

# **SPECIAL ISSUE**



# **THE KENYA GAZETTE**

Published by Authority of the Republic of Kenya

(Registered as a Newspaper at the G.P.O.)

Vol. CXIII—No. 40

NAIROBI, 29th April, 2011

Price Sh. 50

GAZETTE NOTICE NO. 4934

## THE PUBLIC HOLIDAYS ACT

(Cap. 110)

### DECLARATION OF PUBLIC HOLIDAY

IN EXERCISE of the powers conferred by Section 3 of the Public Holidays Act, the Minister of State for Provincial Administration and Internal Security declares Monday, the 2nd May, 2011, as a Public Holiday throughout Kenya in accordance with section 4 of the Act.

Dated the 29th April, 2011.

GEORGE SAITOTI,  
*Minister of State for Provincial Administration  
and Internal Security.*

GAZETTE NOTICE NO. 4935

## THE EXPORT PROCESSING ZONES ACT

(Cap. 517)

### APPOINTMENT

IN EXERCISE of the powers conferred by Section 6 (1) of the Export Processing Zones Act, the Minister for Trade appoints—

RICHARD MUTULE KILONZO

to be the Chief Executive Officer of the Authority for a period of three (3) years, with effect from 21st April, 2011.

Dated the 21st April, 2011.

C. A. MWAKWERE,  
*Minister for Trade.*

GAZETTE NOTICE NO. 4936

## MINISTRY OF ENVIRONMENT AND MINERAL RESOURCES THE TASK FORCE DRAFTING LEGISLATION TO IMPLEMENT LAND USE, ENVIRONMENT AND NATURAL RESOURCES PROVISIONS OF THE CONSTITUTION

### APPOINTMENT

IT IS notified for the general information of the public that the Minister for Environment and Mineral Resources appoints—

Akunga Momanyi,  
Nathans Browne,  
Mathew Kimanzi,

to be members of the Task Force Drafting Legislation Implementing Land use, Environment and Natural Resources provisions of the Constitution, established through Gazette Notice No. 13880 of 2010, with effect from 1st May, 2011.

Dated the 28th April, 2011.

J. N. MICHUKI,  
*Minister for Environment and Mineral Resources.*

GAZETTE NOTICE NO. 4937

## THE STATE CORPORATIONS ACT

(Cap. 446)

### APPOINTMENT

IN EXERCISE of the powers conferred by section 6 (1) (e) of the State Corporations Act, the Minister for Tourism appoint—

#### Committee Members

Les Baillie—(Chairman),  
Dick Omondi,  
S. K. Maina,  
Waturi Matu (Ms),  
Jane Chege (Ms),  
Mike Macharia,  
Gina Din Kariuki (Ms),  
Johnson Weru,  
Fred Kaigua,  
Director of Tourism,  
Chief Executive, Public Relations Society of Kenya (PRSK),

#### Secretariat

Muriithi Ndegwa,  
Beatrice Makawiti (Mrs),  
Fred Simiyu,  
Bernard Asoro,

to be M.I.C.E. Committee members and Secretariat for a period of three (3) years, with effect from 16th June, 2010.

Dated the 18th April, 2011.

NAJIB BALALA,  
*Minister for Tourism.*

GAZETTE NOTICE NO. 4938

## THE CAPITAL MARKETS ACT

(Cap. 485A)

## ANNUAL LICENSEES

IN EXERCISE of powers conferred by section 11 (3) (e) and (f) and pursuant to section 27 (1) (a) of the Capital Markets Act, it is notified for general information that the Capital Markets Authority has granted the following approvals and licences to the companies set out in the schedule hereto:

## SCHEDULE

*Approved Institutions:*

<i>Name of the Company</i>	<i>Address</i>	<i>Nature of Operation</i>
1. Acacia Fund Limited	P.O. Box 43233 - 00100, Nairobi	Venture Capital
2. Central Depositories and Settlement Corporation Limited	P.O. Box 3464 - 00100, Nairobi	Central Depository
3. Global Credit Rating Company	P.O. Box 76667, Wendywood 2144, South Africa	Credit Rating Agency
4. The Nairobi Stock Exchange	P.O. Box 43633- 00100, Nairobi	Securities Exchange

