3.2.3 An account opened for a client shall be designated by CSD participants as an uncertificated securities account and clearly distinguishable from the CSD participant's own accounts.

6.3.2.4 A CSD participant may have one or more of the following accounts -

6.3.2.4.1 an uncertificated securities account in the CSD participant's own name;

6.3.2.4.2 an uncertificated securities account in the name of a client;

6.3.2.4.3 an uncertificated securities account in the name of a nominee company controlled by the CSD participant;

6.3.2.4.4 an uncertificated securities account in the name of such other person as the client may direct.

6.3.2.5 The standards of record keeping which apply to uncertificated securities in the sub-register shall also apply and be maintained by CSD participants in respect of other records of underlying clients of CSD participants.

6.3.2.6 A CSD participant may open an uncertificated securities account in the name of a nominee company: Provided that the nominee company complies with the criteria determined by the Registrar by notice from time to time.

6.4 **Operation of uncertificated securities accounts**

6.4.1 A CSD participant is responsible for opening, maintaining and closing uncertificated securities accounts.

- 6.4.2 A CSD participant shall, upon receipt of an authenticated instruction from the CSD advising it of the completion of a transaction which affects the balance of an uncertificated securities account held by the CSD participant, complete a corresponding entry in the relevant uncertificated securities account in accordance with section 91A of the Companies Act and the client mandate.
- 6.4.3 A CSD participant shall make a deposit, withdrawal, transfer, pledge or cession in *securitatem debiti* on behalf of a client in an uncertificated securities account in accordance with the provisions of the Act, the rules and directives.
- 6.4.4 A CSD participant shall ensure that every entry in an uncertificated securities account is executed pursuant to an authorising instruction or a standing instruction received from a client or the client's duly authorised agent.
- 6.4.5 A CSD participant shall on the receipt of an authorising instruction or a standing instruction, deliver to the client or its nominee the number or nominal value of any securities held by that CSD participant for the client.
- 6.4.6 In the event that the client requires securities to be delivered in certificated form, the provisions of rule 6.7 shall apply.
- 6.4.7 A CSD participant shall, at the close of every business day, ensure that the records as reflected in the uncertificated securities accounts held by the CSD participant correspond with the respective records reflected in the central securities accounts, the details of which will be advised by the CSD to the CSD participant on a daily basis.
- 6.4.8 If the records of the CSD are inconsistent with those of the CSD participants regarding any uncertificated securities account, the records of the CSD shall, until the contrary is proved, be deemed to be correct.

6.5 Information in respect of accounts

- 6.5.1 Every central securities account shall specify the date and time of an entry in that central securities account and shall clearly reflect the number or nominal value of securities of each kind deposited with the CSD by a CSD participant.
- 6.5.2 Every uncertificated securities account shall:
- 6.5.2.1 bear the name, an appropriate identification number and physical address or principal place of business of the client on whose behalf the account was opened;
- 6.5.2.2 clearly reflect the date of the making of any entry in the uncertificated securities account;
- 6.5.2.3 clearly reflect the number or nominal value of securities of each kind deposited with the CSD participant; and
- 6.5.2.4 reflect any further information that may be required in respect of the sub-register as set out in section 91A of the Companies Act.
- 6.5.3 Access to information and inspection of the uncertificated securities account will be allowed in accordance with the provisions of the Act and section 91A of the Companies Act, which apply to the sub-register.
- 6.5.4 Any request from an issuer of uncertificated securities to furnish it with details of holdings in the issuer of uncertificated securities as reflected in the uncertificated securities accounts maintained by a CSD

participant in accordance with the provisions relating to the sub-register as set out in section 91A(3) of the Companies Act, shall be made to the CSD in the form prescribed by the controlling body from time to time.

6.5.5 A CSD participant shall by no later than 12:00 on the second business day following a request from the CSD received in terms of rule 6.5.4, provide the CSD with details of holdings in the issuer of uncertificated securities as reflected in its uncertificated securities accounts as at the close of business on the day of the request by the CSD: Provided that the request was received by no later than 12:00 on that day.

6.5.6 The CSD shall furnish the issuer of uncertificated securities with the information as is required to be disclosed in terms of the Companies Act.

6.5.7 The maximum fee payable by the issuer of uncertificated securities to the CSD participant for the provision of the information requested shall be determined in the manner set out in the Companies Act.

6.6 Debit balances

6.6.1 A CSD participant shall not give, or give effect to an instruction which results in any of the uncertificated securities accounts maintained by the CSD participant reflecting a debit balance.

6.6.2 The CSD shall not process any instruction from a CSD participant which results in any of such CSD participant's central securities accounts reflecting a debit balance.

6.6.3 In the event that a CSD participant issues instructions to the CSD to execute a transaction, which is not a guaranteed transaction, which would have resulted in a debit balance in any CSD participant's central securities account, the CSD shall not execute such transaction and the CSD participant which issued the instruction shall be liable for any direct loss sustained by the CSD or a CSD participant as a result of such instruction.

6.7 Withdrawal

6.7.1 The application for withdrawal, handling and processing of withdrawal, and the delivery of securities shall be done in accordance with section 91A of the Companies Act and the directives.

6.7.2 Any client who wishes to withdraw its uncertificated securities held by a CSD participant in the client's uncertificated securities account and obtain a certificate in respect of all or part of those uncertificated securities, shall notify the CSD participant thereof in which case the CSD participant shall comply with the procedure as set out in the directives.

6.7.3 The CSD participant shall be entitled to charge the maximum fee as determined by the controlling body from time to time for the services rendered in terms of rules 6.7.1 and 6.7.2.

6.8 Settlement of transactions in uncertificated securities

6.8.1 Settlement of transactions in uncertificated securities, including off market trades, shall take place in the manner determined by the controlling body from time to time and in accordance with the rules of the Johannesburg Stock Exchange.

6.8.2 Any settlement of uncertificated securities which fails as a result of a CSD participant being unable to meet its commitment to such settlement shall be deemed to be a failed settlement and will be dealt with in accordance with the rules of the Johannesburg Stock Exchange.

7 OBLIGATIONS OF THE CSD AND CSD PARTICIPANTS

7.1 Reports and audits – CSD participant

- 7.1.1 The controlling body may prescribe from time to time the nature and type of accounts and records which a CSD participant shall maintain for the purpose of the requirements of the Act.
- 7.1.2 A CSD participant shall, to the satisfaction of the controlling body, introduce and maintain internal audit procedures and internal controls to ensure that the uncertificated securities accounts held by it are audited on a regular basis according to SAAS. All audit reports compiled in accordance with the provisions of this rule shall only be disclosed to the executive officer and on request, to the Registrar.
- 7.1.3 Every CSD participant shall annually report to the controlling body whether or not -
- 7.1.3.1 the CSD participants' internal controls provide reasonable assurance as to the integrity and reliability of the accounts;
- 7.1.3.2 the internal controls are based on established policies and procedures and are implemented by trained and skilled personnel, whose duties have been appropriately segregated;
- 7.1.3.3 adherence to the implemented internal controls is continuously monitored by the CSD participant;
- 7.1.3.4 the CSD participant is maintaining high ethical standards, thereby ensuring that the CSD participant's business practices, in so far as they relate to business conducted in terms of the Act, are conducted in a manner which is above reproach.
- 7.1.4 The directors of the CSD participants are required to submit the report required in terms of rule 7.1.3 within 120 calendar days after the financial year-end of the CSD participant commencing on or after the 1 January 2000.
- 7.1.5 The external auditor of the CSD participant shall annually report to the controlling body whether or not the auditor concurs with the reports required in terms of rule 7.1.3. If the auditor does not concur with such report, the auditor shall provide reasons for such non-concurrence.
- 7.1.6 A CSD participant's records shall, in addition to the requirements of the Companies Act, contain at least the following details of all deposits and withdrawals of securities in uncertificated securities accounts -
- 7.1.6.1 the name of the client whose uncertificated securities account is affected;
- 7.1.6.2 the name of the issuer of the uncertificated securities;
- 7.1.6.3 the quantity and description of the uncertificated securities;
- 7.1.6.4 the quantity, description and details of any uncertificated securities lent or borrowed;
- 7.1.6.5 details of any pledge or charge on the securities.
- 7.1.7 A CSD participant shall reconcile balances with the CSD on a daily basis. Any differences shall immediately be -
- 7.1.7.1 reported to the CSD; and
- 7.1.7.2 investigated and corrected by the CSD or CSD participant.
- 7.2 **Maintenance of Information**

The retention of any information in terms of the Act, the rules and directives may be effected in any manner including any computer as defined in section 1(1) of the Computer Evidence Act, 1983 (Act no. 57 of 1983) disc, tape or other device in which sounds or data (not being visual images) are retained so as to be capable, with or without the aid of some other instrument, of being reproduced.

7.3 Client mandate

- 7.3.1 The CSD participant shall obtain a written mandate from its client governing the relationship between them. The mandate must be signed by the client prior to the commencement of any action by the CSD participant for or on behalf of the client. For existing clients a mandate as required by this rule shall be obtained by no later than 1 March 2000.
- 7.3.2 The mandate shall contain at least provisions that –
- 7.3.2.1 the client shall be bound by the Act, the rules and directives as amended from time to time;
- 7.3.2.2 in case of conflict between a provision of the Act, the rules and directives and the mandate, then to the extent of such conflict the provisions of the Act, the rules and directives shall prevail;
- 7.3.2.3 all fees and charges made by the CSD participant for its services shall be disclosed to the client on a regular basis. Such disclosure shall include the manner in which the fees and charges are calculated and the period within which they will become payable;
- 7.3.2.4 any fee or charge increase shall only become effective upon 30 calendar days written notice by the CSD participant of the increase;
- 7.3.2.5 the CSD participant shall advise the client in writing within 3 business days of any termination of its participation or of it being placed under interim management in terms of rule 4.4;
- 7.3.2.6 the CSD participant shall provide the client with a regular statement of the uncertificated securities account, in arrears. In the event that the name of the client appears in the sub-register of the CSD participant, the statement shall be provided to the client without charge;
- 7.3.2.7 the client indicates whether the uncertificated securities held or to be held are to be registered in the name of the client, in the name of the nominee company of the CSD participant or in the name of any other person;
- 7.3.2.8 an entry in an uncertificated securities account shall only be made if an instruction is received from the client or an agent duly authorised to act on behalf of a client. The instruction may take the form of either –
- 7.3.2.8.1 a standing instruction; or
- 7.3.2.8.2 an authorising instruction;
- 7.3.2.9 by when the CSD participant shall effect an entry in the uncertificated securities account pursuant to rule 6.4.2;
- 7.3.2.10 may be required by statute as a result of the nature of the client;
- 7.3.2.11 the CSD participant, or client, as the case may be, (except in circumstances set out in 7.3.2.5) shall be required to give the client or CSD participant not more than 30 calendar days written notice of the termination of the mandate;

7.3.2.12 any amendment to the mandate shall be in writing.

7.4 The effect of depositing uncertificated securities in the CSD participant's nominee account

7.4.1 The CSD participant shall ensure that –

7.4.1.1 the election by a client to deposit uncertificated securities in the name of the nominee of the CSD participant and not in the client's own name shall in no way diminish the rights of the client as a member of the issuer of uncertificated securities and the CSD participant shall ensure that the client is timeously advised of, and in a position to exercise its rights as a member of the issuer of uncertificated securities as if the client were the registered member of the issuer of uncertificated securities;

7.4.1.2 all interest, dividend, capital redemption payments and all other payments received by it from an issuer of uncertificated securities will be paid to the client upon receipt in accordance with the client's balances at the date that the entitlement was calculated;

7.4.1.3 all notices regarding rights and other benefits accruing to the securities which are received by the CSD participant from the issuer of uncertificated securities are conveyed within a reasonable time to the client concerned.

7.5 Internal control and risk management

7.5.1 A CSD participant shall –

7.5.1.1 establish and maintain adequate systems of internal control;

7.5.1.2 adopt sound risk management principles and procedures and be able to describe and demonstrate the objectives and operation of these in the manner set out in rule 7.1.3.

7.5.2 The systems of internal control shall be designed to ensure that–

7.5.2.1 all transactions and financial commitments entered into are recorded and are within the scope of authority of the CSD participant and of the officer or employee acting on its behalf;

7.5.2.2 there are procedures to safeguard the CSD participant's assets and assets belonging to other persons to which the CSD participant is accountable, and to control liabilities;

7.5.2.3 there are measures in place to minimise the risk of losses to the CSD participant and its clients from any irregularity, fraud or error and to detect any irregularity, fraud or error should they occur so that prompt remedial action may be taken by the CSD participant;

7.5.2.4 on a daily basis, the total of the balances of the uncertificated securities accounts held for all clients for each class and type of securities held by the CSD participant agree with the aggregate amount for those securities reflected in the records of the CSD participant;

7.5.2.5 on a daily basis, the CSD participant's records of the aggregate quantity of the uncertificated securities of each class and type held by it are the same as those held by the CSD on its behalf;

7.5.3 The principles and procedures of risk management shall be designed to enable the CSD participant to –

7.5.3.1 identify, quantify, control and manage its risk exposures;

7.5.3.2 make timely and informed business decisions;

- 7.5.3.3 monitor the performance and all aspects of its business;
- 7.5.3.4 monitor its capital to ensure compliance with the capital adequacy requirement and other requirements imposed in terms of the rules and directives.

7.6 Compliance Officer

- 7.6.1 A CSD participant shall appoint a compliance officer to ensure compliance with the provisions of the Act, the rules and directives and controlling body decisions.
- 7.6.2 A compliance officer shall –
 - 7.6.2.1 pass the compliance officer examination prescribed by the controlling body;
 - 7.6.2.2 immediately report to the directors of the CSD participant any apparent breach by the CSD participant, including its officers and employees, of the provisions of the Act, the rules and directives or controlling body decisions, any discrepancy or irregularity detected in terms of rule 7.6.3 and any other issue considered by the compliance officer to be irregular;
 - 7.6.2.3 in the event that the directors of the CSD participant fail to rectify the breach, discrepancy or irregularity reported to them in terms of rule 7.6.2.2 within 24 hours, the directors of the CSD participant or, failing the directors, the compliance officer, shall report the breach, discrepancy and or irregularity to the controlling body, which report shall include a description of any action taken by the directors to rectify the breach, discrepancy and or irregularity;
 - 7.6.2.4 shall receive all notices issued in terms of rule 4.9. and be responsible to ensure that these are complied with.
- 7.6.3 The primary functions of the compliance officer shall be to review –
 - 7.6.3.1 the daily monitoring, controlling and reconciling of the uncertificated securities accounts of the CSD participant;
 - 7.6.3.2 on a daily basis, that the total of the balances of the uncertificated securities accounts held for all clients for each class and type of securities held by the CSD participant agree with the aggregate amount for those securities reflected in the records of the CSD participant;
 - 7.6.3.3 on a daily basis, that the CSD participant's records of the aggregate quantity of the uncertificated securities of each class and type held by it are the same as those held by the CSD on its behalf;
 - 7.6.3.4 the effectiveness of the internal controls and risk management procedures.
- 7.6.4 Except where the controlling body may otherwise direct, a CSD participant shall not carry on business for more than three months in any continuous period of twelve months unless such CSD participant has appointed a compliance officer in terms of 7.6.1.
- 7.6.5 In the absence of a duly appointed compliance officer or where a compliance officer post has become vacant, a temporary compliance officer shall be appointed for no longer than three months.

7.7 Security measures and controls

- 7.7.1 A CSD participant shall take all reasonable security measures, including establishing and maintaining such procedures as may be necessary or expedient, to protect information, data, records and documents relating to clients, and in particular, relating to their uncertificated securities accounts, against any

unauthorised access, alteration, destruction or disclosure. Without limiting the generality of the foregoing the CSD participant shall ensure that –

- 7.7.1.1 a formal security clearance programme for all staff and contract personnel, including an oath of secrecy, is in place;
- 7.7.1.2 the necessary security systems are in place to ensure that software and related procedures are secured against inappropriate or unauthorised access;
- 7.7.1.3 a documented security standards and practices manual is compiled and enforced by the CSD participant;
- 7.7.1.4 it adequately secures its physical premises and ensures that those responsible for this function report to a senior official of the CSD participant; and
- 7.7.1.5 adequate segregation of duties of staff members exist to prevent unauthorised transfers between clients' uncertificated securities accounts.