*Annual Licensees (for the period January 1, 2011 to December 31, 2011)*

*Stockbrokers:*

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
1. ABC Capital Limited	P.O. Box 34137-00100, Nairobi	01/11
2. Afrika Investment Bank Limited*	P.O. Box 73253-00200, Nairobi	02/11
3. ApexAfrica Capital Limited	P.O. Box 28987-00200, Nairobi	03/11
4. Drummond Investment Bank Limited*	P.O. Box 45465-00100, Nairobi	04/11
5. Genghis Capital Limited	P.O. Box 9959 - 00100, Nairobi	05/11
6. Kestrel Capital (E.A.) Limited	P.O. Box 40005 - 00100, Nairobi	06/11
7. Kingdom Securities Limited	P.O. Box 48231 - 00100, Nairobi	07/11
8. NIC Capital Securities Limited	P.O. Box 44599-00100, Nairobi	08/11
9. Reliable Securities Limited	P.O. Box 50338-00200, Nairobi	09/11
10. Sterling Investment Bank Limited*	P.O. Box 45080-00100, Nairobi	10/11

\*These licensees are in the process of effecting a change of name to reflect their license status as Stockbrokers. Accordingly, this change of name shall be posted on the Authority website.

*Investment Banks*

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
1. African Alliance Kenya Investment Bank Limited	P.O. Box 27639 -00506, Nairobi	11/11
2. Barclays Financial Services Limited	P.O. Box 30120 - 00100, Nairobi	12/11
3. CBA Capital Limited	P.O. Box 30437-00100, Nairobi	13/11
4. CFC Stanbic Financial Services Limited	P.O. Box 47198 - 00100, Nairobi	14/11
5. Dyer and Blair Investment Bank Limited	P.O. Box 45396 - 00100, Nairobi	15/11
6. Equity Investment Bank Limited	P.O. Box 74454- 00200, Nairobi	16/11
7. Faida Investment Bank Limited	P.O. Box 45236-00100, Nairobi	17/11
8. NIC Capital Limited	P.O. Box 44599-00100, Nairobi	18/11
9. Renaissance Capital (Kenya) Limited	P.O. Box 40560-00100, Nairobi	19/11
10. Standard Investment Bank Limited	P.O. Box 13714 - 00800, Nairobi	20/11
11. Suntra Investment Bank Limited	P.O. Box 74016 - 00200, Nairobi	21/11

*Extended licenses*

Equatorial Investment Bank Limited	P.O. Box 52467-00200, Nairobi	16/10
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*Investment Advisers:*

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
1. Alliance Capital Partners Limited	P.O. Box 12181 - 00100, Nairobi	22/11
2. Citidell Company Limited	P.O. Box 185 - 00606, Nairobi	23/11
3. Cititrust Kenya Limited	P.O. Box 30711-00100, Nairobi	24/11
4. Co-operative Consultancy Services (Kenya) Limited	P.O. Box 48231-00100, Nairobi	25/11
5. Deloitte Financial Advisory Limited	P.O. Box 30029-00100, Nairobi	26/11
6. Executive & Corporate Advisory Services Kenya Limited	P.O. Box 72216-00200, Nairobi	27/11
7. Franklin Management Consultants Limited	P.O. Box 61843-00200, Nairobi	28/11
8. Iroko Securities (Kenya) Limited	P.O. Box 66249-00800, Nairobi	29/11
9. J.W. Seagon & Co. Limited	P.O. Box 63420-00619, Nairobi	30/11
10. Lifestyle Management Limited	P.O. Box 1342 - 00606, Nairobi	31/11
11. Loita Asset Management Limited	P.O. Box 39466-00623, Nairobi	32/11
12. PricewaterhouseCoopers Associates Limited	P.O. Box 43963 - 00100, Nairobi	33/11
13. Regnum Consultants Limited	P.O. Box 11431-00400, Nairobi	34/11
14. Standard Chartered Securities (K) Limited	P.O. Box 44286-00100, Nairobi	35/11
15. The ProFin Group (Kenya) Limited	P.O. Box 9980 - 00100, Nairobi	36/11
16. Tsavo Securities Limited	P.O. Box 9000-00100, Nairobi	37/11
17. VFS International (K) Limited	P.O. Box 4-00621, Nairobi	38/11
18. Winton Investment Services Limited	P.O. Box 607-00621, Nairobi	39/11