7.8 Limitation of liability, warranties and indemnities

- 7.8.1 Subject to rule 7.8.2, the CSD, any director, executive officer, officer, employee or representative of the CSD, or the controlling body or a committee of the controlling body is not liable for any loss sustained by or damage caused to any person as a result of anything done or omitted by the CSD, a director, executive officer, officer, employee or representative of the CSD, controlling body or such committee of the controlling body in a bona fide or negligent, but not grossly negligent, performance of any function under the Act or the rules.
- 7.8.2 Notwithstanding the provisions of rule 7.8.1, if a CSD participant incurs liability for any loss to a client (whether in terms of a contract or the common law) as a result of the mere negligence of the CSD, the CSD is liable to the CSD participant for such loss.
- 7.8.3 The CSD and any CSD participant shall not be held liable for any loss or damage of whatsoever nature resulting from force majeure.
- 7.8.4 The CSD shall by means of insurance or by any other means acceptable to the Registrar place itself in a position to meet any claim for damages against it by any CSD participant or a client for any loss or damage sustained by it as a result of any claim arising from rule 7.8.1 or 7.8.2 above.
- 7.8.5 In addition to the statutory warranties and indemnities provided for in section 91A of the Companies Act and the Act, every CSD participant shall indemnify the CSD against any loss, legal costs, damage or liability suffered or incurred by the CSD, as a result of any grossly negligent or willful act or omission, on the part of the CSD participant, its officers, employees or agents.

8 RESOLUTION OF DISPUTES

8.1 Appointment of an investor panel

The controlling body may from time to time establish an investor panel to facilitate the resolution of any dispute reported to the controlling body by an interested party.

8.2 Reporting a dispute

- 8.2.1 Any party to a dispute involving any matters governed by these rules may report the dispute to the controlling body.
- 8.2.2 The report may be made verbally, provided that the controlling body or investor panel, as the case may be, may request confirmation of the report in writing.
- 8.2.3 Any party reporting a dispute in terms of this rule must satisfy the controlling body or investor panel, as the case may be, that it has not simultaneously instituted proceedings arising from the dispute in a court of law.

8.3 Powers of the investor panel

- 8.3.1 Any party with an interest in a dispute may furnish the investor panel with any information, book, document, electronic record or any other object that may have a bearing on the dispute.
- 8.3.2 The investor panel may make recommendations to the disputing parties in respect of the dispute which shall not prevent the parties from referring the dispute to a court of law for resolution.

9 DISCIPLINARY PROCEDURE

9.1 Surveillance and investigation by the controlling body

9.1.1 Surveillance

The controlling body shall set up and maintain systems for –

- 9.1.1.1 monitoring compliance by CSD participants, officers, employees and agents of the CSD participant with the provisions of the Act, the rules and directives; and
- 9.1.1.2 the surveillance of any matter relevant for the purposes of the Act, the rules and directives.

9.1.2 Investigation

The controlling body, and any person or committee designated by the controlling body, may –

- 9.1.2.1 investigate any CSD related activities of a CSD participant or former CSD participant; and
- 9.1.2.2 require any person who is subject to the jurisdiction of the CSD to furnish information on the subject of any investigation, to deliver any book, document, tape or electronic record or other object which has a bearing on the subject of the investigation, or to appear at any reasonable time and place to be questioned by any of the abovementioned persons: Provided that the person to be questioned has been informed of the subject of the investigation.

9.1.3 Referral to another authority

Should the controlling body become aware of any possible contravention of law, it may refer such matter to the appropriate authority, whether outside or within the Republic of South Africa.

9.2 Use of information obtained by the controlling body

Any information, document, book, tape or electronic record or other object obtained by the controlling body pursuant to an investigation or otherwise, may be used in evidence in any disciplinary proceedings contemplated in rule 9.4 below.

9.3 Improper conduct

The following acts and practices whether of commission or omission on the part of any person who at the time of the alleged act or practice was a CSD participant or an employee or officer of a CSD participant shall constitute improper conduct, provided that the acts and practices so specified are not intended to be a complete list of the acts and practices which may constitute improper conduct –

- 9.3.1 participating in, assisting in or withholding knowledge of, any acts in violation of any applicable law, regulations or the rules and directives governing the activities of the CSD participant;
- 9.3.2 effecting an unauthorised entry in an uncertificated securities account;
- 9.3.3 committing or attempting to commit any act which is dishonest or fraudulent;
- 9.3.4 failing to employ the resources and procedures that are necessary for the proper performance of its business activities;
- 9.3.5 being a party to, or facilitating or conducting a transaction which is fictitious or has a dishonest or unlawful motive;
- 9.3.6 failing to comply with the Act, all applicable laws, the rules and directives, and any regulations governing the activities of the CSD;
- 9.3.7 failing to act in accordance with the instructions of a client;
- 9.3.8 negligently or recklessly conducting its business or affairs in a way that prejudice is, or may be, caused to the CSD, any other CSD participant or a client. The failure by a CSD participant to introduce appropriate and reasonable safeguards or controls to avoid such prejudice may be treated where appropriate as constituting either negligence or recklessness;
- 9.3.9 committing or attempting to commit any act which is detrimental to the interest, good name or welfare of the CSD or other CSD participants;
- 9.3.10 failing to preserve the confidentiality of information concerning matters within the scope of the confidentiality relationship with the client;
- 9.3.11 knowingly obstructing the business of the CSD or CSD participants;
- 9.3.12 giving effect to an instruction which results in any of the uncertificated securities accounts maintained by the CSD participant reflecting a debit balance;
- 9.3.13 failing, when requested, to assist the controlling body or committee appointed by the controlling body in the exercise of its duties (which shall include, but shall not be limited to, failure without sufficient cause to provide information in accordance with the provisions of rule 9.1.2.2);
- 9.3.14 failing to act with integrity, proper skill, care, diligence and with due regard for the public interest and the interests of owners, clients, the CSD participants and the CSD;
- 9.3.15 failing to exercise independent professional judgement;
- 9.3.16 failing to ensure that its officers, employees or agents comply with the provisions of the rules.

9.4 **Disciplinary procedures**

9.4.1 Conclusion of investigation

If during the course of any investigation, the controlling body is satisfied on the basis of the information in its possession, that there are grounds for an allegation of improper conduct, the controlling body may –

9.4.1.1 refer the matter to a minor matters committee; or

9.4.1.2 if the controlling body considers that the alleged conduct is so serious that it might warrant the imposition of a fine in excess of the amount referred to in 9.5.5 or suspension or termination of participation or employment with a CSD participant, prefer a formal charge against such person ("the respondent") setting out a brief statement of facts constituting the alleged offence. Such charge shall be referred to a disciplinary tribunal ("a Tribunal"), to be heard in terms of rule 9.6. Such charge may further, in the discretion of the controlling body, make provision for an admission of guilt.

9.5 Minor matters committee

9.5.1 The controlling body may from time to time appoint one or more minor matters committees. Each minor matters committee shall consist of three persons, at least one of which shall be a representative of a CSD participant. Each minor matters committee shall appoint a chairperson. A minor matters committee may, where necessary co-opt additional members, whether these are employees of CSD participants or not.

9.5.2 A minor matters committee may, subject to the provisions of rule 9.5.4 –

9.5.2.1 instruct the person whose conduct is under consideration on action which must be taken, to remedy the matter;

9.5.2.2 warn, reprimand, censure or, subject to the provisions of rule 9.5.5, impose a fine (with or without ordering that a contribution be made towards the CSD's costs) on any person who has, in the reasonable opinion of the committee, been guilty of improper conduct;

9.5.2.3 in relation to an officer, employee or agent of a CSD participant, direct the CSD participant to conduct a disciplinary enquiry into the acts or omissions of such person;

9.5.2.4 direct a CSD participant to ensure that any sanction imposed on an officer, employee or agent of that CSD participant is complied with by such officer, employee or agent;

9.5.2.5 direct a CSD participant to prevent an officer, employee or agent of that CSD participant from carrying out any specified activity, function or duty for a reasonable period deemed appropriate by the committee; and

9.5.2.6 if at any stage it determines that the matter referred to it is sufficiently serious to be heard by a Tribunal, stop the proceedings, and refer the matter to a Tribunal.

9.5.3 All decisions of the Minor Matters Committee shall be made by simple majority.

9.5.4 A minor matters committee may not impose any penalty contemplated in rule 9.5.2 unless –

9.5.4.1 the allegation has been put to the person under investigation; and

9.5.4.2 such person has been given an opportunity of explaining (either orally or in writing) his or her conduct, after being warned that any explanation may be used in evidence against him or her.

9.5.5 No fine imposed by a minor matters committee may exceed R25 000 per contravention, or such other amount as the controlling body may determine by directive from time to time.

- 9.5.6 A minor matters committee may direct that other CSD participants and the Registrar be notified of any action taken in terms of rule 9.5.2.
- 9.5.7 Any person in respect of whom a minor matters committee has imposed a reprimand, censure, or fine (but not a warning) shall have the right to demand, within a period of three business days after the imposition of such reprimand, censure, or fine, that the matter shall be heard de novo by a Tribunal. In the event of the matter being heard by the Tribunal, publication and notification of the decision of the minor matters committee shall be postponed until it is confirmed by the Tribunal.
- 9.5.8 The Tribunal shall, if it finds the person guilty of the conduct which forms the subject of the allegation, be entitled to impose a penalty more severe than that imposed by the minor matters committee.

9.6 Tribunals

- 9.6.1 The controlling body may from time to time appoint one or more Tribunals each comprised of three members.
- 9.6.2 The members of a Tribunal shall be –
- 9.6.2.1 a retired judge, or a practising or retired senior counsel, or a practising or retired attorney with not less than fifteen years experience, acting as chairperson;
- 9.6.2.2 a professional person appointed by reason of that person's knowledge of financial services as it relates to the matters under consideration; and
- 9.6.2.3 a person appointed by reason of that person's knowledge or experience of central security depositories and related matters.

9.7 Preferring charges to be heard by a Tribunal

- 9.7.1 Where the controlling body has preferred a formal charge against a respondent, the charge sheet shall, in addition to the matters listed in rule 9.4.1.2, be in a form prescribed by the controlling body and be served on the respondent in accordance with rule 4.9.7 and in such manner as the controlling body may determine.
- 9.7.2 Where the controlling body has decided to make provision for an admission of guilt, the controlling body shall stipulate –
- 9.7.2.1 the amount of the fine payable pursuant to the admission of guilt and any required contribution towards the CSD's costs as well as the period within which such amounts must be paid. The admission of guilt may, in particular, provide for the fine to be suspended for a period;
- 9.7.2.2 the manner and time in which the admission of guilt may be made, which time shall not be a period in excess of 50 calendar days from the date on which the charge sheet is served on the respondent; and
- 9.7.2.3 whether the other CSD participants should be notified of the terms of the admission of guilt or whether such admission of guilt should be published in the media.
- 9.7.3 A respondent –
- 9.7.3.1 may, if the respondent is given an opportunity to sign an admission of guilt, admit guilt to such charges within the period set out in the charge sheet; or

- 9.7.3.2 may within 21 calendar days after receipt of the charge sheet request further particulars to the charges, to which the controlling body shall be obliged to respond within 21 calendar days after receipt of such request; and
- 9.7.3.3 shall, if no admission of guilt is tendered to the controlling body or if the respondent decides not to admit guilt to the charges, file a defence to such charges on or before 50 calendar days after the date on which the charge sheet was served on the respondent or within 21 calendar days after the date on which the controlling body has responded to the request for further particulars, whichever is later.
- 9.7.4 Thereafter the chairperson of the Tribunal shall determine the date on which the charges shall be heard, which date shall not without good reason be later than six months after the charge sheet was served on the respondent.
- 9.7.5 No extension of the time periods set out in this rule, including the date for the hearing of the charges shall be allowed without good reason. Furthermore no such extension shall be allowed unless the consent of the chairperson of the Tribunal is obtained.
- 9.8 **Procedure and evidence**
- 9.8.1 Any charges preferred shall be decided on a balance of probabilities.
- 9.8.2 In a hearing before a Tribunal –
- 9.8.2.1 the chairperson of the Tribunal shall decide all matters of law which may arise during the hearing, and whether any matter constitutes a question of law or a question of fact, but all three members of the Tribunal shall by a simple majority decide all other matters arising during the hearing;
- 9.8.2.2 the chairperson of the Tribunal shall determine the procedure which the Tribunal shall follow both in respect of preliminary issues and in respect of the hearing itself, subject to these rules and to the principles of natural justice;
- 9.8.2.3 the CSD may instruct attorneys or counsel to prefer and prosecute the charges on behalf of the CSD, or the charges may be prosecuted by an employee of the CSD;
- 9.8.2.4 the respondent may be represented by a lawyer at the respondent's own cost;
- 9.8.2.5 a hearing date may be cancelled by the Tribunal with reasonable notice to the CSD participant and a substitute hearing date appointed;
- 9.8.2.6 a transcript of proceedings, but not of deliberations, is to be taken and must be made available to the parties;
- 9.8.2.7 the Tribunal may obtain legal or other professional advice as it requires;
- 9.8.2.8 the Tribunal shall within 15 calendar days after the conclusion of a hearing, give written reasons to each party, and where a stockbroker is a party, to the relevant exchange;
- 9.8.2.9 each party to a proceeding or appeal shall bear its own costs in relation to the proceedings or appeal, unless otherwise directed by the Tribunal.
- 9.8.3 Should a respondent without good cause fail to attend a hearing before a Tribunal at the time and place stated in the charge sheet, the Tribunal shall be entitled to proceed with its consideration of the charge in the absence of the respondent.

- 9.8.4 If, at any stage during a hearing before the minor matters committee or a Tribunal, one or more of the members of the body hearing the matter, other than the chairperson, dies or retires or becomes otherwise incapable of acting or is absent, the hearing shall, where the remaining members constitute a majority of the body before whom the hearing was commenced, proceed before the remaining members and, provided that the remaining members are in agreement, their finding shall be the finding of the body concerned. In the event that the chairperson dies, retires or becomes otherwise incapable of acting or in any other case, the matter shall be heard de novo.
- 9.8.5 If a Tribunal finds a respondent guilty of an offence, the Tribunal shall have the powers set out in and shall apply rule 9.9.
- 9.8.6 If the proceedings before a minor matters committee or a Tribunal are recorded, the person charged may demand a record of the hearing of the charges, and any person who has made oral representations may demand a record of that portion of the proceedings which related to those representations.
- 9.9 **Penalties**
- 9.9.1 When any person has been found guilty of improper conduct by a Tribunal pursuant to the rules, the Tribunal –
- 9.9.1.1 may by a simple majority warn or impose a reprimand, censure or fine upon the respondent, which fine shall in respect of each contravention not exceed R1 million, or such other amount as may be stipulated in the Act or in any regulations promulgated in terms of the Act;
- 9.9.1.2 shall in determining an appropriate penalty take into account –
- 9.9.1.2.1 any previous conviction in terms of the rules or in a court of law;
- 9.9.1.2.2 the harm or prejudice which is caused by the offence;
- 9.9.1.2.3 any other aggravating or mitigating factors; and
- 9.9.1.3 may by a simple majority, on such conditions as the Tribunal may deem fit, terminate the participation of a CSD participant who has been found guilty of improper conduct or in the case of an officer or employee of a CSD participant, require such CSD participant to hold a disciplinary enquiry to consider terminating or suspending the employment of such person;
- 9.9.1.4 may direct a CSD participant to ensure that any sanction imposed by the Tribunal on an officer or employee of that CSD participant is complied with by such officer or employee;
- 9.9.1.5 may make a fair and reasonable order as to costs; and
- 9.9.1.6 may order that particulars of the offence and or finding of the Tribunal and or the penalty imposed to be advised to CSD participants and or published in the media, provided that if publication is ordered, the respondent shall be given an opportunity to make representations to the Tribunal in this regard.
- 9.9.2 A Tribunal may impose any one or more of the penalties referred to in rule 9.9.1.
- 9.9.3 Any penalty or part thereof may be suspended on such conditions as the Tribunal may determine.
- 9.9.4 If a CSD participant is expelled, the CSD participant shall forfeit all rights to participate in the CSD as well as any fees or charges paid to the CSD and must forthwith pay to the CSD any arrears, fees and charges due to the CSD.

- 9.9.5 Should a CSD participant, officer, employee or agent of a CSD participant fail to pay any fine imposed by a minor matters committee or a Tribunal, within 7 calendar days after being informed of the amount of the fine, the controlling body shall have the right, after serving notice of not less than 3 business days on such person, to --
- 9.9.5.1 recover the fine in a court of competent jurisdiction;
- 9.9.5.2 terminate the participation of the CSD participant or suspend the provision of further services by the CSD to the CSD participant (on such conditions as the controlling body may deem fit) or in the case of an officer or employee of a CSD participant, require such CSD participant to hold a disciplinary enquiry to consider what the appropriate action is that should be taken; and
- 9.9.5.3 direct a CSD participant to prevent an officer, employee or agent of that CSD participant from carrying out any specified activity, function or duty for such reasonable period as the controlling body deems appropriate.
- 9.9.6 Any fine and or costs paid to the CSD pursuant to an award made by a minor matters committee or a Tribunal shall be paid into the general funds of the CSD.
- 9.9.7 A Tribunal may, upon good cause shown and subject to such conditions as the Tribunal may impose, review any penalty which it may have previously imposed on any person.

B. LIST OF PARTICIPANTS

ABSA BANK LIMITED
CITIBANK
CREDIT AGRICOLE INDOSUEZ SOUTH AFRICA
FIRST NATIONAL BANK OF SOUTHERN AFRICA LIMITED
MERCANTILE LISBON
NEDCOR BANK LIMITED
SOCIETE GENERALE JOHANNESBURG BRANCH
THE STANDARD BANK OF SOUTH AFRICA LIMITED

KENNISGEWING 2190 VAN 1999**WET OP DIE BEWARING EN ADMINISTRASIE VAN EFFEKTE, 1992****REÛLS VAN DIE SENTRALE EFFEKTEBEWAARNEMER GENAAMD SHARE TRANSACTIONS TOTALLY ELECTRONIC BEPERK EN LYS VAN DEELNEMERS**

Ingevolge artikel 12(2) van die Wet op die Bewaring en Administrasie van Effekte, 1992 (Wet No. 85 van 1992), word die reëls van die sentrale effektebewaarnemer genaamd Share Transactions Totally Electronic Beperk en die lys van sy deelnemers, soos uiteengesit in die Bylae, hierby gepubliseer.

R.G. COTTRELL
UITVOERENDE BEAMPTTE VAN DIE RAAD OP FINANSIËLE DIENSTE

BYLAE**A REÛLS VAN SHARE TRANSACTIONS TOTALLY ELECTRONIC (STRATECO) BEPERK("STRATE") (Registrasienuommer 98 22242/06)****INDEKS****AFDELING 1 – VERTOLKING EN OMSKRYWINGS**

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1 VERTOLKING EN OMSKRYWINGS

1.1 Vertolking

1.1.1 In die Reëls –

- 1.1.1.1 sluit 'n verwysing na enige geslag, alle ander geslagte in;
 - 1.1.1.2 sluit enkelvoud ook die meervoud in en omgekeerd;
 - 1.1.1.3 moet die opskrif en onder-opskrif van 'n reël nie by die vertolking van enige van die reëls in ag geneem word nie;
 - 1.1.1.4 moet die woorde en uitdrukkings wat in die reëls en voorskrifte gebruik word, indien dit nie met die onderwerp of inhoud teenstrydig is nie, dieselfde betekenis hê as dieselfde woorde en uitdrukkings wat in die Wet gebruik word;
 - 1.1.1.5 sal 'n verwysing na skrif, enige wyse van weergee of lewering van briewe, syfers of merke in 'n sigbare vorm insluit, hetsy sodanige wyse elektronies of andersins is;
- 1.1.2 Indien daar enige teenstrydigheid in betekenis tussen die Engelse teks en enige ander teks van die reëls is, sal die Engelse teks tot die omvang van sodanige teenstrydigheid, heersend wees.

1.2 Omskrywings

In hierdie reëls tensy die konteks anders vereis of aandui, beteken -

'aansoeker' 'n persoon wat aansoek doen om toelating as 'n SEB-deelnemer kragtens reël 4;

'AARP' Algemeen Aanvaarde Rekeningkundige Praktyk;

'beherende liggaam' die direksie van die SEB;

'borg' 'n persoon wat voldoen aan al die inskrywingskriteria uiteengesit in reël 4.1.3 wat aanvaarbaar is vir die beherende liggaam en wat borgskap aan 'n SEB-deelnemer verskaf;

'buitemarkverhandeling' 'n verhandeling in ongesertifiseerde effekte wat nie deur die Johannesburgse Ekwiteits Verhandelingsstelsel gedoen is nie en wat deur die verkoper en die koper van die ongesertifiseerde effekte aangemeld word by die betrokke SEB-deelnemer vir vereffening deur die SEB;

'BVSA' die Betalingsvereniging van Suid-Afrika;

'dematerialisasie' die omskeppingsproses van effekte soos omskryf in artikel 1 van die Wet op Beheer van Aandelebeurse, na ongesertifiseerde effekte;

'die reëls' die reëls wat in hierdie dokument vervat is;

'effekte' effekte soos in die Wet omskryf;

'geskikte effekte' ongesertifiseerde effekte wat die beherende liggaam van tyd tot tyd toelaat om in 'n sentrale effekte-rekening gehou te word;

'gestaafde instruksie' enige boodskap deur die SAFIWEV stelsel ontvang;

'gewaarborgde transaksie' beteken 'n verhandeling in ongesertifiseerde effekte wat gesluit is deur die Johannesburgse Ekwiteits Verhandelingsstelsel en wat gewaarborg is ingevolge die reëls en voorskrifte van die Johannesburgse Aandelebeurs;

'inskrywing' sluit in 'n elektroniese aantekening van enige deposito, onttrekking, oorplasing, beslaglegging, pand, sessie in securitatem debiti of ander transaksie ten opsigte van effekte;

'kliënt' 'n persoon wat geskikte effekte in die SEB by 'n SEB-deelnemer deponeer;

'Maatskappywet' die Maatskappywet, 1973 (Wet No 61 van 1973);

'magtigende instruksie' 'n afsonderlike skriftelike instruksie gegee deur 'n kliënt aan 'n SEB-deelnemer ten opsigte van elke inskrywing gedoen deur die SEB-deelnemer namens 'n kliënt in daardie kliënt se ongesertifiseerde effekte-rekening en wat 'n gestaafde instruksie kan insluit;

'ongesertifiseerde effekte' effekte soos omskryf in artikel 1 van die Wet op Beheer van Aandelebeurse wat kragtens artikel 91A van die Maatskappywet oordraagbaar is sonder 'n skriftelike instrument en wat nie deur 'n sertifikaat gestaaf hoef te word nie;

'ongesertifiseerde effekte-rekening' 'n rekening gehou deur of namens 'n SEB-deelnemer vir 'n kliënt wat die getal of nominale waarde van ongesertifiseerde effekte van elke soort wat gedeponeer is en alle inskrywings gemaak ten opsigte van sodanige effekte wat met sodanige kliënt verband hou, weerspieël;

'Registrateur' beteken die Uitvoerende Beampte van die Raad op Finansiële Dienste waarna verwys word in artikel 1 van die RFD Wet;

'rekening' of 'sentrale effekte-rekening' of ongesertifiseerde effekte-rekening', afhangende van die konteks;

'rekords' daardie rekords wat verband hou met die saldo's en beweging van effekte in die bedryf van die SEB-deelnemers se sake kragtens die Wet;

'RFD Wet' die Wet op die Raad op Finansiële Dienste, 1990 (Wet No 97 van 1990);

'SAFIWEV' die Suidelike Afrikaanse Finansiële Instrumente Werklike Tyd Elektroniese Vereffeningstelsel, die verrekenings-, vereffening- en bewaarnemende stelsel van die SEB;

'sakedag' 'n dag waarop die SEB sake doen soos bepaal deur die beherende liggaam en uiteengesit in die voorskrifte;

'saldokwitansie' 'n amptelike kwitansie wat deur die uitreiker uitgereik is in verband met effekte verteenwoordig deur 'n aandelesertifikaat en wat by die uitreiker ingedien is wat meer is as die getal effekte verteenwoordig deur die gesertifiseerde oordragsakte wat teen sodanige effekte uitgereik is;

'SARS' Suid-Afrikaanse Rekeningkundige Standaarde;

'SAVOV' Suid-Afrikaanse Veelvoudige Opsievereffeningstelsel;

'SEB' Share Transactions Totally Electronic Beperk, Registrasienommer 98 22242/06, geregistreer as 'n sentrale effekte-bewaarnemer kragtens die Wet;

'SEB-deelnemer' 'n persoon wat aanvaar is deur die SEB as 'n SEB-deelnemer kragtens reël 4;

'sentrale effekte-rekening' 'n rekening gehou deur die SEB vir 'n SEB-deelnemer wat die getal of nominale waarde van effekte van elke soort en alle inskrywings wat in sodanige rekening gemaak word, weerspieël;

'staande instruksie' 'n algemene skriftelike instruksie gegee deur 'n kliënt aan 'n SEB-deelnemer wat die SEB-deelnemer magtig om inskrywings namens die kliënt in daardie kliënt se ongesertifiseerde effekte-rekening te doen en wat 'n gestaafde instruksie kan insluit;

'sub-register' die sub-register soos omskryf in die Maatskappywet;

'titelbewys' aandelesertifikate en saldokwitansies;

'tussentydse reël' 'n tussentydse reël uitgereik kragtens reël 3.3.2;

'uitreiker van ongesertifiseerde effekte' 'n entiteit wat effekte uitreik en wie se effekte aanvaar word vir deponering deur die SEB;

'uitvoerende beampte' die Hoof Uitvoerende Beampte van die SEB;

'voorskrif' enige voorskrif uitgereik ingevolge die reëls deur die beherende liggaam om die sake van die SEB of die SEB-deelnemers, of enige ander verwante saak in soverre dit verband hou met ongesertifiseerde effekte te reguleer;

'Wet' die Wet op die Bewaring en Administrasie van Effekte, 1992 (Wet No 85 van 1992), en dit sluit Regulasies wat daarunder uitgereik is, in; en

'Wet op Beheer van Aandelebeurse' die Wet op Beheer van Aandelebeurse, 1985 (Wet no 1 van 1985).

2 MAGTE

2.1 Magte van die SEB

Die magte en gesag van die SEB is soos uiteengesit in die Akte en Statute van Oprigting van die SEB.

2.2 Bestuur en beheer

- 2.2.1 Die bestuur en beheer van die SEB word uitgevoer deur die beherende liggaam wat, benewens die magte en gesag wat uitdruklik aan dit gegee is deur die Wet en hierdie reëls, alle sodanige magte sal uitvoer en alle sodanige handeling en dinge sal doen soos deur die SEB uitgevoer of gedoen mag word.
- 2.2.2 Die beherende liggaam sal te alle tye verstandig en bekwaam optree met die nodige ontsag vir die openbare belang, die belang van kliënte, SEB-deelnemers en uitreikers van ongesertifiseerde effekte.

2.3 Besluite van die beherende liggaam

2.3.1 Besluite van die beherende liggaam moet –

- 2.3.1.1 betyds in 'n kennisgewing aan SEB-deelnemers deur die beherende liggaam gepubliseer word; en
- 2.3.1.2 bindend wees op SEB-deelnemers vanaf die ontvangsdatum van die kennisgewing deur die SEB-deelnemers tot sodanige tyd waarop die besluit herroep of vervang is.

2.4 Vertroulikheid

- 2.4.1 Enige inligting in verband met 'n SEB-deelnemer, 'n kliënt, 'n sentrale effekte-rekening of 'n ongesertifiseerde effekte-rekening wat deur die SEB, die beherende liggaam, beampte, enige werknemer of agent van die SEB of beherende liggaam of afgevaardigde van die SEB of beherende liggaam in die loop van sy bedrywighede bekom word, insluitende enige inligting verkry kragtens reël 9.1.2, bekom is, sal deur sodanige persoon vertroulik gehou word, tensy –
- 2.4.1.1 sodanige persoon verlang word om sodanige inligting voor enige hof of volgens enige wet te openbaar; of
- 2.4.1.2 die vooraf skriftelike toestemming tot sodanige bekendmaking van die betrokke persoon verkry is.
- 2.4.2 Enige persoon wat enige vertroulike inligting openbaar of bekend maak strydig met reël 2.4.1 kan deur die beherende liggaam gedissiplineer word kragtens reël 9. Die beherende liggaam moet die Registrateur in kennis stel in die geval waar 'n persoon ingevolge hierdie reël gedissiplineer is. Waar die persoon die SEB of die beherende liggaam is, moet die SEB of beherende liggaam deur die Registrateur gedissiplineer word.

3 REËLS EN VOORSKRIFTE

3.1 Toepassing en vertolking

- 3.1.1 Die reëls, die voorskrifte uitgereik kragtens die reëls en besluite van die beherende liggaam, sal bindend wees op die SEB, die SEB-deelnemers, enige ander persoon wat die dienste van 'n SEB-deelnemer gebruik, en enige persoon aan wie die beherende liggaam magte toegestaan het en werknemers van daardie persoon. Enige vorige SEB-deelnemer sal, ten opsigte van enige handeling of weglating wat plaasgevind het ten tye toe dit nog 'n SEB-deelnemer was, steeds gebind wees.
- 3.1.2 Die gesag om die reëls en voorskrifte af te dwing, lê by die beherende liggaam.
- 3.1.3 In die geval van enige konflik tussen die reëls en die voorskrifte, sal die reëls, na die mate van sodanige konflik, heersend wees.

3.2 Byvoeging tot, wysiging of skraping van die reëls

- 3.2.1 Die beherende liggaam kan, met die vooraf skriftelike goedkeuring van die Registrateur, die reëls maak, verander en herroep om te voldoen aan die bepalings van die Wet en om in die algemeen die sake van die SEB en SEB-deelnemers te reël, te beheer, te bestuur en te reguleer. Die beherende liggaam moet die SEB-deelnemers per kennisgewing in kennis stel van enige nuwe reël of wysiging aan die reëls wat aandui wanneer sodanige nuwe reël of wysiging in werking gaan tree en of kliënte van die SEB-deelnemer van die wysiging in kennis gestel moet word.
- 3.2.2 Enige SEB-deelnemer kan skriftelik enige wysiging van die reëls aan die beherende liggaam voorstel.
- 3.2.3 Die beherende liggaam moet sy besluit ten opsigte van 'n voorstel ingedien kragtens reël 3.2.2 so gou moontlik in 'n kennisgewing aan die SEB-deelnemers bekend maak.
- 3.2.4 In die geval waar die beherende liggaam kragtens reël 3.2.1 bepaal het dat kliënte van 'n nuwe reël of wysiging aan 'n reël in kennis gestel moet word, moet kennisgewing daarvan terselfdertyd as óf voor die volgende staat van ongesertifiseerde effekte-rekening wat deur die SEB-deelnemer aan die kliënt gestuur word, geskied.

- 3.2.5 Enige oortreding of nie-nakoming van 'n tussentydse reël sal dieselfde geregtelike uitwerking hê as 'n oortreding of nie-nakoming van 'n reël.

3.3 Opskorting van 'n reël

- 3.3.1 Die beherende liggaam kan met die vooraf skriftelike goedkeuring van die Registrateur enige van die reëls vir 'n tydperk van nie meer nie as 90 kalenderdae op 'n keer opskort nadat kennisgewing van die voorgenome opskorting aan die SEB-deelnemers gegee is.
- 3.3.2 Die beherende liggaam kan van tyd tot tyd met die vooraf skriftelike goedkeuring van die Registrateur, tussentydse reëls uitreik wat bindend sal wees op die SEB-deelnemers vanaf die datum soos bepaal deur die Registrateur en sal bindend wees tot tyd en wyl die toepaslike wysiging van die reëls kragtens die Wet gemaak kan word.

3.4 Uitreiking van voorskrifte

Die beherende liggaam kan van tyd tot tyd voorskrifte uitreik wat alle aspekte van die besigheid van die SEB en van die SEB-deelnemers reël insoverre die besigheid met ongesertifiseerde effekte verband hou.