*Fund Managers:*

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
1. Amana Capital Limited	P.O. Box 9480-00100, Nairobi	40/11
2. Apollo Asset Management Company Limited	P.O. Box 30389 – 00100, Nairobi	41/11
3. Aureos Kenya Managers Limited	P.O. Box 43233 - 00100, Nairobi	42/11
4. British American Asset Managers Limited	P.O. Box 30375–00100, Nairobi	43/11
5. CIC Asset Management Limited	P.O. Box 59485 – 00200, Nairobi	44/11
6. Co-optrust Investment Services Limited	P.O. Box 48231–00100, Nairobi	45/11
7. Dry Associates Limited	P.O. Box 684-00606, Nairobi	46/11
8. FCB Capital Limited	P.O. Box 26219-00100, Nairobi	47/11
9. Genesis (K) Investment Management Limited	P.O. Box 79217–00200, Nairobi	48/11
10. ICEA Asset Management Limited	P.O. Box 46143–00100, Nairobi	49/11
11. InvesteQ Capital Limited	P.O. Box 56977-00200, Nairobi	50/11
12. Madison Asset Management Services Limited	P.O. Box 20092 – 00200 Nairobi	51/11
13. Old Mutual Investment Services (K) Limited	P.O. Box 30059–00100, Nairobi	52/11
14. Old Mutual Asset Managers (K) Limited	P.O. Box 30059-00100, Nairobi	53/11
15. PineBridge Investments East Africa Limited	P.O. Box 67262 – 00200, Nairobi	54/11
16. Sanlam Investment Management (K) Limited	P.O. Box 7848-00100, Nairobi	55/11
17. Standard Chartered Investment Services Limited	P.O. Box 30003–00100, Nairobi	56/11
18. Stanbic Investment Management Services (E.A.) Limited	P.O. Box 30550–00100, Nairobi	57/11
19. Zimele Asset Management Company Limited	P.O. Box 76528-00508, Nairobi	58/11

*Authorized Depositories*

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
1. African Banking Corporation Limited	P.O. Box 46452-00100, Nairobi	59/11
2. Barclays Bank of Kenya Limited	P.O. Box 30120–00100, Nairobi	60/11
3. CFC Stanbic Bank Limited	P.O. Box 72833–00200, Nairobi	61/11
4. Chase Bank (Kenya ) Limited	P.O. Box 66049 – 00800, Nairobi	62/11
5. Co-operative Bank of Kenya Limited	P.O. Box 48231-00100, Nairobi	63/11
6. Equatorial Commercial Bank Limited	P.O. Box 52467-00200, Nairobi	64/11
7. Equity Bank Limited	P.O. Box 75104 - 00200, Nairobi	65/11
8. I & M Bank Limited	P.O. Box 30238-00100, Nairobi	66/11
9. Kenya Commercial Bank Limited	P.O. Box 30664–00100, Nairobi	67/11
10. National Bank of Kenya Limited	P.O. Box 72866–00200, Nairobi	68/11
11. NIC Bank Limited	P.O. Box 44599 - 00100, Nairobi	69/11
12. Prime Bank Limited	P.O. Box 43825- 00100, Nairobi	70/11
13. Standard Chartered Bank Kenya Limited	P.O. Box 40984-00100, Nairobi	71/11
14. Transnational Bank Limited	P.O. Box 34353-00100, Nairobi	72/11

*Dealers:*

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
1. Equatorial Investment Bank Limited	P.O. Box 52467-00200, Nairobi	73/11