3.5 Kennisgewing van voorskrifte

- 3.5.1 Voorskrifte uitgereik deur die beherende liggaam kragtens reël 3.4 moet –
- 3.5.1.1 in 'n kennisgewing aan die SEB-deelnemers deur die beherende liggaam gegee word; en
- 3.5.1.2 bindend wees vanaf die datum gespesifiseer in die kennisgewing waarna verwys word in reël 3.5.1.1 tot sodanige tydstip waarop die voorskrif verstryk het of herroep of vervang is of by die reëls ingesluit is: Met dien verstande dat die datum gespesifiseer in die kennisgewing nie 'n datum vroeër sal wees as die datum waarop die kennisgewing deur die SEB-deelnemer ontvang is nie.
- 3.5.2 Die nie-ontvangs van 'n kennisgewing ingevolge hierdie reël deur 'n SEB-deelnemer, sal nie die voorskrif waarop die kennisgewing betrekking het, ongeldig maak nie.

4 SEB-DEELNAME

4.1 Deelnamekwalifisering

- 4.1.1 'n Aansoeker moet 'n persoon of kategorie van persone wees wat deur die Registrateur gemagtig is om as 'n bewaarnemende instelling kragtens die Wet op te tree.
- 4.1.2 'n Aansoeker kan, onderworpe aan reël 4.1.3 as 'n SEB-deelnemer toegelaat word.
- 4.1.3 Om vir toelating te kwalifiseer as 'n SEB-deelnemer of om 'n SEB-deelnemer te bly, moet 'n persoon die beherende liggaam tevrede stel dat –
- 4.1.3.1 dit bestuur word deur persone en persone in diens het wat voldoen aan die standaard van opleiding en ervaring en ander kwalifikasies soos voorgeskryf deur die beherende liggaam in ooreenstemming met die Registrateur;
- 4.1.3.2 dit 'n goeie aansien het, standaard van integriteit handhaaf en bestuur en beheer word deur persone van 'n goeie karakter en integriteit;
- 4.1.3.3 dit beskik oor die finansiële hulpbronne om te voldoen aan sy verpligtinge as 'n SEB-deelnemer soos uiteengesit in die voorskrifte;
- 4.1.3.4 dit beskik oor voldoende stelsels, prosedures, personeel, fasiliteite en tegniese vermoë wat dit in staat stel om sy verpligtinge en bedryfsvereistes kragtens die reëls en voorskrifte tydig en korrek na te kom;
- 4.1.3.5 dit alle gelde en heffings sal betaal soos van tyd tot tyd deur die beherende liggaam bepaal;
- 4.1.3.6 dit beskik oor voldoende reëlings betreffende die leen en uitleen van effekte;
- 4.1.3.7 dit 'n deelnemer aan SAVOV en 'n lid van BVSA is of 'n borg het wat 'n deelnemer aan SAVOV en 'n lid van BVSA is;
- 4.1.3.8 dit beskik oor voldoende stelsels en prosedures om die inligting, data, rekords en dokumente met betrekking tot ongesertifiseerde effekte-rekeninge en die sake van die kliënte te beskerm teen enige ongemagtigde toegang, wysiging, vernietiging of verspreiding; en

4.1.3.9 dit voldoen aan enige ander redelike kriteria wat die beherende liggaam van tyd tot tyd mag spesifiseer.

4.1.4 Enige aansoeker of SEB-deelnemer wat nie meer aan enige van die toelatingskriteria voldoen nie, soos uiteengesit in reël 4.1, moet die beherende liggaam onmiddellik skriftelik in kennis stel.

4.2 Standaard van integriteit van SEB-deelnemers en persone wat SEB-deelnemers bestuur of beheer

4.2.1 Vir doeleindes van nakoming van die vereistes van reël 4.1.3, kan 'n persoon gediskwalifiseer word indien sodanige persoon (in Suid-Afrika of elders) –

4.2.1.1 geskors is uit die SEB of enige ander sentrale effekdebewaamemer;

4.2.1.2 tot 'n wanbetaler op enige gelisensieerde beurs verklaar is;

4.2.1.3 skuldig bevind is aan 'n oortreding ten opsigte van bedrog of oneerlikheid;

4.2.1.4 betrokke is by enige bedrywighede wat aanleiding kan gee tot 'n kriminele ondersoek en/of vervolging rakende 'n oortreding ten opsigte van bedrog of oneerlikheid;

4.2.1.5 ongerahabiteer en insolvent is, sy boedel ontbind is of 'n reëling met sy krediteure aangegaan het;

4.2.1.6 toegang tot enige beroep of profesie geweier is of daaruit geskors is;

4.2.1.7 skuldig bevind is aan wangedrag of wanpraktyk;

4.2.1.8 deur 'n hof gediskwalifiseer is om as 'n direkteur van 'n maatskappy op te tree.

4.3 Aansoekprosedure

4.3.1 Aansoek om SEB-deelname moet by die beherende liggaam gedoen word op 'n vorm soos van tyd tot tyd deur die beherende liggaam voorgeskryf;

4.3.2 'n Aansoek om SEB-deelname moet vergesel word van die aansoekgeld soos van tyd tot tyd bepaal deur die beherende liggaam en uiteengesit in die voorskrifte.

4.3.3 Die beherende liggaam moet SEB-deelnemers in kennis stel van 'n aansoek om 'n SEB-deelnemer te word, binne 14 dae van sodanige ontvangs.

4.3.4 'n SEB-deelnemer moet die beherende liggaam in kennis stel van enige beswaar wat dit mag hê teen die aansoek en van die redes vir sodanige beswaar, binne sewe sakedae van ontvangs van kennisgewing van die aansoek. Die beherende liggaam moet die redes in ag neem wanneer die besluit geneem word.

4.3.5 Benewens die inligting wat spesifiek kragtens hierdie reëls vereis word, kan die beherende liggaam die volgende van 'n aansoeker verlang –

4.3.5.1 enige verdere inligting wat die beherende liggaam toepaslik, redelik en relevant beskou, en kan enige sodanige ondersoek instel wat dit nodig mag ag om inligting te staaf wat deur die aansoeker ter ondersteuning van 'n aansoek ingedien is; en

4.3.5.2 dat 'n onderhoud met die aansoeker of een of meer verteenwoordigers van die aansoeker gevoer word deur die beherende liggaam of enige ander persoon wat deur die beherende liggaam vir daardie doel aangewys is.

4.3.6 Die ooreengekome of redelike koste om inligting te bevestig waarna in reël 4.3.5.1 verwys is, sal vir die rekening van die aansoeker wees.

4.3.7 Sodra die bepalings van die Wet en hierdie reëls nagekom is, moet die beherende liggaam die aansoeker skriftelik in kennis stel van sy besluit om die aansoek toe te staan of te weier en, waar die aansoek toegestaan is, die datum waarop die SEB-deelnemer met bedrywighede kan begin. In die geval waar 'n aansoek geweier is, moet die aansoeker voorsien word van die redes vir die weiering. Die beherende liggaam moet al die SEB-deelnemers van sy besluit in kennis stel.

4.3.8 Onderworpe aan die bepalings van reël 4.10, kan die aansoeker wie se aansoek geweier is slegs na 'n tydperk van ses maande vanaf die weieringsdatum weer aansoek doen, tensy die beherende liggaam anders bepaal.

4.3.9 Die beherende liggaam moet 'n lys van SEB-deelnemers byhou, wat deur die Registrateur kragtens die Wet gepubliseer moet word.

4.4 Tussentydse Bestuur

- 4.4.1 Ten einde te verseker dat die besigheid van die SEB bedryf word met behoorlike inagneming van die openbare belang, kan die uitvoerende beampte van tyd tot tyd een of meer Komitees vir Dringende Sake aanstel. Die uitvoerende beampte of die waarnemende uitvoerende beampte en ten minste twee ander lede van die beherende liggaam wat nie beamptes of werknemers van 'n SEB-deelnemer is nie, sal 'n Komitee vir Dringende Sake vorm. Vir die doeleindes van reël 4.4 beteken "besigheid" die besigheid van die SEB-deelnemer wat kragtens die Wet gedoen word.
- 4.4.2 'n Komitee vir Dringende Sake sal oorweeg of 'n SEB-deelnemer sy besigheid bedryf op so 'n manier dat daar dreigende gevaar is dat sodanige SEB-deelnemer nie sy verpligtinge teenoor kliënte, ander SEB-deelnemers of die SEB kan nakom nie of dat dit besigheid bedryf op so 'n manier wat regstreeks nadelig vir die belange van die SEB of die belange van ander SEB-deelnemers en die publiek kan wees.
- 4.4.3 Indien 'n Komitee vir Dringende Sake deur 'n twee-derde meerderheid besluit dat daar redelike gronde is om te glo dat die SEB-deelnemer sy besigheid bedryf op so 'n manier dat daar sodanige dreigende gevaar is soos na verwys in reël 4.4.2, kan die Komitee vir Dringende Sake 'n beroep doen op senior verteenwoordigers van die SEB-deelnemer om 'n vergadering van die Komitee vir Dringende Sake by te woon. Sodanige vergadering kan byeengeroep word op 'n sakedag met minstens vier uur kennisgewing, om die vrese van die Komitee vir Dringende Sake aan te hoor en hoe sodanige vrese opgelos kan word, te bespreek. Die Komitee vir Dringende Sake moet terselfdertyd wanneer die SEB-deelnemer in kennis gestel word van 'n vergadering wat kragtens hierdie reël byeengeroep is, die Registrateur in kennis stel van die vergadering en die redes verskaf vir die byeenroeping van die vergadering.
- 4.4.4 Met die toestemming van die betrokke SEB-deelnemer of, indien die uitslag van sodanige vergadering nie die Komitee vir Dringende Sake met betrekking tot bogenoemde tevrede stel nie, kan die Komitee vir Dringende Sake deur 'n twee-derde meerderheid –
- 4.4.4.1 enige redelike beperkings op die besigheidsbedrywighede van sodanige SEB-deelnemer opleë, insluitende die toepassing van reël 4.4.5; en/of
- 4.4.4.2 aan sodanige SEB-deelnemer sodanige instruksies gee as wat dit nodig ag in die belang van die SEB-deelnemer se kliënte of ander SEB-deelnemers of die SEB.
- 4.4.5 Die Komitee vir Dringende Sake sal gedurende die tydperk van nakoming van enige instruksie kragtens reël 4.4.4 en met die instemming van die Registrateur, oor die mag beskik om 'n persoon, geregistreer by die Raad vir Openbare Rekenmeesters en Ouditeurs, aan te stel om die bedrywighede van die SEB-deelnemer te bestuur vir die SEB-deelnemer se koste (die "tussentydse bestuurder"). Sodanige SEB-deelnemer kan verder verbied word om SEB- en SEB-deelnemer-verwante kontrakte aan te gaan sonder die vooraf toestemming van die tussentydse bestuurder, welke toestemming gegee kan word op sodanige bepalinge en voorwaardes wat die Komitee vir Dringende Sake mag bepaal.
- 4.4.6 Kennisgewing aan die betrokke SEB-deelnemer van sodanige verbod, beperking of instruksie moet vergesel word deur besonderhede van enige beweerde oortredings van die Wet, reëls en voorskrifte. Enige vereistes van die Komitee vir Dringende Sake vir die regstelling van die beweerde oortredings moet so vermeld word dat dit die betrokke SEB-deelnemer in staat stel om by die Komitee vir Dringende Sake aansoek te doen vir die opskorting van die bevel ingevolge reël 4.4.4 gemaak, sodra die gemelde voorwaardes in die bevel nagekom is.
- 4.4.7 Enige stappe geneem deur die Komitee vir Dringende Sake ingevolge hierdie reël sal van krag bly totdat die Komitee tevrede is met die finansiële posisie en besigheidsgedrag van die betrokke SEB-deelnemer. Met dien verstande dat sodanige stappe ten minste maandeliks deur die Komitee vir Dringende Sake hersien word en enige bevel gegee hierbo sal daarna slegs van krag bly in soverre sodanige voortsetting beslis is deur 'n twee-derde meerderheid van die Komitee vir Dringende Sake. Die Komitee vir Dringende Sake moet die Registrateur in kennis stel van die uitkoms van enige hersiening ingevolge hierdie reël.

4.5 Gelde en koste

- 4.5.1 Die beherende liggaam kan van tyd tot tyd gelde en koste voorskryf wat deur die SEB-deelnemer en ander persone betaal moet word.
- 4.5.2 Die beherende liggaam moet die SEB-deelnemer binne 'n redelike tyd in kennis stel van die gelde en koste wat betaal moet word, voor dit ingestel word.
- 4.5.3 Gelde en koste moet binne vyftien sakedae na die staatsdatum betaal word.
- 4.5.4 Indien 'n SEB-deelnemer versuim om binne twintig sakedae nadat dit betaalbaar en verskuldig is, te betaal, moet die beherende liggaam die SEB-deelnemer in kennis stel van die agterstallige bedrag.

- 4.5.5 Indien die agterstallige bedrag nie binne sewe sakedae van sodanige kennisgewing of enige verlengde tydperk wat deur die beherende liggaam toegestaan is deur die SEB-deelnemer betaal is nie, kan die beherende liggaam weier om enige verdere dienste aan die SEB-deelnemer te verskaf.
- 4.5.6 Rente sal op agterstallige gelde en koste betaalbaar wees. Die rente word bereken vanaf die datum verskuldig tot die datum waarop betaling geskied teen die koers wat die gemiddelde is van die prima leenkoerse aangebied deur die SEB-deelnemers soos op die staatsdatum.

4.6 Beëindiging van die deelname van 'n SEB-deelnemer

- 4.6.1 Die beherende liggaam kan die deelname van 'n SEB-deelnemer beëindig kragtens die Wet en onder die volgende omstandighede –
- 4.6.1.1 die SEB-deelnemer gelikwieder of onder geregtelike bestuur oplaas word, hetsy voorlopig of finaal;
- 4.6.1.2 die SEB-deelnemer versuim om binne dertig kalenderdae nadat 'n wesenlike vonnis teen hom opgelê is, stappe te doen om aan sodanige vonnis te voldoen of om dit te laat verwerp. Die beherende liggaam kan in sy uitsluitlike diskresie besluit om nie hierdie reël 4.6.1.2 toe te pas nie;
- 4.6.1.3 die SEB-deelnemer uit die SEB kragtens reël 9 geskors is;
- 4.6.1.4 die SEB-deelnemer nie meer aan die kwalifiseringsvereistes vir SEB-deelname kragtens reël 4.1 voldoen nie; en
- 4.6.1.5 die SEB-deelnemer het toelating tot die SEB bekom deur die beherende liggaam van inligting te voorsien wat onwaar of misleidend is in enige wesenlike opsig.
- 4.6.2 'n SEB-deelnemer of sy trustee, likwidateur, administrateur of ander wettige agent, na gelang van die geval, moet die uitvoerende beampte en die Registrateur onmiddellik skriftelik in kennis stel indien enige van die gebeure waarna verwys word in reël 4.6.1 plaasvind en moet onmiddellik met beëindiging van die deelname van die SEB-deelnemer verseker dat al sy rekords in die bewaring van die SEB, of ander persoon soos aangewys deur die beherende liggaam ooreenkomstig artikel 12(1C)(a) van die Wet geplaas word.

4.7 Beëindiging van deelname deur 'n SEB-deelnemer

- 4.7.1 'n SEB-deelnemer kan sy deelname beëindig deur skriftelik aansoek te doen by die beherende liggaam en sodanige beëindiging sal toegelaat word tot tyd en wyl die SEB-deelnemer ter redelike tevredenheid van die SEB –
- 4.7.1.1 voldoende reëlings aangaande uitstaande verpligtinge en moontlike aanspreeklikhede getref het en verseker het dat alle uitstaande transaksies van daardie SEB-deelnemer wat die rekeninge onder die beheer van die SEB raak, afgehandel is en die SEB-deelnemer nie meer enige uitstaande verpligtinge van enige aard teenoor sy kliënte of die SEB het nie; of
- 4.7.1.2 alternatiewe voldoende reëlings ten opsigte van die betrokke rekeninge getref het.
- 4.7.2 Voor die beëindiging van die deelname van 'n SEB-deelnemer kragtens hierdie reël, moet die SEB-deelnemer verseker dat al sy rekords in die bewaring van die SEB, of ander persoon wat aangewys is deur die beherende liggaam ooreenkomstig artikel 12(1C)(a) van die Wet, geplaas word.
- 4.7.3 Die beherende liggaam moet die ander SEB-deelnemers sowel as die Registrateur in kennis stel van die voorneme van 'n SEB-deelnemer om sy deelname te beëindig, binne sewe sakedae nadat kennisgewing daarvan van sodanige SEB-deelnemer ontvang is.
- 4.7.4 Die kennisgewing van beëindiging deur 'n SEB-deelnemer kan nie deur sodanige SEB-deelnemer sonder die skriftelike toestemming van die beherende liggaam teruggetrek word nie.
- 4.7.5 Die beëindiging van die deelname van die SEB-deelnemer sal geldig word sodra die Registrateur kragtens die Wet die skraping van die betrokke SEB-deelnemer se naam van die lys waarna verwys word in reël 4.3.9, goedgekeur het. Die beherende liggaam moet die ander SEB-deelnemers dienooreenkomstig in kennis stel en moet aandui of die beëindiging van die deelname van die SEB-deelnemer kragtens reël 4.7.1.1 of reël 4.7.1.2 toegelaat is.

4.8 Gevolge van beëindiging van deelname

- 4.8.1 By beëindiging van SEB-deelname, bly die SEB-deelnemer aanspreeklik vir die nakoming van en voldoening aan alle verpligtinge, ondernemings, waarborge, skadeloosstellings en verbintenisse van die SEB-deelnemer, waarvan die oorsaak ontstaan het voor die datum van beëindiging van SEB-deelname.

- 4.8.2 Geen SEB-deelnemer mag SEB-deelname of enige regte of verbintenisse ten opsigte daarvan sonder die vooraf skriftelike toestemming van die beherende liggaam sodeer, oorplaas of toeskryf nie, en dan slegs aan 'n persoon wat 'n SEB-deelnemer is en aan die bepalings van die reëls in verband met SEB-deelname voldoen. In die uitoefening van sy magte ingevolge hierdie reël, moet die beherende liggaam sover moontlik die wense van die kliënte wie se belange deur die SEB-deelnemer beheer word, in ag neem.

4.9 Kennisgewings

- 4.9.1 Elke SEB-deelnemer moet die beherende liggaam in kennis stel van 'n fisiese adres en 'n beveiligde elektroniese leweringstelseladres waar sodanige SEB-deelnemer die lewering van alle kennisgewings uitgereik deur die SEB ingevolge die reëls kan aanvaar.
- 4.9.2 Die beherende liggaam moet die SEB-deelnemers in kennis stel van 'n fisiese adres en 'n beveiligde elektroniese leweringstelseladres waar die SEB die lewering van alle kennisgewings van die SEB-deelnemers kan aanvaar.
- 4.9.3 Enige kennisgewing verstrekk deur die SEB aan 'n SEB-deelnemer of verstrekk deur 'n SEB-deelnemer aan die SEB ingevolge die reëls, moet skriftelik wees en kan gelewer word per beveiligde elektroniese leweringstelsel, per hand of per geregistreerde pos.
- 4.9.4 Enige kennisgewing per hand afgelewer voor 15:00 op 'n sakedag by die genomineerde fisiese adres van die SEB en of SEB-deelnemer, sal geag word ontvang te wees op die datum van aflewering tensy anders bewys.
- 4.9.5 Enige kennisgewing wat deur 'n beveiligde elektroniese afleweringstelsel voor 15:00 op 'n sakedag versend is, sal geag word ontvang te wees op die datum van bevestiging van die versending, tensy anders bewys.
- 4.9.6 Enige kennisgewing wat per geregistreerde pos afgelewer word, sal geag word ontvang te wees binne sewe sakedae na versending, tensy anders bewys.
- 4.9.7 Die fisiese adres soos verskaf deur die SEB en 'n SEB-deelnemer ingevolge reël 4.9.1, sal die adres van die SEB en SEB-deelnemer wees vir die betekening van prosesstukke voortspruitend uit enige geskil tussen die SEB en die SEB-deelnemer.

4.10 Reg tot Appél

4.10.1 Indien –

- 4.10.1.1 'n aansoek om toelating as 'n SEB-deelnemer geweier is;
- 4.10.1.2 'n aansoek om beëindiging van deelname deur 'n SEB-deelnemer geweier is;
- 4.10.1.3 die lidmaatskap van 'n SEB-deelnemer beëindig is;
- 4.10.1.4 enige boete wat aan 'n SEB-deelnemer of enige beampte of werknemer van 'n SEB-deelnemer opgelê is;
- 4.10.1.5 die beherende liggaam die toelating van geskikte effekte tot die SEB geweier of opgeskort het;
- 4.10.1.6 'n tussentydse bestuurder ingevolge reël 4.4.5 aangestel is,

het die verontregde party die reg tot Appél kragtens reël 4.10.

- 4.10.2 Enige persoon wat verontreg is deur 'n besluit soos verwys na in reël 4.10.1, kan binne 15 sakedae na ontvangs van die kennisgewing van die besluit en die redes daarvoor, 'n onafhanklike beslissing ten opsigte van die saak van die Registrateur teen daardie besluit aanvra.
- 4.10.3 Die Registrateur sal die versoek hanteer met inagneming van –
- 4.10.3.1 die omstandighede wat oorweeg is in die besluit soos na verwys in reël 4.10.1;
- 4.10.3.2 die gronde vir die versoek;
- 4.10.3.3 die dokumentêre of mondelinge getuienis voorgelê of gegee deur enige persoon op versoek of met die toestemming van die Registrateur; en
- 4.10.3.4 enige ander inligting tot die beskikking van die Registrateur.
- 4.10.4 Die Registrateur moet binne 15 sakedae van ontvangs van die versoek, die verontregde party en die beherende liggaam voorsien van 'n skriftelike besluit asook die redes daarvoor.

- 4.10.5 Enige persoon verontreg deur 'n besluit van die Registrateur kragtens reël 4.10.4 kan teen die besluit appelleer by die Appélraad wat kragtens artikel 26 van die RFD Wet opgerig is.
- 4.10.6 Die beherende liggaam kan, onderworpe aan enige voorwaardes wat dit moontlik mag instel, sy besluit opskort hangende die uitkoms van die versoek gerig aan die Registrateur en indien van toepassing 'n appél kragtens reël 4.10.5.
- 4.10.7 Die besluit van die Appélraad sal bindend wees op die beherende liggaam en alle ander belanghebbende partye, maar sal nie hul reg om die besluit van die beherende liggaam in 'n geregshof te laat hersien, beperk nie.

4.11 Vertroulikheid

- 4.11.1 Enige inligting in verband met die SEB, 'n kliënt, 'n ongesertifiseerde effekte-rekening of 'n sentrale effekte-rekening verkry deur 'n SEB-deelnemer in die verloop van sy bedrywighede moet vertroulik gehou word deur die SEB-deelnemer, tensy –
- 4.11.1.1 die SEB-deelnemer voor enige hof of volgens enige wet verplig word om sodanige inligting te openbaar; of
- 4.11.1.2 die vooraf skriftelike toestemming vir sodanige openbaarmaking van die betrokke persoon verkry is.
- 4.11.2 'n SEB-deelnemer wat enige vertroulike inligting in stryd met reël 4.11.1 openbaar of bekend maak, sal skuldig wees aan onbehoorlike gedrag en aan dissiplinêre aksie onderworpe wees.

5 GEDRAG EN ETIEK

5.1 Besigheidsgedrag van die SEB

Die SEB moet sy besigheid bedryf met behoorlike inagneming van die openbare belang en die belange van eienaars, kliënte, SEB-deelnemers en uitreikers van ongesertifiseerde effekte.

5.2 Gedrag van die SEB-deelnemer

Elke SEB-deelnemer moet ten minste –

- 5.2.1 optree met integriteit, behoorlike kundigheid, sorg, ywer en behoorlike inagneming van die openbare belang en die belange van kliënte, ander SEB-deelnemers en die SEB;
- 5.2.2 onafhanklike professionele oordeel uitoefen;
- 5.2.3 kliënte voldoende inlig oor sake met betrekking tot die SEB;
- 5.2.4 kennis dra van die Wet, alle toepaslike wette, die reëls en voorskrifte, en regulasies wat die bedrywighede van die SEB-deelnemer reël, en dit nakom;
- 5.2.5 nie deelneem of bystand verleen aan enige aksies wat enige toepaslike wet, die reëls of enige regulasies wat die bedrywighede van die SEB-deelnemer reël oortree nie, en moet enige vermoedelike oortredings aan die beherende liggaam rapporteer;
- 5.2.6 die hulpmiddele benut en die prosedures implementeer wat nodig is vir die behoorlike uitvoering van sy bedrywighede;
- 5.2.7 'n skriftelike ooreenkoms met die werknemers wat betrokke is by die uitvoering van die besigheid van die SEB-deelnemer kragtens die Wet sluit, ingevolge waarvan die werknemers onderneem om aan die bepalings van die Wet, die reëls en die voorskrifte te voldoen en om hierdie reël 5.2 na te kom.

6 REKENINGE

6.1 Deponering van ongesertifiseerde effekte

- 6.1.1 Die SEB-deelnemer is nie verplig om 'n aansoek om 'n ongesertifiseerde effekte-rekening te open, of enige aandeelsertifikate aangebied deur 'n ongesertifiseerde effekte-rekeninghouer vir dematerialisasie te aanvaar nie.
- 6.1.2 'n Persoon wat geskikte effekte wil deponer, moet eers 'n ongesertifiseerde effekte-rekening by 'n SEB-deelnemer ooreenkomstig die reëls en voorskrifte open.
- 6.1.3 Slegs geskikte effekte sal in die SEB gedeponer en gehou word in sentrale effekte-rekeninge wat deur die SEB-deelnemer geopen en in stand gehou word.

- 6.1.4 Die SEB-deelnemer moet verseker dat alle geskikte effekte wat deur die kliënt by hom gedeponeer word, in 'n ongesertifiseerde effekte-rekening wat deur die SEB-deelnemer instand gehou word kragtens die reëls en voorskrifte, geplaas word.

6.2 Dematerialisasie van effekte

- 6.2.1 By ontvangs van enige titelbewys van 'n kliënt, moet die SEB-deelnemer onverwyld 'n kwitansie aan die kliënt uitreik wat die naam van en die aantal effekte wat ontvang is, aandui.
- 6.2.2 'n SEB-deelnemer moet die titelbewys wat in sy besit is nagaan en gebaseer op die voorkoms daarvan bepaal of die kliënt die geregistreerde eienaar van daardie effekte is. As die kliënt nie volgens die voorkoms van die titelbewys die geregistreerde eienaar is nie, kan die SEB-deelnemer dit weier.
- 6.2.3 Die uitreiker of sy agent moet by ontvangs van effekte wat gedematerialiseer moet word en voor die dematerialisasie van die effekte die titelbewyse sorgvuldig ondersoek om die geldigheid en egtheid daarvan te bepaal en moet alle redelike stappe neem om te verseker dat die titelbewyse ooreenstem met die uitreiker se rekords.
- 6.2.4 Die dematerialisasie van effekte moet plaasvind op die wyse soos van tyd tot tyd deur die beherende liggaam bepaal en in die voorskrifte uiteengesit.

6.3 Klassifisering van rekeninge

6.3.1 Sentrale effekte-rekeninge

- 6.3.1.1 Sentrale effekte-rekeninge sal slegs deur die SEB vir 'n SEB-deelnemer geopen en instand gehou word.
- 6.3.1.2 'n SEB-deelnemer moet twee of meer sentrale effekte-rekeninge by die SEB in die naam van die SEB-deelnemer open en elke rekening moet die volgende weerspieël –
- 6.3.1.2.1 die getal of nominale waarde van ongesertifiseerde effekte van elke soort gedeponeer by die SEB deur daardie SEB-deelnemer vir sy eie rekening en alle inskrywings gemaak in so 'n rekening; of
- 6.3.1.2.2 die getal of nominale waarde van ongesertifiseerde effekte gedeponeer by die SEB deur daardie SEB-deelnemer vir die rekening van sy kliënte en alle inskrywings gemaak in so 'n rekening.

6.3.2 Ongesertifiseerde effekte-rekeninge

- 6.3.2.1 Ongesertifiseerde effekte-rekeninge sal slegs deur 'n SEB-deelnemer geopen en gehou word.
- 6.3.2.2 'n Kliënt mag slegs sy ongesertifiseerde effekte-rekening deur die SEB-deelnemer bedryf by wie sodanige rekening geopen is en gehou word.
- 6.3.2.3 'n Rekening geopen vir 'n kliënt sal deur SEB-deelnemers toegeken word as 'n ongesertifiseerde effekte-rekening en duidelik onderskei word van die SEB-deelnemer se eie rekeninge.
- 6.3.2.4 'n SEB-deelnemer kan een of meer van die volgende rekeninge hê –
- 6.3.2.4.1 'n ongesertifiseerde effekte-rekening in die SEB-deelnemer se eie naam;
- 6.3.2.4.2 'n ongesertifiseerde effekte-rekening in die naam van 'n kliënt;
- 6.3.2.4.3 'n ongesertifiseerde effekte-rekening in die naam van 'n genomineerde maatskappy wat deur die SEB-deelnemer beheer word;
- 6.3.2.4.4 'n ongesertifiseerde effekte-rekening in die naam van sodanige ander persoon wat die kliënt mag aanwys.
- 6.3.2.5 Die standaard van rekordhouding wat vir ongesertifiseerde effekte in die sub-register geld, sal ook geld vir en gehou word deur SEB-deelnemers ten opsigte van ander rekords van onderliggende kliënte van SEB-deelnemers.
- 6.3.2.6 'n SEB-deelnemer kan 'n ongesertifiseerde effekte-rekening open in die naam van 'n genomineerde maatskappy. Met dien verstande dat die genomineerde maatskappy aan die kriteria voldoen soos deur die Registrateur per kennisgewing van tyd tot tyd bepaal.

6.4 Bedryf van ongesertifiseerde effekte-rekeninge

- 6.4.1 'n SEB-deelnemer is verantwoordelik vir die opening, hou en sluiting van ongesertifiseerde effekte-rekeninge.

- 6.4.2 'n SEB-deelnemer moet by ontvangs van 'n gestaafe instruksie van die SEB wat hom meedeel van die voltooiing van 'n transaksie wat die saldo van 'n ongesertifiseerde effekte-rekening gehou deur die SEB-deelnemer beïnvloed, 'n ooreenstemmende inskrywing voltooi in die betrokke ongesertifiseerde effekte-rekening ooreenkomstig artikel 91A van die Maatskappywet en die kliëntmandaat.
- 6.4.3 'n SEB-deelnemer kan 'n deposito, onttrekking, oorplasing, pand of sessie in securitatem debiti namens 'n kliënt in 'n ongesertifiseerde effekte-rekening maak ooreenkomstig die bepalings van die Wet, reëls en voorskrifte.
- 6.4.4 'n SEB-deelnemer moet verseker dat elke inskrywing in 'n ongesertifiseerde effekte-rekening uitgevoer word na 'n magtigende instruksie of 'n staande instruksie wat ontvang is van 'n kliënt of die kliënt se behoorlik gemagtigde agent.
- 6.4.5 'n SEB-deelnemer moet, by ontvangs van 'n magtigende instruksie of 'n staande instruksie, aan die kliënt of sy genomineerde die getal of nominale waarde van enige effekte gehou deur daardie SEB-deelnemer vir die kliënt, lewer.
- 6.4.6 Indien die kliënt vereis dat effekte in gesertifiseerde vorm gelewer word, geld die bepalings van reël 6.7.
- 6.4.7 'n SEB-deelnemer moet, aan die einde van elke sakedag, verseker dat die rekords soos weerspieël in die ongesertifiseerde effekte-rekening gehou deur die SEB-deelnemer ooreenstem met die onderskeie rekords weerspieël in die sentrale effekte-rekening, die besonderhede waarvan daagliks deur die SEB aan die SEB-deelnemer meegedeel moet word.
- 6.4.8 Indien die rekords van die SEB strydig is met dié van die SEB-deelnemers rakende enige ongesertifiseerde effekte-rekening, sal die rekords van die SEB korrek geag word totdat die teenoorgestelde bewys is.