*Approved Collective Investment Schemes:*

1. African Alliance Kenya Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. African Alliance Kenya Shilling Fund.</li> <li>ii. African Alliance Kenya Fixed Income Fund.</li> <li>iii. African Alliance Kenya Managed Fund.</li> <li>iv. African Alliance Kenya Equity Fund.</li> </ol>	6. Zimele Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. Zimele Balanced Fund.</li> <li>ii. Zimele Money Market Fund.</li> </ol>
2. Old Mutual Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. Old Mutual Equity Fund.</li> <li>ii. Old Mutual Money Market Fund.</li> <li>iii. Old Mutual Balanced Fund.</li> <li>iv. Old Mutual East Africa Fund.</li> <li>v. Old Mutual Bond Fund.</li> </ol>	7. Suntra Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. Suntra Money Market Fund.</li> <li>ii. Suntra Equity Fund.</li> <li>iii. Suntra Balanced Fund.</li> </ol>
3. British-American Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. British-American Money Market Fund.</li> <li>ii. British-American Income Fund.</li> <li>iii. British-American Balanced Fund.</li> <li>iv. British-American Managed Retirement Fund.</li> <li>v. British-American Equity Fund.</li> </ol>	8. ICEA Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. ICEA Money Market Fund.</li> <li>ii. ICEA Equity Fund.</li> <li>iii. ICEA Growth Fund.</li> <li>iv. ICEA Bond Fund.</li> </ol>
4. Stanbic Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. Stanbic Money Market Fund.</li> <li>ii. Stanbic Flexible Income Fund.</li> <li>iii. Stanbic Managed Prudential Fund.</li> <li>iv. Stanbic Equity Fund.</li> </ol>	9. Standard Investment Trust Funds: <ol style="list-style-type: none"> <li>i. Standard Investment Equity Growth Fund.</li> <li>ii. Standard Investment Fixed Income Fund.</li> <li>iii. Standard Investment Balanced Fund.</li> </ol>
5. Commercial Bank of Africa Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. Commercial Bank of Africa Money Market Fund.</li> <li>ii. Commercial Bank of Africa Equity Fund.</li> </ol>	10. CIC Unit Trust Scheme: <ol style="list-style-type: none"> <li>i. CIC Money Market Fund.</li> <li>ii. CIC Balanced Fund.</li> <li>iii. CIC Fixed Income Fund.</li> <li>iv. CIC Equity Fund.</li> </ol>
	11. Madison Asset Unit Trust Funds: <ol style="list-style-type: none"> <li>i. Madison Asset Equity Fund.</li> <li>ii. Madison Asset Balanced Fund.</li> <li>iii. Madison Asset Money Market Fund.</li> </ol>

- iv. Madison Asset Treasury Bill Fund
- v. Madison Asset Bond Fund.

## 12. Dyer and Blair Unit Trust Scheme:

- i. Dyer and Blair Diversified Fund.
- ii. Dyer and Blair Bond Fund.
- iii. Dyer and Blair Money Market Fund.
- iv. Dyer and Blair Equity Fund.

## 13. Amana Unit Trust Funds Scheme:

- i. Amana Money Market Fund.
- ii. Amana Balanced Fund.
- iii. Amana Growth Fund.

## 14. CFC Unit Trust Fund:

- i. CFC Simba Fund.
- ii. CFC Money Market Fund.

## 15. Diaspora Unit Trust Scheme:

- i. Diaspora Money Market Fund.
- ii. Diaspora Bond Fund.
- iii. Diaspora Equity Fund.

## 16. First Ethical Opportunities Fund.

*Approved Employee Share Ownership Plans (ESOPS):*

- 1. EABL Employee Share Ownership Plan.
- 2. Equity Employee Share Ownership Scheme.
- 3. KCB Employee Share Option Plan.
- 4. KENOL Employee Share Ownership Plan.

- 5. ARM Employee Share Ownership Plan.
- 6. AccessKenya Group Employee Share Ownership Plan.
- 7. Scangroup Employee Share Ownership Plan.
- 8. Safaricom Employee Share Ownership Plan.
- 9. Housing Finance Employee Share Ownership Plan.

Dated the 29th April, 2011.

G. WAINAINA,  
Director,  
Capital Markets Authority

STELLA KILONZO,  
Chief Executive,  
Capital Markets Authority.

GAZETTE NOTICE NO. 4939

## THE CAPITAL MARKETS ACT

(Cap. 485A)

## REVOCATION OF LICENCES

NOTICE is given to the members of the public to raise any unresolved and outstanding issues with the following companies within forty five (45) days from the date of publication of this notice:

<i>Name of Company</i>	<i>Licence Category</i>
Equilibrium Capital Limited	Investment Adviser
Finconsult Limited	Investment Adviser
African Alliance Kenya Securities Limited*	Stockbroker
African Alliance Kenya Management Limited*	Fund Manager
Dubai Bank Kenya Limited*	Authorised Depository

\*These licences are being revoked pursuant to applications by the license holders

Dated the 29th April, 2011.

STELLA KILONZO,  
Chief Executive,  
Capital Markets Authority.