6.5 Inligting ten opsigte van rekeninge

- 6.5.1 Elke sentrale effekte-rekening moet die datum en tyd van 'n inskrywing in daardie sentrale effekte-rekening spesifiseer en moet duidelik die getal of nominale waarde van effekte van elke soort wat by die SEB of 'n SEB-deelnemer gedeponeer is, aandui.
- 6.5.2 Elke ongesertifiseerde effekte-rekening moet –
- 6.5.2.1 die naam, 'n gepaste identifikasienommer en fisiese adres of hoofbesigheidsplek van die kliënt namens wie die rekening geopen is, dra;
- 6.5.2.2 die datum van enige inskrywing in die ongesertifiseerde effekte-rekening duidelik weerspieël;
- 6.5.2.3 die getal of nominale waarde van effekte van elke soort wat by die SEB-deelnemer gedeponeer is, duidelik aangee; en
- 6.5.2.4 enige verdere inligting weerspieël wat verlang mag word ten opsigte van die sub-register soos uiteengesit in artikel 91A van die Maatskappywet.
- 6.5.3 Toegang tot inligting en inspeksie van die ongesertifiseerde effekte-rekening sal toegelaat word ooreenkomstig die bepalings van die Wet en artikel 91A van die Maatskappywet, wat van toepassing is op die sub-register.
- 6.5.4 Enige versoek van 'n uitreiker van ongesertifiseerde effekte om dit te voorsien van besonderhede van eienaarsbelang in die uitreiker van ongesertifiseerde effekte, soos weerspieël in die ongesertifiseerde effekte-rekening gehou deur 'n SEB-deelnemer ooreenkomstig die bepalings met betrekking tot die sub-register, soos uiteengesit in artikel 91A(3) van die Maatskappywet, moet gerig word aan die SEB in die vorm soos voorgeskryf deur die beherende liggaam van tyd tot tyd.
- 6.5.5 'n SEB-deelnemer moet nie later nie as 12:00 op die tweede sakedag na 'n versoek van die SEB ontvang is ingevolge reël 6.5.4 die SEB voorsien van besonderhede van eienaarsbelang in die uitreiker van ongesertifiseerde effekte weerspieël in sy ongesertifiseerde effekte-rekening soos teen sakesluiting op die dag van die versoek deur die SEB: Met dien verstande dat die versoek nie later nie as 12:00 op daardie dag ontvang is.
- 6.5.6 Die SEB moet die uitreiker van ongesertifiseerde effekte van inligting voorsien soos vereis word om bekend gemaak te word ingevolge die Maatskappywet.
- 6.5.7 Die maksimum gelde betaalbaar deur die uitreiker van ongesertifiseerde effekte aan die SEB-deelnemer vir die voorsiening van inligting wat aangevra is, sal bepaal word op die wyse soos in die Maatskappywet uiteengesit.

6.6 Debietsaldo's

- 6.6.1 'n SEB-deelnemer mag nie 'n instruksie gee of laat uitvoer wat tot gevolg het dat enige van die ongesertifiseerde effekte-rekening gehou deur die SEB-deelnemer 'n debietsaldo toon nie.

- 6.6.2 Die SEB mag nie enige instruksie van 'n SEB-deelnemer verwerk wat tot gevolg het dat enige van sodanige SEB-deelnemers se sentrale effekte-rekening 'n debietsaldo toon nie.
- 6.6.3 In die geval waar 'n SEB-deelnemer die SEB opdrag gee om 'n transaksie uit te voer wat nie 'n gewaarborgde transaksie is nie en wat 'n debietsaldo in enige SEB-deelnemer se sentrale effekte-rekening tot gevolg sal hê, mag die SEB nie so 'n transaksie uitvoer nie en die SEB-deelnemer wat die instruksie uitgereik het, sal aanspreeklik wees vir enige direkte verlies gelyk deur die SEB of 'n SEB-deelnemer as gevolg van so 'n instruksie.

6.7 Onttrekking

- 6.7.1 Die aansoek om onttrekking, hantering en die verwerking daarvan en die lewering van effekte sal gedoen word ooreenkomstig artikel 91A van die Maatskappywet en die voorskrifte.
- 6.7.2 Enige kliënt wat sy ongesertifiseerde effekte wil onttrek wat gehou word deur 'n SEB-deelnemer in die kliënt se ongesertifiseerde effekte-rekening en 'n sertifikaat wil hê ten opsigte van al of 'n gedeelte van daardie ongesertifiseerde effekte, moet die SEB-deelnemer daarvan in kennis stel in welke geval die SEB-deelnemer moet voldoen aan die prosedure soos uiteengesit in die Maatskappywet en die voorskrifte.
- 6.7.3 Die SEB-deelnemer is geregtig om die maksimum koste te hef ten opsigte van dienste gelewer ingevolge reëls 6.7.1 en 6.7.2, soos van tyd tot tyd deur die beherende liggaam bepaal.

6.8 Vereffening van transaksies in ongesertifiseerde effekte

- 6.8.1 Die vereffening van transaksies in ongesertifiseerde effekte, met die insluiting van buitemarkverhandelings, moet plaasvind soos van tyd tot tyd bepaal deur die beherende liggaam en ingevolge die reëls van die Johannesburgse Aandelebeurs.
- 6.8.2 'n Vereffening van 'n transaksie in ongesertifiseerde effekte wat misluk omdat 'n SEB-deelnemer nie in staat is om sy verpligtinge na te kom nie, word geag 'n mislukte vereffening te wees waarmee ooreenkomstig die reëls van die Johannesburgse Aandelebeurs gehandel moet word.

7 VERPLIGTINGE VAN DIE SEB EN SEB-DEELNEMERS

7.1 Verslae en oudits – SEB-deelnemer

- 7.1.1 Die beherende liggaam kan van tyd tot tyd die aard en tipe rekening en rekords wat 'n SEB-deelnemer moet hou vir die doel van die vereistes van die Wet voorskryf.
- 7.1.2 'n SEB-deelnemer moet, tot bevrediging van die beherende liggaam, interne ouditprosedures en interne beheermaatreëls instel en handhaaf om te verseker dat die ongesertifiseerde effekte-rekening wat deur hom gehou word op 'n gereelde grondslag volgens SARS geaudit word. Alle ouditverslae wat saamgestel is volgens die bepalings van hierdie reël, sal slegs aan die uitvoerende beampte en, op versoek, aan die Registrateur bekend gemaak word.
- 7.1.3 Elke SEB-deelnemer moet jaarliks aan die beherende liggaam rapporteer of –
- 7.1.3.1 die SEB-deelnemers se interne beheermaatreëls redelike versekering verskaf wat betref die integriteit en betroubaarheid van die rekening, al dan nie;
- 7.1.3.2 die interne beheermaatreëls gebaseer is op gevestigde beleid en prosedures en geïmplementeer word deur opgeleide en ervare personeel wie se pligte toepaslik geskei is, al dan nie;
- 7.1.3.3 nakoming van die geïmplementeerde interne beheermaatreëls voortdurend deur die SEB-deelnemer gemonitor word, al dan nie;
- 7.1.3.4 die SEB-deelnemer hoë etiese standaarde handhaaf en sodoende verseker dat die SEB-deelnemer se besigheidspraktyke, sover dit betref besigheid gedoen kragtens die Wet, gedoen word op 'n wyse wat eervol is, al dan nie.
- 7.1.4 Die direkteure van die SEB-deelnemers word verlang om die verslag vereis ingevolge reël 7.1.3 voor te lê binne 120 kalenderdae na die finansiële jaareinde van die SEB-deelnemer met ingang van 1 Januarie 2000.
- 7.1.5 Die eksterne ouditeur van die SEB-deelnemer moet jaarliks aan die beherende liggaam rapporteer of die ouditeur saamstem al dan nie met die verslae wat kragtens reël 7.1.3 vereis word. Indien die ouditeur nie met sodanige verslag saamstem nie, moet die ouditeur redes daarvoor verstrek.
- 7.1.6 'n SEB-deelnemer se rekords moet, benewens die vereistes van die Maatskappywet, ten minste die volgende besonderhede van alle deposito's en onttrekkings van effekte in ongesertifiseerde effekte-rekening bevat –

- 7.1.6.1 die naam van die kliënt wie se ongesertifiseerde effekte-rekening geraak word;
- 7.1.6.2 die naam van die uitreiker van die ongesertifiseerde effekte;
- 7.1.6.3 die hoeveelheid en beskrywing van die ongesertifiseerde effekte;
- 7.1.6.4 die hoeveelheid, beskrywing en besonderhede van enige ongesertifiseerde effekte geleen of uitgeleen;
- 7.1.6.5 die besonderhede van enige pand of heffing op die effekte;
- 7.1.7 'n SEB-deelnemer moet saldo's daaglik met die SEB rekonsilieer. Enige verskille moet onmiddellik –
 - 7.1.7.1 aan die SEB gerapporteer word; en
 - 7.1.7.2 deur die SEB of SEB-deelnemer ondersoek en reggestel word.

7.2 Hou van inligting

Die hou van enige inligting kragtens die Wet, die reëls en voorskrifte kan op enige wyse gedoen word insluitende by wyse van 'n rekenaar soos omskryf in artikel 1(1) van die Wet op Rekenaargetuïenis, 1983 (Wet no 57 van 1983), disket, band of ander toestel waarin klanke of data (nie visuele beelde nie) gehou word sodat dit met of sonder die hulp van 'n ander instrument gereproduseer kan word.

7.3 Kliëntmandaat

- 7.3.1 Die SEB-deelnemer moet 'n skriftelike mandaat van sy kliënt bekom wat die verhouding tussen hulle reël. Die mandaat moet deur die kliënt geteken word voor die aanvang van enige aksie deur die SEB-deelnemer vir of namens die kliënt. Vir bestaande kliënte moet 'n mandaat soos vereis deur hierdie reël verkry word teen nie later nie as 1 Maart 2000.
- 7.3.2 Die mandaat moet minstens die volgende bepalings bevat –
 - 7.3.2.1 die kliënt moet gebonde wees deur die Wet, die reëls en voorskrifte soos van tyd tot tyd gewysig;
 - 7.3.2.2 ingeval van strydigheid tussen 'n bepaling van die Wet, die reëls en voorskrifte en die mandaat, dan tot die omvang van sodanige konflik, is die bepalings van die Wet, die reëls en voorskrifte deurslaggewend;
 - 7.3.2.3 alle gelde en heffings gemaak deur die SEB-deelnemer vir sy dienste moet op 'n gereelde grondslag aan die kliënt bekend gemaak word. Sodanige bekendmaking moet die manier waarop die gelde en heffings bereken word en die tydperk waarin dit betaalbaar is insluit;
 - 7.3.2.4 enige verhoging van gelde of heffings sal eers in werking tree na 30 dae kennisgewing daarvan deur 'n SEB-deelnemer;
 - 7.3.2.5 die SEB-deelnemer moet die kliënt skriftelik in kennis stel binne 3 sakedae van enige beëindiging van sy deelname of indien dit onder tussentydse bestuur geplaas word ingevolge reël 4.4;
 - 7.3.2.6 die SEB-deelnemer moet die kliënt terugwerkend voorsien van 'n gereelde staat van die ongesertifiseerde effekte-rekening. In die geval waar die naam van die kliënt in die sub-register van die SEB-deelnemer verskyn, moet die staat sonder koste aan die kliënt verskaf word;
 - 7.3.2.7 die kliënt moet aandui of die ongesertifiseerde effekte wat gehou word of gehou moet word, in die naam van die kliënt, in die naam van die genomineerde maatskappy van die SEB-deelnemer of in die naam van enige ander persoon geregistreer moet word;
 - 7.3.2.8 'n inskrywing in 'n ongesertifiseerde rekening sal slegs gemaak word indien 'n instruksie van die kliënt of 'n agent wat behoorlik gemagtig is om namens 'n kliënt op te tree, ontvang word. Die instruksie kan een van die volgende vorms aanneem:
 - 7.3.2.8.1 'n staande instruksie; of
 - 7.3.2.8.2 'n magtigende instruksie;
 - 7.3.2.9 teen watter tyd moet die SEB-deelnemer 'n inskrywing in die ongesertifiseerde effekte-rekening kragtens reël 6.4.2 uitvoer;
 - 7.3.2.10 bepalings wat deur enige wet vereis mag word as gevolg van die aard van die kliënt;

7.3.2.11 die SEB-deelnemer, of kliënt, na gelang van die geval, (behalwe in omstandighede uiteengesit in 7.3.2.5) moet die kliënt of SEB-deelnemer nie meer as 30 kalenderdae kennis gee van die beëindiging van die mandaat;

7.3.2.12 enige wysiging aan die mandaat moet skriftelik wees.

7.4 Die gevolge van deponering van ongesertifiseerde effekte in die SEB-deelnemer se genomineerde rekening

7.4.1 Die SEB-deelnemer moet verseker dat –

7.4.1.1 die keuse deur 'n kliënt om ongesertifiseerde effekte te deponeer in die naam van die genomineerde van die SEB-deelnemer en nie in die kliënt se eie naam nie, geensins die regte van die kliënt as 'n lid van die uitreiker van ongesertifiseerde effekte sal verminder nie en die SEB-deelnemer moet verseker dat die kliënt betyds in kennis gestel word van, en in 'n posisie is om sy regte as 'n lid van die uitreiker van ongesertifiseerde effekte uit te oefen asof die kliënt die geregistreerde lid van die uitreiker van ongesertifiseerde effekte was;

7.4.1.2 alle rente-, dividend-, kapitaaldelgingsbetalings en alle ander betalings wat dit ontvang van 'n uitreiker van ongesertifiseerde effekte betaal word aan die kliënt by ontvangs ooreenkomstig die kliënt se saldo's op die datum waarop die verskuldigde bedrag bereken was;

7.4.1.3 alle kennisgewings met betrekking tot regte en ander voordele wat aan die effekte toegeval het wat ontvang word deur die SEB-deelnemer van die uitreiker van ongesertifiseerde effekte binne 'n redelike tyd aan die betrokke kliënt oorgedra word.

7.5 Interne beheer en risikobestuur

7.5.1 'n SEB-deelnemer moet –

7.5.1.1 voldoende interne beheerstelsels instel en handhaaf;

7.5.1.2 gesonde risikobestuurbeginsels en –prosedures aanvaar en die doelwitte en bedryf daarvan soos uiteengesit in reël 7.1.3 kan beskryf en demonstreer.

7.5.2 Die interne beheerstelsels moet ontwerp word om te verseker dat –

7.5.2.1 alle transaksies en finansiële verpligtings aangegaan, aangeteken word en binne die bestek is van die bevoegdheid van die SEB-deelnemer en van die beampte of werknemer wat namens hom optree;

7.5.2.2 daar prosedures is om die SEB-deelnemer se bates en bates wat aan ander persone behoort aan wie die SEB-deelnemer reenskap moet gee, te beveilig, en om aanspreeklikhede te beheer;

7.5.2.3 daar maatreëls in plek is om die risiko van verliese vir die SEB-deelnemer en sy kliënte as gevolg van onreëlmatigheid, bedrog of foute te verminder en om enige onreëlmatigheid, bedrog of fout te ontdek indien dit sou voorkom sodat onmiddellike regstellende aksie deur die SEB-deelnemer geneem kan word;

7.5.2.4 die totaal van die saldo's van die ongesertifiseerde effekte-rekeninge gehou deur die SEB-deelnemer vir alle kliënte vir elke klas en tipe effekte op 'n daaglikse grondslag ooreenstem met die totale bedrag vir daardie effekte wat in die rekords van die SEB-deelnemer weerspieël word;

7.5.2.5 die SEB-deelnemer se rekords van die totale hoeveelheid ongesertifiseerde effekte van elke klas en tipe wat deur dit gehou word op 'n daaglikse grondslag dieselfde is as dit wat deur die SEB namens hom gehou word;

7.5.3 Die beginsels en prosedures van risikobestuur moet ontwerp wees sodat die SEB-deelnemer –

7.5.3.1 sy risikoblootstellings kan identifiseer, kwantifiseer, beheer en bestuur;

7.5.3.2 tydige en ingeligte sakebesluite kan neem;

7.5.3.3 die prestasie en alle aspekte van sy besigheid kan monitor;

7.5.3.4 sy kapitaal kan monitor om nakoming van die kapitaal-vereistes en ander vereistes ingestel ingevolge die reëls en voorskrifte te verseker.

7.6 Voldoeningsbeampte

7.6.1 'n SEB-deelnemer moet 'n voldoeningsbeampte aanstel om te verseker dat die bepalinge van die Wet, die reëls en voorskrifte en besluite van die beherende liggaam nagekom word.

7.6.2 'n Voldoeningsbeampte moet –

- 7.6.2.1 die eksamen vir voldoeningsbeamptes soos voorgeskryf deur die beherende liggaam slaag;
- 7.6.2.2 onmiddellik enige skynbare oortreding van die bepalings van die Wet, die reëls en voorskrifte of besluite van die beherende liggaam, enige teenstrydigheid of onreëlmatigheid bespeur ingevolge reël 7.6.3 deur die SEB-deelnemer, insluitende sy beamptes en werknemers, en enige ander kwessie wat die voldoeningsbeampte as ongerymd beskou, aan die direkteure van die SEB-deelnemer rapporteer;
- 7.6.2.3 indien die direkteure van die SEB-deelnemer versuim om die oortreding, teenstrydigheid of onreëlmatigheid wat ingevolge reël 7.6.2.2 aan hulle gerapporteer is, binne 24 uur reg te stel, moet die direkteure van die SEB-deelnemer, of by gebrek aan die direkteure, die voldoeningsbeampte, die oortreding, teenstrydigheid of onreëlmatigheid aan die beherende liggaam rapporteer, welke verslag 'n beskrywing van enige optrede geneem deur die direkteure om die oortreding, teenstrydigheid of onreëlmatigheid reg te stel, moet insluit;
- 7.6.2.4 alle kennisgewings uitgereik ingevolge reël 4.9 ontvang en is verantwoordelik om te verseker dat dit nagekom word.
- 7.6.3 Die primêre funksies van die voldoeningsbeampte is om die volgende na te gaan –
- 7.6.3.1 die daaglikse monitor, beheer en rekonsiliëring van die ongesertifiseerde effekte-rekeninge van die SEB-deelnemer;
- 7.6.3.2 op 'n daaglikse grondslag dat die totaal van die saldo's van die ongesertifiseerde effekte-rekeninge gehou deur die SEB-deelnemer vir alle kliënte vir elke klas en tipe effekte ooreenstem met die totale bedrag van daardie effekte weerspieël in die rekords van die SEB-deelnemer;
- 7.6.3.3 op 'n daaglikse grondslag, dat die SEB-deelnemer se rekords van die totale hoeveelheid ongesertifiseerde effekte van elke klas en tipe deur dit gehou, dieselfde is as dit wat namens hom deur die SEB gehou word;
- 7.6.3.4 dat die interne beheermaatreëls en risikobestuurprosedures effektief is.
- 7.6.4 Behalwe waar die beherende liggaam andersins kan beveel, mag 'n SEB-deelnemer nie vir meer as drie maande in enige aaneenlopende tydperk van twaalf maande aangaan met besigheid nie, tensy sodanige SEB-deelnemer 'n voldoeningsbeampte ingevolge 7.6.1 aangestel het.
- 7.6.5 In die afwesigheid van 'n behoorlik aangestelde voldoeningsbeampte of waar 'n voldoeningsbeamptepos vakant geraak het, moet 'n tydelike voldoeningsbeampte vir nie langer nie as drie maande aangestel word.

7.7 Sekuriteits- en beheermaatreëls

- 7.7.1 'n SEB-deelnemer moet alle redelike sekuriteitsmaatreëls neem insluitende die instel en vestiging van sodanige prosedures wat nodig of raadsaam geag word om inligting, data, rekords en dokumente met betrekking tot kliënte en in besonder met betrekking tot hulle ongesertifiseerde effekte-rekeninge teen enige ongemagtigde toegang, wysiging, vernietiging of bekendmaking, te beskerm. Sonder om die algemeenheid van die voorgaande te beperk, moet die SEB-deelnemer verseker dat –
- 7.7.1.1 'n formele sekuriteitsklaringsprogram vir alle personeel en kontrakpersoneel, insluitende 'n eed van geheimhouding, in plek is;
- 7.7.1.2 die nodige sekuriteitstelsels in plek is om te verseker dat programmatuur en verwante prosedures verseker is teen ongepaste of ongemagtigde toegang;
- 7.7.1.3 'n gedokumenteerde sekuriteitstandaarde- en werkswyse-handleiding saamgestel en deur die SEB-deelnemer afgedwing word;
- 7.7.1.4 sy fisiese perseel voldoende beveilig is en verseker dat diegene verantwoordelik vir hierdie funksie aan 'n senior beampte van die SEB-deelnemer rapporteer; en
- 7.7.1.5 voldoende skeiding van pligte van personele bestaan om ongemagtigde oorplasinge tussen kliënte se ongesertifiseerde effekte-rekeninge te verhoed.

7.8 Beperking van aanspreeklikheid, waarborge en skadeloosstellings

- 7.8.1 Die SEB, enige direkteur, uitvoerende beampte, beampte, werknemer of verteenwoordiger van die SEB, of die beherende liggaam of 'n komitee van die beherende liggaam is, onderworpe aan reël 7.8.2, nie vir enige verlies gely deur of skade veroorsaak aan enige persoon as gevolg van enigiets gedoen of nagelaat deur die SEB, 'n direkteur, uitvoerende beampte, beampte, werknemer of verteenwoordiger van die SEB in 'n bona fide of nalatige maar nie erg nalatige uitvoering van enige funksie ingevolge die Wet of die reëls, verantwoordelik nie.

- 7.8.2 Indien 'n SEB-deelnemer aanspreeklik is vir enige verlies teenoor 'n kliënt (hetsy ingevolge 'n kontrak of die gemene reg) as gevolg van die blote nalatigheid van die SEB, is die SEB, nieestaan die voorskrifte van reël 7.8.1, aanspreeklik teenoor die SEB-deelnemer vir sodanige verlies.
- 7.8.3 Die SEB en enige SEB-deelnemer is nie vir enige verlies of skade van watter aard ook al wat voorspruit uit oormag aanspreeklik nie.
- 7.8.4 Die SEB moet deur middel van versekering of deur enige ander manier wat vir die Registrateur aanneemlik is, hom in 'n posisie plaas om aan enige eis om skadevergoeding teen die SEB deur enige SEB-deelnemer of 'n kliënt vir enige verlies of skade gelyk deur die SEB-deelnemer of kliënt as 'n gevolg van enige eis voortspruitend uit reël 7.8.1 of 7.8.2 hierbo, te voldoen.
- 7.8.5 Benewens die statutêre waarborge en skadeloosstellings waarvoor in artikel 91A van die Maatskappywet en die Wet voorsiening gemaak word, moet elke SEB-deelnemer die SEB skadeloos stel vir enige verlies, regskoste, skade of aanspreeklikheid gelyk of aangegaan deur die SEB, as gevolg van enige erge nalatigheid of opsetlike daad of versuim, aan die kant van die SEB-deelnemer, sy beamptes, werknemers of agente.

8 OPLOSSING VAN GESKILLE

8.1 Aanstelling van 'n beleggerspaneel

Die beherende liggaam kan van tyd tot tyd 'n beleggerspaneel saamstel om die oplossing van enige geskil wat aan die beherende liggaam deur 'n belanghebbende partye gerapporteer is, te fasiliteer.

8.2 Aanmelding van 'n geskil

- 8.2.1 Enige party tot 'n geskil met betrekking tot sake wat deur hierdie reëls gereguleer word, kan die geskil by die beherende liggaam aanmeld.
- 8.2.2 Die aanmelding kan mondelings wees met dien verstande dat die beherende liggaam of die beleggerspaneel, na gelang van die geval, skriftelike bevestiging van die aanmelding kan aanvra.
- 8.2.3 Enige party wat 'n geskil ingevolge hierdie reël aanmeld, moet die beherende liggaam of die beleggerspaneel, na gelang van die geval, tevrede stel dat dit nie terselfdertyd 'n aksie in 'n geregshof ingestel het wat uit die geskil voortspruit nie.

8.3 Magte van die beleggerspaneel

- 8.3.1 Enige party met 'n belang in 'n geskil kan die beleggerspaneel voorsien van enige inligting, boek, dokument, elektroniese rekord of enige ander voorwerp wat op die geskil betrekking het.
- 8.3.2 Die beleggerspaneel kan aanbevelings doen aan die geskilpartye ten opsigte van die geskil maar die partye word nie daardeur verhoed om die geskil na 'n geregshof vir oplossing te verwys nie.

9 DISSIPLINÊRE PROSEDURE

9.1 Toesig en ondersoek deur die beherende liggaam

9.1.1 Toesig

Die beherende liggaam moet stelsels vir die volgende opstel en handhaaf:

- 9.1.1.1 die monitor van die nakoming deur SEB-deelnemers, beamptes, werknemers en agente van SEB-deelnemers van die bepalinge van die Wet, die reëls en voorskrifte; en
- 9.1.1.2 die toesig van enige saak wat relevant is vir die doeleindes van die Wet, die reëls en voorskrifte.

9.1.2 Ondersoek

Die beherende liggaam en enige persoon of komitee aangewys deur die beherende liggaam kan –

- 9.1.2.1 enige SEB-verwante bedrywighede van 'n SEB-deelnemer of voormalige SEB-deelnemer ondersoek; en
- 9.1.2.2 vereis dat enige persoon wat onderhewig is aan die jurisdiksie van die SEB inligting verskaf oor die onderwerp van enige ondersoek, of enige boek, dokument, band of elektroniese rekord of ander voorwerp wat betrekking het op die onderwerp van

die ondersoek verskaf of op enige redelike tyd en plek verskyn om deur enige van die bogemelde persone ondervra te word: Met dien verstande dat die persoon wat ondervra word, oor die onderwerp van die ondersoek ingelig is.

9.1.3 Verwysing na 'n ander gesag

Indien die beherende liggaam bewus word van enige moontlike oortreding van 'n wet, kan dit sodanige saak na die toepaslike gesag verwys, hetsy buite of binne die Republiek van Suid-Afrika.

9.2 Gebruik van inligting bekom deur die beherende liggaam

Enige inligting, dokument, boek, band of elektroniese rekord of ander voorwerp bekom deur die beherende liggaam ingevolge 'n ondersoek of andersins, kan gebruik word as getuienis in enige dissiplinêre verrigtinge wat in reël 9.4 beoog word.

9.3 Onbehoorlike gedrag

Die volgende dae en praktyke gedoen of nagelaat deur enige persoon wat ten tye van die beweerde daad of praktyk 'n SEB-deelnemer of 'n werknemer of beampte van 'n SEB-deelnemer was, is onbehoorlike gedrag, met dien verstande dat die dae en praktyke wat so gespesifiseer is, nie bedoel is as 'n volledige lys van die dae en praktyke wat onbehoorlike gedrag uitmaak nie:

- 9.3.1 deelname in, bystandverlening tot of die weerhouding van kennis van enige oortreding van enige toepaslike wet, die regulasies of die reëls en voorskrifte wat die bedrywighede van die SEB-deelnemer reël;
- 9.3.2 die bewerkstelling van ongemagtigde toegang tot 'n ongesertifiseerde effekte-rekening;
- 9.3.3 die pleeg van of poging om enige daad wat oneerlik of bedrieglik is, te pleeg;
- 9.3.4 versuim om die hulpmiddele en prosedures wat nodig is vir die behoorlike uitvoering van sy besigheidsbedrywighede aan te wend;
- 9.3.5 'n party wees tot 'n transaksie wat denkbeeldig is of 'n oneerlike of onwettige motief het, of sodanige transaksie fasiliteer of lei;
- 9.3.6 versuim om te voldoen aan die Wet, alle toepaslike wette, die reëls en voorskrifte, en enige regulasies wat die bedrywighede van die SEB reël;
- 9.3.7 versuim om ooreenkomstig die instruksies van 'n kliënt op te tree;
- 9.3.8 nalatige of roekelose bedryf van sy besigheid of sake op 'n manier wat die SEB, enige ander SEB-deelnemer of 'n kliënt benadeel of kan benadeel. Die versuim deur 'n SEB-deelnemer om toepaslike en redelike veiligheids- of beheermaatreëls in te stel om sodanige benadeling te vermy kan, waar toepaslik, geag word nalatigheid of roekeloosheid uit te maak;
- 9.3.9 enige daad of poging daartoe wat nadelig is vir die belang, goeie naam of welstand van die SEB of ander SEB-deelnemers;
- 9.3.10 versuim om die vertroulikheid van inligting rakende sake binne die omvang van die vertroulikheidsverhouding met die kliënt te bewaar;
- 9.3.11 bewustelik die besigheid van die SEB of SEB-deelnemer te dwarsboom;
- 9.3.12 gevolg te gee aan 'n instruksie wat veroorsaak dat enige van die ongesertifiseerde effekte-rekeninge instand gehou deur die SEB-deelnemer, 'n debietsaldo toon;
- 9.3.13 versuim om te voldoen aan 'n versoek van die beherende liggaam of komitee aangestel deur die beherende liggaam om bystand in die uitvoering van sy pligte (wat insluit maar nie beperk is nie tot versuim sonder voldoende rede om inligting te verskaf ooreenkomstig die bepalings van reël 9.1.2.2);
- 9.3.14 versuim om op te tree met integriteit, behoorlike vaardigheid, sorg, ywer en met behoorlike inagneming van openbare belang en die belange van eenaars, kliënte, die SEB-deelnemers en die SEB;
- 9.3.15 versuim om onafhanklike professionele oordeel uit te oefen;
- 9.3.16 versuim om te verseker dat sy beamptes, werknemers, of agente voldoen aan die bepalings van die reëls.

9.4 Dissiplinêre prosedures

9.4.1 Gevolgtrekking van ondersoek

Indien gedurende die loop van enige ondersoek, die beherende liggaam tevrede is op grond van die inligting wat in sy besit is, dat daar gronde is vir 'n bewering van onbehoorlike gedrag, kan die beherende liggaam –

- 9.4.1.1 die saak na 'n komitee vir geringe sake verwys; of
- 9.4.1.2 indien die beherende liggaam van mening is dat die beweerde gedrag so ernstig is dat dit die oplegging van 'n boete van meer as die bedrag waarna verwys word in 9.5.5 of opskorting of beëindiging van deelname of indiensneming by 'n SEB-deelnemer regverdig, 'n formele aanklag teen so 'n persoon ("die respondent") bring en in 'n kort verklaring van feite uiteensit wat die beweerde oortreding uitmaak. So 'n aanklag word verwys na 'n dissiplinêre tribunaal ("n Tribunaal") en ingevolge reël 9.6 aangehoor. Sodanige aanklag kan verder in die diskresie van die beherende liggaam voorsiening maak vir 'n skulderkenning.

9.5 Komitee vir Geringe Sake

- 9.5.1 Die beherende liggaam kan van tyd tot tyd een of meer komitees vir geringe sake aanstel. Elke komitee vir geringe sake moet bestaan uit drie persone, waarvan ten minste een 'n verteenwoordiger van 'n SEB-deelnemer moet wees. Elke komitee vir geringe sake moet 'n voorsitter aanstel. 'n Komitee vir geringe sake kan waar nodig, bykomende lede ko-opteer ongeag of hulle werknemers van SEB-deelnemers is of nie.
- 9.5.2 'n Komitee vir geringe sake kan, behoudens die bepalings van reël 9.5.4 –
 - 9.5.2.1 die persoon wie se gedrag oorweeg word, opdrag gee oor die stappe wat geneem moet word om die saak reg te stel;
 - 9.5.2.2 enige persoon wat na die redelike mening van die komitee skuldig is aan onbehoorlike gedrag, waarsku, teregwys, berispe of, behoudens die bepalings van reël 9.5.5, 'n boete op lê (met of sonder die instruksie om 'n bydrae tot die SEB se koste te maak);
 - 9.5.2.3 met betrekking tot 'n beampte, werknemer of agent van 'n SEB-deelnemer, die SEB-deelnemer beveel om 'n dissiplinêre ondersoek te hou na die dade of versuim van sodanige persoon;
 - 9.5.2.4 'n SEB-deelnemer beveel om te verseker dat enige straf opgelê aan 'n beampte, werknemer of agent van daardie SEB-deelnemer deur sodanige beampte, werknemer of agent afgedwing word;
 - 9.5.2.5 'n SEB-deelnemer beveel om 'n beampte, werknemer of agent van daardie SEB-deelnemer te belet om enige gespesifiseerde bedrywigheid, funksie of plig uit te voer vir 'n redelike tydperk wat deur die komitee as toepaslik beskou word; en
 - 9.5.2.6 indien dit te eniger tyd bepaal dat die saak wat na hulle verwys is, ernstig genoeg is om deur 'n Tribunaal verhoor te word, die verrigtinge beëindig en die saak na die Tribunaal verwys.
- 9.5.3 Alle besluite van 'n Komitee vir geringe sake moet deur 'n eenvoudige meerderheid gemaak word.
- 9.5.4 'n Komitee vir geringe sake mag nie enige boete wat in reël 9.5.2 beoog word, op lê nie, tensy –
 - 9.5.4.1 die aantyinging gestel is aan die persoon wat ondersoek word; en
 - 9.5.4.2 sodanige persoon 'n geleentheid gegee is om sy of haar gedrag te verduidelik (hetsy mondelings of skriftelik), nadat hy of sy gewaarsku is dat enige verduideliking gebruik kan word as getuienis teen hom of haar.
- 9.5.5 Geen boete opgelê deur 'n komitee vir geringe sake mag R25 000 per oortreding of sodanige ander bedrag wat die beherende liggaam van tyd tot tyd per voorskrif mag bepaal, oorskry nie.
- 9.5.6 'n Komitee vir geringe sake kan beveel dat ander SEB-deelnemers en die Registrateur in kennis gestel word van die aksie wat geneem word ingevolge reël 9.5.2.
- 9.5.7 Enige persoon ten opsigte van wie 'n komitee vir geringe sake 'n teregwysing, berisping of boete (maar nie 'n waarskuwing nie) opgelê het, het die reg om binne 'n tydperk van drie sakedae na die oplegging van sodanige teregwysing, berisping of boete te eis dat die saak de novo deur 'n Tribunaal verhoor word. Ingeval die saak deur 'n Tribunaal verhoor word, moet publikasie en kennisgewing van die besluit van die komitee vir geringe sake uitgestel word totdat dit deur die Tribunaal bevestig is.
- 9.5.8 Die Tribunaal kan, indien die persoon skuldig bevind word aan die gedrag wat die onderwerp van die aantyinging vorm, 'n boete op lê wat groter is as dié wat deur die komitee vir geringe sake opgelê is.

9.6 Tribunale

- 9.6.1 Die beherende liggaam kan van tyd tot tyd een of meer Tribunale aanstel wat elkeen uit drie lede bestaan.

9.6.2 Die lede van 'n Tribunaal moet –

- 9.6.2.1 'n afgetrede regter of 'n praktiserende of afgetrede senior advokaat, of 'n praktiserende of afgetrede prokureur wees met minstens vyftien jaar ondervinding, wat optree as voorsitter;
- 9.6.2.2 'n professionele persoon wees, aangestel vanweë daardie persoon se kennis van finansiële dienste soos dit verband hou met die sake onder oorweging; en
- 9.6.2.3 'n persoon wees, aangestel vanweë daardie persoon se kennis of ervaring van sentrale effekte-bewaarnemers en verwante sake.

9.7 Indiening van aanklagte wat deur 'n Tribunaal aangehoor moet word

- 9.7.1 Waar die beherende liggaam 'n formele aanklag teen 'n respondent ingedien het, moet die klagstaat, benewens die sake gelys in reël 9.4.1.2 in 'n vorm wees soos voorgeskryf deur die beherende liggaam en beteken word aan die respondent ooreenkomstig reël 4.9.7 en op so 'n wyse soos deur die beherende liggaam bepaal is.
- 9.7.2 Waar die beherende liggaam besluit het om voorsiening te maak vir 'n skulderkenning, moet die beherende liggaam die volgende stipuleer –
- 9.7.2.1 die boete wat ingevolge die skulderkenning betaalbaar is en enige vereiste bydrae tot die SEB se koste asook die tydperk waarin sodanige bedrae betaal moet word. Die skulderkenning kan voorsiening maak dat die boete vir 'n tydperk opgeskort kan word;
- 9.7.2.2 die manier en tyd waarin die skulderkenning gemaak mag word, welke tyd nie 'n tydperk sal wees van meer as 50 kalenderdae vanaf die datum waarop die klagstaat aan die respondent beteken is nie; en
- 9.7.2.3 of die ander SEB-deelnemers in kennis gestel moet word van die bepalinge van die skulderkenning, en of sodanige skulderkenning in die media gepubliseer moet word.
- 9.7.3 'n Respondent –
- 9.7.3.1 kan, indien die respondent 'n geleentheid gegee word om 'n erkenning van skuld te teken, skuld erken op sodanige aanklagte binne die tydperk soos uiteengesit in die klagstaat; of
- 9.7.3.2 kan binne 21 kalenderdae na ontvangs van die klagstaat verdere besonderhede van die aanklagtes aanvra, waarop die beherende liggaam verplig is om te reageer binne 21 kalenderdae na ontvangs van sodanige versoek; en
- 9.7.3.3 moet, indien geen erkenning van skuld aan die beherende liggaam gemaak word nie of indien die respondent besluit om nie skuld op die aanklagtes te erken nie, 'n verweer indien op sodanige aanklagtes op of voor 50 kalenderdae na die datum waarop die klagstaat op die respondent beteken is of binne 21 kalenderdae na die datum waarop die beherende liggaam gereageer het op die versoek vir verdere besonderhede, wat ook al later is.
- 9.7.4 Daarna moet die voorsitter van die Tribunaal die datum bepaal waarop die aanklagtes verhoor sal word, welke datum, sonder goeie rede, nie later sal wees as ses maande nadat die klagstaat op die respondent beteken is nie.
- 9.7.5 Geen verlenging van die tydperke uiteengesit in hierdie reël, insluitende die datum van die verhoor van die aanklagtes sal sonder goeie rede toegelaat word nie. Verder sal geen verlenging toegelaat word nie tensy die toestemming van die voorsitter van die Tribunaal verkry is.

9.8 Prosedure en getuienis

- 9.8.1 Enige aanklagte gemaak word beslis op 'n oorwig van waarskynlikheid.
- 9.8.2 In 'n verhoor voor 'n Tribunaal –
- 9.8.2.1 moet die voorsitter van die Tribunaal oor alle regsake beslis wat gedurende die verhoor kan ontstaan en of enige saak 'n regspraak of 'n feitvraag uitmaak, maar al drie lede van die Tribunaal moet volgens 'n eenvoudige meerderheid beslis oor al die ander sake wat gedurende die verhoor ontstaan;
- 9.8.2.2 moet die voorsitter van die Tribunaal die prosedure bepaal wat die Tribunaal sal volg ten opsigte van voorlopige kwessies en ten opsigte van die verhoor self, behoudens hierdie reëls en die beginsels van natuurlike geregtigheid;
- 9.8.2.3 kan die SEB prokureurs of advokate beveel om die aanklagte te maak en te vervolg namens die SEB, of die aanklagte kan deur 'n werknemer van die SEB vervolg word;

- 9.8.2.4 kan die respondent deur 'n regsverteenwoordiger verteenwoordig word op die respondent se eie koste;
- 9.8.2.5 kan 'n verhoordatum deur die Tribunaal gekanselleer word met redelike kennisgewing aan die SEB-deelnemer en kan 'n nuwe datum in die plek daarvan vasgestel word;
- 9.8.2.6 'n transkripsie van verrigtinge maar nie van beraadslagings nie, moet geneem en aan die partye beskikbaar gestel word;
- 9.8.2.7 kan die Tribunaal regs- of ander professionele advies inwin soos verlang;
- 9.8.2.8 moet die Tribunaal binne 15 kalenderdae na die beëindiging van die verhoor, skriftelike redes vir sy beslissing aan elke party verstrek, en waar 'n aandelemakelaar 'n party is, aan die betrokke beurs;
- 9.8.2.9 moet elke party tot 'n verrigting of appél sy eie koste in verband met die verrigtinge of appél dra, tensy andersins deur die Tribunaal beveel.
- 9.8.3 Indien 'n respondent sonder goeie rede versuim om 'n verhoor voor 'n Tribunaal by te woon op die tyd en plek wat in die klagstaat verskyn, kan die Tribunaal voortgaan met sy ooringing van die aanklag in die afwesigheid van die respondent.
- 9.8.4 Indien, in enige stadium gedurende die verhoor voor die komitee vir geringe sake of 'n Tribunaal, een of meer van die lede van die liggaam wat die saak aanhoor, behalwe die voorsitter, sterf of aftree of andersins onbekwaam raak om op te tree of afwesig is, moet die verhoor, waar die oorblywende lede 'n meerderheid uitmaak van die liggaam voor wie die verhoor 'n aanvang geneem het, voortgaan voor die oorblywende lede en, mits die oorblywende lede saamstem, is hul bevinding die bevinding van die betrokke liggaam. In die geval waar die voorsitter sterf, aftree of andersins onbekwaam raak om op te tree of in enige ander geval, moet die saak de novo verhoor word.
- 9.8.5 Indien 'n Tribunaal 'n respondent aan 'n oortreding skuldig bevind, beskik die Tribunaal oor die magte uiteengesit in reël 9.9.
- 9.8.6 Indien die verrigtinge voor 'n komitee vir geringe sake of 'n Tribunaal opgeneem word, kan die persoon wat aangekla word 'n rekord van die verhoor van die aanklagte eis, en enige persoon wat mondelinge vertoë gerig het, kan 'n rekord van daardie gedeelte van die verrigtinge wat verband hou met daardie vertoë aanvra.

9.9 Boetes

- 9.9.1 Wanneer enige persoon skuldig bevind word aan onbehoorlike gedrag deur 'n Tribunaal ingevolge die reëls –
 - 9.9.1.1 kan die Tribunaal deur 'n eenvoudige meerderheid die respondent waarsku of tereg wys, berispe of 'n boete aan die respondent opleë, welke boete ten opsigte van elke oortreding nie meer as R1 miljoen sal wees nie of sodanige ander bedrag soos bepaal in die Wet of in enige regulasies uitgevaardig kragtens die Wet;
 - 9.9.1.2 moet die Tribunaal in die bepaling van 'n toepaslike boete, die volgende in ag neem –
 - 9.9.1.2.1 enige vorige skuldigbevinding ingevolge die reëls of in 'n geregshof;
 - 9.9.1.2.2 die skade of nadeel wat deur die oortreding veroorsaak is;
 - 9.9.1.2.3 enige ander verswarende of versagtende faktore; en
 - 9.9.1.3 kan die Tribunaal deur 'n eenvoudige meerderheid, onderworpe aan die voorwaardes wat die Tribunaal nodig ag, die deelname van 'n SEB-deelnemer wat skuldig bevind is aan onbehoorlike gedrag beëindig, of in die geval van 'n beampte of werknemer van 'n SEB-deelnemer, sodanige SEB-deelnemer versoek om 'n dissiplinêre ondersoek te hou om die beëindiging of opskorting van die diens van so 'n persoon te oorweeg;
 - 9.9.1.4 kan die Tribunaal 'n SEB-deelnemer beveel om te verseker dat enige straf wat deur die Tribunaal aan 'n beampte of werknemer van daardie SEB-deelnemer opgelê is, teen sodanige beampte of werknemer afdedwing word;
 - 9.9.1.5 kan die Tribunaal 'n billike en redelike kostebevel maak; en
 - 9.9.1.6 kan die Tribunaal beveel dat besonderhede van die oortreding of bevinding van die Tribunaal of van die boete opgelê, aan die SEB-deelnemers meegedeel word of in die media gepubliseer word, met dien verstande dat indien publikasie beveel word, die respondent 'n geleentheid gegee word om vertoë tot die Tribunaal in hierdie verband te rig.
- 9.9.2 'n Tribunaal kan enige een of meer van die boetes waarna verwys word in reël 9.9.1 opleë.
- 9.9.3 Enige boete of gedeelte daarvan kan opgeskort word op sodanige voorwaardes wat die Tribunaal mag bepaal.

- 9.9.4 Indien 'n SEB-deelnemer geskors word, verbeur die SEB-deelnemer alle regte om in die SEB deel te neem asook enige gelde of koste betaal aan die SEB en moet onverwyld enige agterstallige bedrae, gelde en koste verskuldig aan die SEB aan die SEB betaal.
- 9.9.5 Indien 'n SEB-deelnemer, beampte, werknemer of agent van 'n SEB-deelnemer versuim om enige boete wat deur 'n komitee vir geringe sake of 'n Tribunaal opgelê is, te betaal binne 7 kalenderdae nadat dit oor die bedrag van die boete ingelig is, het die beherende liggaam, na kennisgewing aan sodanige persoon van minstens 3 sakedae, die reg om –
- 9.9.5.1 die boete in 'n hof van bevoegde jurisdiksie te verhaal;
- 9.9.5.2 die deelname van die SEB-deelnemer te beëindig of die voorsiening van verdere dienste deur die SEB aan die SEB-deelnemer op te skort (op sodanige voorwaardes wat die beherende liggaam geskik ag) of in die geval van 'n beampte of werknemer van 'n SEB-deelnemer, die SEB-deelnemer te versoek om 'n dissiplinêre ondersoek te hou om die toepaslike aksie wat geneem moet word, te oorweeg; en
- 9.9.5.3 'n SEB-deelnemer te beveel om 'n beampte, werknemer of agent van daardie SEB-deelnemer te belet om enige gespesifiseerde bedrywigheid, funksie of plig vir sodanige redelike tydperk wat die beherende liggaam geskik ag, uit te voer.
- 9.9.6 Enige boete of koste betaal aan die SEB ingevolge 'n toekenning gemaak deur 'n komitee vir geringe sake of 'n Tribunaal moet in die algemene fondse van die SEB betaal word.
- 9.9.7 'n Tribunaal kan, by aanvoering van gegronde redes en onderworpe aan sodanige voorwaardes wat die Tribunaal mag bepaal, enige boete wat dit voorheen aan enige persoon opgelê het, hersien.

B. LYS VAN DEELNEMERS

ABSA BANK BEPERK
CITIBANK
CREDIT AGRICOLE INDOSUEZ SUID-AFRIKA
DIE STANDARD BANK VAN SUID-AFRIKA BEPERK
EERSTE NASIONALE BANK VAN SUIDELIKE AFRIKA BEPERK
MERCANTILE LISBON
NEDCOR BANK BEPERK
SOCIETE GENERALE JOHANNESBURG TAK

BOARD NOTICE RAADSKENNISGEWING

BOARD NOTICE 95 OF 1999

DEPARTMENT OF WELFARE

SOUTH AFRICAN COUNCIL FOR SOCIAL SERVICE PROFESSIONS

ELECTION OF SIX MEMBERS IN TERMS OF SECTION 5 (1) (a) OF THE SOCIAL SERVICE PROFESSIONS ACT, 1978 (ACT No. 110 OF 1978)

Under the provisions of regulation 15 (14) of the regulations made under the Act and published as Government Notice No. R. 698 in *Government Gazette* No. 7525 of 3 April 1981, as amended by Government Notices No. R. 706 in *Government Gazette* No. 9662 of 29 March 1985, R. 947 in *Government Gazette* No. 13876 of 27 March 1992, R. 1655 in *Government Gazette* No. 16782 on 27 October 1995 and R. 1698 in *Government Gazette* No. 19644 of 31 December 1998, notice is hereby given for general information of the result of the election that took place on 5 May 1999:

<i>Candidate</i>	<i>Number of votes</i>
CORNELIUS, Leisha Elizabeth, 10-07600	288
FREDERICKS, Enna Margaret, 10-00386	342
GANDHI, Ela, 10-00612	209
HURFORD, Shelagh Mavourneem, 10-01511	619
JAMAL, Ruqaia Bibi Younoos, 10-00621	122
JANSE VAN RENSBURG, Anette, 10-00437	673
KABA, Linda Rosaline, 10-10693	229
KALIS, Andre Christiaan, 10-11271	412
KEMP, Rachel Jacoba, 10-02031	484
KOORNHOF, Mara, 10-02972	423
LANGLEY, Eleanor, 10-04838	129
LOMBARD, Antoinette, 10-05471	854
McKAY, Priscilla Mary, 10-00694	379
MENDELOW, Mendelle, 10-02681	332
MIDDLETON, Winston, 10-06358	238
MOTUMI, Nontsikelela Evelyn, 10-10192	148
MOROLO, Sophy Toki, 10-13727	135
MTIMKULU, Nonhlanhla Rita, 10-13774	167
NDUDA, Peacemaker Mqokeleli, 10-17825	73
NKOMONDE, Lillian Rose Gear, 10-09558	138
NTSHINGILA, Ethne Fikile Angel, 10-07434	107
PRETORIUS, Blanche Mary Louise, 10-07126	221
RAMABOEA, Hlabana Paul, 10-00911	62
SEWPAUL, Vishanthie, 10-05860	299
SINGH, Dinesh, 10-14854	100
TITI, Patrick Ignatius Mandisi Mncedisi, 10-04189	201
VISSER, Christoffel Erasmus, 10-10134	350

J. LOMBARD

Returning Officer

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